# VILNIUS UNIVERSITY CENTER FOR PHYSICAL SCIENCES AND TECHNOLOGY

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# SOL-GEL SYNTHESIS AND CHARACTERIZATION OF CALCIUM HYDROXYAPATITE THIN FILMS ON DIFFERENT SUBSTRATES

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# KALCIO HIDROKSIAPATITO PLONŲ SLUOKSNIŲ ANT ĮVAIRIŲ PADĖKLŲ SINTEZĖ ZOLIŲ-GELIŲ METODU IR APIBŪDINIMAS

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## List of abbreviations

ACP - amorphous calcium phosphate

AFM - atomic force microscopy

ALP - alkaline phosphatase

APS - atmospheric plasma spraying

ASTM - the American Society of the International Association for Testing and

Materials

BCPs - biphasic calcium phosphates

BMP-2 - bone morphogenetic protein-2

BMPs - bone morphogenetic proteins

BSP - bone sialoprotein

CAM - coctact angle measurements

CaP - calcium phosphate

CDHAp - calcium-deficient hydroxyapatites

CHAp - calcium hydroxyapatite

CPCs - calcium phosphate ceramics

cpTi - commercially pure titanium

CTAB - cetyltrimethylamonium bromide

CTP - cytidine 5'-triphosphate disodium salt

DCP - anhydrous dicalcium phosphate

DCPD - dicalcium phosphate dihydrate

EDTA - ethylenediaminetetraacetic acid

EDX- energy dispersive X-ray spectrometry□

EPD - electrophoretic deposition

FDA - the Food and Drug Administration

FTIR - Fourier transform infrared spectroscopy

GTPS - gas tunnel type plasma spraying

HDPE - high-density polyethylene

HVOF - high velocity oxy-fuel

HVSFS - high-velocity suspension flame spraying

IBAD - ion beam assisted deposition

- ISO the International Standard Organization
- KAc potasium acetate
- LPS liquid plasma spraying
- NCPs non-collagenous proteins
- OAp oxyapatite
- OCN osteocalcin
- OCP octacalcium phosphate
- OHAp oxyhydroxyapatite
- OPN osteopontin
- PAMAM poly(amido-amine)□
- PLD pulsed laser deposition
- PMMA para-Methoxy-N-methylamphetamine
- PPS powder plasma spray
- PVA polivinylalcohol
- RF radio-frequency
- SBFs simulated body fluids
- SEM scanning electron microscopy  $\Box$
- SPS suspension plasma spraying
- TCP tricalcium phosphate
- TeCP tetracalcium phosphate
- VEGFs vascular endothelial growth factors
- VPS vacuum plasma spraying
- XRD X-ray diffraction
- RMS,  $R_q$  root mean square
- $\alpha$ -TCP  $\alpha$ -tricalcium phosphate
- β-TCMP, Mg-TCP Mg-substituted tricalcium phosphate
- $\beta$ -TCP  $\beta$ -tricalcium phosphate

#### **1. Introduction**

Ageing population, changing lifestyle and better quality of life require better healthcare [1]. A few million patients need bone grafts or bone graft substitutes and teeth implants. Usually implants are needed due to bone cysts and tumours, check-up of orthopaedic implants, spine fusion, trauma and etc. [1, 2].

Bone is a dynamic natural organic-inorganic tissue consisting of 10-20% collagen fibrils, 60-70% well-arrayed, nano-crystalline, rod-like inorganic materials, which are 25-50 nm long and have a water content of 9-20% (and also contain small quantities of other organic materials, such as proteins, polysaccharides and lipids) [3–5]. The basic bone mineral is calcium hydroxyapatite  $Ca_{10}(PO_4)_6(OH)_2$  (CHAp).

The broad range of materials are used for the synthetic bone graft substitutes. They should have mechanical properties as high or better than those of a cortical bone ("load-bearing" property), they should be resorbable (or degradable) to prevent fatigue fractures at long implantation times and they should promote bone formation ("osteoinductivity") [2]. Metal implants should be with surface biological properties for the adsorption of proteins, adhesion of cells and tissue integration. Chemistry, wettability and nanometer-sized roughness of surface are very important properties of metal implants, which will affect the tissue repair process and long-term behaviour of the biomaterial–tissue interface in the recipient patient [6, 7]. Challenge for researchers is to control surface properties at cell and protein levels in the nanometer size range [8].

In recent years, 70-80% of medical implants have been made of metallic biomaterials, which have been used especially for hard tissue replacement [9]. Metals and their alloys should have mechanical reliability, strength, stiffness, toughness and impact resistance [1]. Dental implants are usually made of commercially pure titanium or titanium alloys [1, 10, 11]. Also for medical application to reach better surface biocompatibility, researchers have tried to use other substrates, such as C/C substrate, magnesium alloys, silicon and

quartz substrates [12–16]. However, titanium easily oxidizes, and bioinert titanium oxide does not readily form a strong interface with round tissue; therefore the modification of titanium surface by coating it with calcium phosphate (CaP) and CHAp to improve ossteoconductivity, biocompatibility and implant-hard tissue ossteointegration properties was chosen [9, 10, 17, 18]. Calcium hydroxyapatite has been used in dentistry since 1970s and in orthopaedics - since 1980s due to its chemical similarity to the inorganic component of bone matrix [2, 4, 19]. Bone grafts substituted with CHAp showed greater advantages in clinical application compared to allografts or uncoated metallic implants [4, 5, 20]. The main advantages of CHAp are its biocompatibility, osteoconductivity, osteoinductivity and biodegradability with host tissue [4, 9, 21, 22]. However, pure sintered CHAp was determined to have low resorption rate, and in some cases it might provoke complications due to mechanical mismatch between CHAp and bone.

The aim of this doctoral work was to fabricate calcium hydroxyapatite thin films on quartz, silicon and titanium substrates using aqueous sol-gel method. The syntheses of calcium hydroxyapatite thin films on different substrates using sol-gel method for medical application are relatively new, because there are not much scientific data on the synthesis of calcium hydroxyapatite thin films from aqueous solution. The main attention and many publications are focused on syntheses of calcium hydroxyapatite powders.

# The main objectives of the dissertation are the following:

- Development of an aqueous sol-gel synthesis route for the production of calcium hydroxyapatite (Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub>; CHAp) thin films on titanium substrate using dip-coating and spin-coating techniques.
- Development of an aqueous sol-gel synthesis route for the production of calcium hydroxyapatite (Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub>; CHAp) thin films on silicon substrate using dip-coating and spin-coating techniques.
- Development of an aqueous sol-gel synthesis route for the production of calcium hydroxyapatite (Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub>; CHAp) thin films on quartz substrate.

- 4. Investigation of calcium hydroxyapatite thin films fabricated on silicon substrate using FTIR spectroscopy.
- 5. Comparison of obtained results of CHAp coatings on different substrates using two preparation technique, such as dip-coating and spin-coating processing.

#### 2. Literature Review

#### 2.1. Calcium compounds in human bone

Bone is one of the most important biological structures which consists of organic and inorganic phase with highly hierarchical structure [23]. The structure of the Ca-P solid phase in bone was first identified using chemical and X-ray diffraction analyses data by *de Jong* in 1926 as a crystalline calcium phosphate similar to geological apatite [24]. Bones are natural composite materials, where one of the main components is an inorganic component carbonated hydroxyapatite (Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub>·CaCO<sub>3</sub>), in bone literature used under name dahllite [23, 25–28]. The inorganic part of the bone constitutes about 65% of bone mass and the remaining part is made up of 25% of organic component (collagen) and 10% of water [25, 26, 29]. Inorganic and organic parts of bone are closely related. Bone apatite crystals are irregularly shaped platelets, nanometer sized crystals with an average length of 50 nm, 30-45 nm in width and thicknesses of just 2-5 nm, oriented in their *c* axis parallel to one another and scattered in the collagen fibrils.

Moreover CHAp has some very important incorporation of impurities, such as ionic carbonate, sodium and magnesium ions (4–6% carbonate; 0.9% Na; 0.5% Mg) [25, 26, 30, 31]. Carbonate in the apatite influences dissolution of apatite mineral and plays significant role in biological process such as bone resorbtion. It has been mentioned in literature that all synthetic or natural carbonate-containing phosphates have been found to either have an apatitic structure or to be amorphous [32]. In bone apatite, carbonate can be found in two anionic sites of structure. Carbonate ions occupying monovalent anionic (OH) sites are generally designated as type A, and carbonate ions in trivalent anionic sites (phosphate) - as type B [32, 33]. The B-type carbonated apatite is mostly found in bone, with A/B ratio in the range of 0.7-0.9. The higher value of the A/B ratio was observed in old tissue than that in young one [34]. Bcarbonated apatite showed decreased crystallinity and also increased solubility in both in vitro and in vivo tests. However, the surface of A-type carbonated calcium hydroxyapatite has lower affinity for bone cells osteoblasts leading to lower cell attachment and collagen production, which was attributed to decrease polar component of the surface of the A-carbonated biomaterial [34]. It has been shown that pure A-type  $Ca_{10}(PO_4)_6(OH)_2$  does not occur in natural apatite. There is always some ions of F<sup>-</sup> present [35]. Moreover, in addition to the mentioned inorganic ions, bone mineral also contains various quantities of fluoride, chloride, strontium, zinc, copper and iron [33, 36]. All these elements affect bone mineral characteristics, such as crystallinity, mechanical properties and degradation behaviour. Actually, the Ca/P ratio ranges from 1.37 to 1.87 in natural bones, indicating that natural minerals can contain additional ions, such as strontium, zinc, carbonate and etc. [5, 37]. Systematic studies by X-ray diffraction analysis, infrared spectroscopy and chemical analysis led to the conclusion that biological apatite should be expressed by formula  $(Ca,Na,Mg)_{10}(PO_4,HPO_4,CO_3)_6(OH,Cl,F)_2$  (compared to pure calcium hydroxyapatite, CHAp, Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub>) [30]. Composition of inorganic phase of adult human bone is summarized in Table 1 [38, 39].

Component	Bone	СНАр
Calcium [wt.%]	34.8	39.6
Phosphorus (as P) [wt.%]	15.2	18.5
Ca/P (molar ratio)	1.71	1.67
Sodium [wt.%]	0.9	
Magnesium [wt.%]	0.72	
Potassium [wt.%]	0.03	
Carbonate (as $CO_3^{2-}$ ) [wt.%]	7.4	
Fluoride [wt.%]	0.03	
Chloride [wt.%]	0.13	
Pyrophosphate,(as P <sub>2</sub> O <sub>7</sub> <sup>4-</sup> ) [wt.%]	0.07	
Total inorganic [wt.%]	65	100
Total organic [wt.%]	25	
Water [wt.%]	10	
a axis [Å] <sup>[a]</sup>	9.41	9.430
c axis $[Å]^{[a]}$	6.89	6.891
Crystallinity index, (CHAp=100)	33-37	100
Typical crystal size [nm]	50x25x4	200-600

Table 1. Compositional values of inorganic components of adult human bone.

Ignition products (800 °C)	CHAp + CaO	СНАр
Elasticity modulus (GPa)	0.34-13.8	10
Compressive strength (MPa)	150	100

[a] Lattice parameters: ±0.003 Å.

Bone is a dynamic tissue, which is constantly remodeling and self-repairing, therefore small size and non-stoichiometry of crystals lead to mineral phase with solubility needed for bone cell osteoclasts. The mineral phase of bone consists of two calcium phosphate pools that coexist; an amorphous component and a poorly crystallized component resembling hydroxyapatite [40]. During the bone formation process, other calcium phosphate compounds are also observed. One group of researchers argued that CHAp crystals formed via the traditional solution crystallization process (nucleation and growth), while a handful of others pointed to the deposition of an amorphous calcium phosphate (ACP) precursor [26, 37]. If ACP is allowed to remain in contact with water (as a slurry) at pH values above 7 a transformation to hydroxyapatite occurs (process is autocatalytic) [40]. Under in vitro conditions, amorphous calcium phosphate is transformed into intermediate phases such as octacalcium phosphate (OCP) and  $\beta$ -tricalcium phosphate ( $\beta$ -TCP), which transform to most thermodynamically stable phase of hydroxyapatite; at lower pH values, the intermediate phase seems to be dicalcium phosphate dihydrate (DCPD) [25, 26, 37, 38]. The transformation of ACP to CHAp involves the following three basic steps: 1) the dissolution and hydration of ions from the solid ACP surface; 2) the movement of these hydrated ions from the surface of the ACP and 3) the nucleation and subsequent growth of CHAp crystals [19]. Solution pH, temperature and composition are the main factors influencing the formation of different types of calcium phosphates in biological and synthetic systems. Calcium phosphates can transform from one form to another depending on solution pH in biological environment. For example ACP, DCPD and OCP transform to CHAp in neutral and basic pH presenting HCO<sub>3</sub> or  $\text{CO}_3^{2-}$  or to Mg-substituted tricalcium phosphate  $(\text{Ca},\text{Mg})_3(\text{PO}_4)_2$  ( $\beta$ -TCMP or Mg-TCP) in acid, neutral or basic pH in presence of Mg<sup>2+</sup> ions, or (F,OH)-

apatites in presence of  $\overline{F}$  ions [30].

Some transformations in bone composition occur as a result of ageing. Young bone has lower crystallinity than a matured bone (the bands in infrared spectra generated by bone crystals are broadened and overlap [32]), moreover, an increase of calcium content, a decrease of HPO<sub>4</sub><sup>2-</sup> and growth of the Ca/P ratio in bone mineral have been observed with age [39, 41].

## 2.1.1. Structure and composition of natural bone

Bone and cartilage constitute the skeletal system, which performs two main functions. The first function is a structural function, which is to support and protect vital organs, bone marrow and muscle attachment. The second function is an important metabolic function, which is serving calcium and phosphate ions needed for maintenance of serum homeostasis by contributing to buffering changes in hydrogen ion concentration [38, 42, 43]. Genes are responsible for processes of cellular differentiation, which firstly establish pattern skeletal system of cartilage and finally, in latter stages, replace them with bone through the differentiation of osteoblasts [42].

The following are possible bone structures: macrostructure (cortical and trabecular bone); microstructure (Haversian systems, osteons, single trabeculae); the sub-microstructure (lamellae); nanostructure (fibrillar collagen and embedded mineral) and sub-nanostructure (molecular structure of constituent elements, such as mineral, collagen, and non-collagenous organic proteins) (see Fig. 1).



Fig. 1. Hierarchical structural organization of bone [44].

Two types of normal mature human bone macrostructure have been observed, namely, cortical and trabecular [38, 42, 44–46]. Although macroscopically and microscopically they are different, their chemical composition is identical. Cortical bone makes up 80% of skeleton and is dense and compact with high resistance to bending and torsion. Cortical bone constitutes the entire outer part of skeletal structures. The major part of cortical bone is calcified, and its main function is to provide mechanical strength and protection, but also it can participate in metabolic process during prolonged mineral deficit. Trabecular bone constitutes 20% of skeletal mass and as much as 80% of bone surface, because it is very porous. The diameter of pores may range from few micrometers to millimeters. Trabecular bone is lighter, less dense and more elastic, it is filled with a gel-like tissue known as bone marrow and performs many metabolic functions.

Bone has mineralized structure composed of biopolymer (mainly collagen), cells, vessels and crystals of calcium compounds (carbonated apatite, dahllite) [4]. Carbonated apatite is the principal mineral, which makes up 65% of natural bone. Organic matrix, which constitutes 25% of the natural bone, consist of proteins, type I collagen (90% of the organic matrix) with some non-collagenous proteins (e.g., proteoglycans), lipids and osteogenic factors (i.e., growth factors, such as bone morphogenetic proteins (BMPs), and vascular endothelial growth factors (VEGFs). Bone cells are called osteoblasts, osteoclasts, osteocytes and bone–lining cells. Bone collagen has a typical fibrous structure, the diameter whereof ranges from 100 to 2000 nm [45]. Collagen acts as a structural organic matrix where mineral crystals grow with a specific crystalline orientation. In Fig. 2 are showed how mineral crystals are assembled with collagen fibrils.



Fig. 2. CHAp connection with collagen [47].

## 2.1.2. Properties of natural bone

The main function of biological apatite is to provide toughness and rigidity to the bone, whereas collagen provides tensile strength and flexibility. Minerals bind to collagen through non-collagenous proteins, which make up about 3-5% of the bone, and through active sites for biomineralization and cellular attachment [48]. The remaining 9% of the mass consists of water, which is also very important for cells and for mechanical properties of the bone, such as plasticity [30, 39, 45, 46]. In addition to the above-mentioned constituent parts, other very important ions (such as carbonate, citrate, sodium, magnesium, fluoride, chloride and potassium) form the bone structure, which influence mechanical properties of the bone and affect proper functioning thereof [46, 47].

All these components form the smallest structural blocks of bone structure, which are repeated several times for functional bone. Hierarchical structures serve many biological functions, such as mechanical support for cells, and many promotional and regulatory cellular functions, such as cell adhesion, proliferation, differentiation, and vascularization in physiological environment [49]. Mechanical properties depend on hierarchical structure at all levels of the bone. The size, shape, orientations and arrangements of crystals in collagen fibrils regulate mechanical functions in the bone [49].

Bone cells, namely, osteoblasts, osteocytes, osteoclasts and bone-lining cells, are responsible for the bone formation process. This process is initiated by the action of osteoblasts, special cells that synthesize and release collagen matrix in the form of a jelly substance, and the osteoids, which are subsequently mineralized by controlled deposition of calcium phosphate [46, 49]. Osteoblasts do not function individually and are found in clusters along the bone surface; they are responsible for mineral diffusion in and from the bone [42, 46]. Osteoblasts remain trapped inside the mineral phase, evolving towards osteocytes, which are responsible for bone formation activity [42]. Osteocytes are responsible for maintaining the bone and function as transporting agents of minerals between the bone and blood [45]. Collagen and matrix proteins are responsible for apatite nucleation and inhibition or modulation of apatite crystals growing, while osteoclasts are responsible for bone destruction process [50]. This dynamic process of bone formation and destruction is very important for its growth during the development stages of the body, preserving its shape and consistency, and enabling its regeneration in case of fracture [25]. It also forms storage and hauling mechanisms for two very important elements, namely, calcium and phosphorus, which acts as storages in bones. All these processes must be well-balanced to maintain the bone healthy.

During the bone formation process, the primary and secondary stages are observed with different bone structures and different formation mechanisms. Epyphysial cartilage, which is a primary bone formation stage, is a combination of ground substance and very loose fine (10-20 nm) fibril bundles of collagen. There is also a high occurrence of matrix vesicles, which deliver either crystals or a high concentration of ions to the mineralization front. Mineralization is rapid and unorganized, forming woven bone microstructure. In this stage, crystals do not form close connection with collagen. Clusters of calcium hydroxyapatite form within the proteoglycan matrix, which then form calcification nodules or calcospherites due to the arrangement of crystal clusters. In this stage, collagen found in cartilage has been observed; it acts as mineral deposition therein and does not play any significant role in direct mineralization process [26].

In the secondary bone formation process, the primary woven bone is remodeled into a more optimal structure, such as parallel–fibered or lamellar bone, which is organized into concentric lamellae (3-8 lamellae) that make up osteons of the Haversian canal system in humans [46]. Osteon looks like a 200-250 µm cylinder, which is almost parallel to the long axis of the bone [46]. Collagen fibrils in the secondary bone are secreted by osteoblasts; they are larger than those in the primary bone, with a mean diameter of 78 nm, and are closely packed in lamellar structure. Non-collagenous proteins (NCPs), many of which are highly charged from carboxylated groups of aspartic and glutamic acids and phosphate from phosphoserine, are near mineralization sites [26]. Also NCPs plays an important role in the mineralization process. During the bone formation process, mineral crystals are orientated by collagen fibrils within which they form. Crystals are very small, just few unit cells thick and they would be termodinamically unstable if they would not be embedded into organic matrix [26].

Bone functions depend on very important physicochemical properties, such as interconnecting porosity, biodegradability, bioactivity, osteoconductivity and osteoinductivity. Porosity is a very important bone property, because it allows body fluids and cells to access various regions of the osseous tissue [39]. Pore size ranges from 10 to 50  $\mu$ m and from 100 to 300 nm in cortical bone, and from 200 to 600  $\mu$ m in trabecular bone. The size and interconnection of bone porosity is essential for vascularization, diffusion of nutrients and cells, and tissue ingrowths. Bone structure and composition allows cell attachment, migration, proliferation and differentiation, promoting bone formation, repair and regeneration [30]. Osteoconductivity allows the bone to repair and regenerate itself [41].

Lipids, which account for about 2%, play an important role in the biomineralization process, which starts in only 10 days after the collagen matrix is laid-down [45].

Hierarchical structure and composition of the bone leads to strength mechanical properties [48, 50]. Mechanical properties differ depending on its location in the skeletal system [46]. This is due to different functions of bones in daily activities. Mechanical properties depend on bone components: mineral crystals, collagen and water. Bone contains about 9% of water and with the loss of water bone exhibits different mechanical properties. Tensile strength, stiffness and hardness were observed to increase with the loss of water. Dry bone exhibits lower anelastic deformation compared to wet bone, which indicates that water has a significant effect on mechanical properties of the bone [47]. Elastoplasticity, viscoelasticity and viscoelasticity – plasticity have been used to determine bone's mechanical properties [51]. Elastic properties of bone minerals depends on purity of calcium hydroxyapatite due to vacancies and substitutions in the natural crystals [46]. Table 2 lists biomechanical properties of bone.

Droparties	Measurements	
Floperties	Cortical bone	Trabecular bone
Young's modulus (GPa)	14-20	0.05-0.5
Tensile strength (MPa)	50-150	10-20
Compressive strength (MPa)	170-193	7-10
Fracture toughness (MPa $m^{1/2}$ )	2-12	0.1
Strain to failure	1-3	5-7
Density $(g/cm^3)$	18-22	0.1-1.0
Apparent density $(g/cm^3)$	1.8-2.0	0.1-1.0
Surface/bone volume $(mm^2/mm^3)$	2.5	20
Total bone volume $(mm^3)$	$1.4 \ge 10^6$	$0.35 \ge 10^6$
Total internal surface	$3.5 \times 10^6$	$7.0 \ge 10^6$

Table 2. Biomechanical properties of bone [45].

### 2.2. Synthetic calcium phosphates

Calcium hydroxyapatite has received great attention in industry and medical application fields [25]. CHAp and TCP bioceramics are used as fillers, spacers and bone graft substitutes to repair and for reconstruction of diseased or damaged parts of human bones because of their biocompatibility, bioactivity, non-toxicity, non-inflammatory behaviour, non-immunogenicity, stability under physiological environment and osteoconduction characteristics with respect to host tissue [52–57]. To date, calcium phosphate biomaterials have been widely used clinically in the form of powders, granules, dense and porous blocks and various composites [58]. Nanophase CHAp properties, such as surface grain size, pore size, wettability, etc., could control protein interactions (for example, adsorption, configuration and bioactivity) thus modulating subsequent enhanced osteoblast adhesion and long-term functionality [58, 59]. CHAp particles can be used as adsorbents in chromatography to separate proteins and enzymes, catalysts for dehydration and dehydrogenation of alcohols, methane oxidation, artificial teeth and bones; also, CHAp is of great interest in the industry of fertilizers and pharmaceutical products, water treatment processes [53, 60–66]. CHAp is the most stable and least soluble (K<sub>sp</sub> value are around  $2.9 \cdot 10^{-58}$  over the pH ranges of 3.5 to 9.7) of monophasic calcium phosphates [67]. Bioactivity of CHAp depends on the morphology, particle size and phase purity of CHAp, which are influenced by synthesis route [55, 57, 68–70]. The calcium-deficient hydroxyapatites (CDHAp) are of greater biological interest than stoichiometric calcium hydroxyapatite [71]. It has been suggested that CDHAp play an important role in several processes, such as bone remodeling and bone formation. Schematic view of hydroxyapatite application is represented in Fig. 3 [72].

Biphasic calcium phosphates (BCPs) are very important mixtures of calcium hydroxyapatite and tricalcium phosphate. BCPs ceramics combine low solubility and osteoconductivity of apatite with the osteoinductivity of a more soluble phase, such as  $\beta$ -TCP [67]. Monophasic stoichiometric CHAp has a limited ability and interfaces with new bone tissue and also can limitedly stimulate development of new bone tissue [71]. In contrast  $\beta$ -TCP-based bone substitute materials have a fast degradation rate, which may lead to clinical implant failure due to their inadequate osteoconductive properties [73].



Fig. 3. Schematic view of application of calcium hydroxyapatite.

Therefore the focus was placed on more soluble calcium phosphates, from CHAp to biphasic calcium phosphates (CHAp and  $\beta$ -tricalcium phosphate mixtures ( $\beta$ -TCP;  $\beta$ -Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> )),  $\beta$ -TCP,  $\alpha$ -tricalcium phosphate ( $\alpha$ -TCP;  $\alpha$ -Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub>), octacalcium phosphate (OCP; Ca<sub>8</sub>H<sub>2</sub>(PO<sub>4</sub>)<sub>6</sub>·5H<sub>2</sub>O), anhydrous dicalcium phosphate (DCP; CaHPO<sub>4</sub>) and dicalcium phosphate dihydrate (DCPD; CaHPO<sub>4</sub>·2H<sub>2</sub>O) [70, 71].

Surface layers of TCP enhance bonding with adjacent host bone. This stimulates osteoclastic resorption and osteoblastic new bone formation within the resorbed implant [1, 19]. Whereas CHAp,  $\beta$ -TCP,  $\alpha$ -TCP and OCP are mostly resorbed by osteoclasts, DCPD and possibly DCP may be resorbed by a simple dissolution [2]. BCPs may be produced physically mixing CHAp and  $\beta$ -TCP, or chemically by sintering calcium-deficient apatites at high temperatures resulting in mixture of two different phases. Specific chemical properties of BCPs depend on CHAp and  $\beta$ -TCP content in the mixture [21].

#### 2.2.1. Structural features of calcium hydroxyapatite

CHAp has hexagonal P6<sub>3</sub>/m crystal structure, however stoichiometric and perfectly pure CHAp can crystallize in the monoclinic system [72, 74, 75]. The monoclinic form was discovered much later than the hexagonal form. The space group for stoichiometric monoclinic CHAp is  $P2_1/b$  with unit cell parameters of a=9.421 Å, b=2a, c=6.881 Å and  $\gamma$ =120° [76]. The unit cell parameters of hexagonal crystal cell are a = b = 9.432 Å, c = 6.881 Å and  $\gamma$ =120°. The overall XRD patterns of hexagonal and monoclinic CHAp are almost identical; however the pattern of monoclinic CHAp has additional weak lines the intensities whereof are less than 1 % of the strongest hexagonal CHAp line [74].

Important structural features of stoichiometric hydroxyapatite are its structural hydroxyl groups arranged at the edges of unit cells, forming the columns –OH–OH–OH– (see Fig. 4.) [25].



Fig. 4. Structure of calcium hydroxyapatite.

Oxygen atoms of these groups are spaced in such a way that they are unable to form hydrogen bonds. Hydroxyapatite includes two types of calcium cations referred to as Ca (I) and Ca (II). The atoms of calcium Ca (I) are located at the edges of a hexagonal unit cell, while the atoms of calcium Ca (II) form equilateral triangles with the column of structural hydroxyl groups in the middle. Phosphate ions are the largest ions that build unit cells and determine their structure [72, 76]. Fig. 5. presents structure of calcium hydroxyapatite to crystallographic c and a axis, showing the OH<sup>-</sup> channels and the different types of Ca ions [22].



Fig. 5. Structure of calcium hydroxyapatite to crystallographic c and a axis, showing the OH<sup>-</sup> channels and the different types of Ca ions ( Ca-green; O-red; P-purple; H-white).

The arrangement of phosphate ions in the crystal structure is such as to provide the channel structure wherein calcium atoms are located within two different surroundings, Ca (I) and Ca (II). Ca (I) one is parallel to the c-axes bounding nine oxygen atoms, i.e., 3 atoms in each of the positions O (1), O (2) and O (3). Ca (II) surroundings contain one O (1), one O (2), four O (3) and one OH<sup>-</sup> ion. Ca (II) has a larger atomic radius than Ca (I). The substitution of carbonate group occurs either in the PO<sub>4</sub><sup>3-</sup> position (for the B type of apatite) or in the OH<sup>-</sup> position (for the A type of apatite) [57, 61, 77–79]. In Fig. 6. different types of Ca and oxygen ions arrangements in the structure are presented [80].



Fig. 6. Types of calcium polyhedra in the structure of apatite. (a) columns of ninefold coordinated Ca1 polyhedra and (b) triangles of sevenfold coordinated Ca2 polyhedra.

CHAp hexagonal structure possesses two different binding sites called C and P sites on the crystal surface, where proteins can be bind. After dispersing CHAp particles in aqueous media, calcium atoms (CaII) (C sites) are exposed on the CHAp surface by dissolution of OH<sup>-</sup> ions at the particle surface. Therefore, the C sites are arranged on *ac* or *bc* crystal faces in a rectangular manner with the interdistance in the a or b directions equal to 0.943 nm and the interdistance in the c direction equal to 0.344 nm (c/2). The P sites are arranged hexagonally on the *ab* crystal face with a minimum distance of 0.943 nm. The C sites are rich in calcium ions or positive charges and thus bind to acidic groups of proteins, but the P sites are negatively charged and therefore attach to basic groups of proteins [61, 77–79].

An important aspect is that CHAp structure is so tolerant to ionic substitutions, because Ca sites can contain various divalent (Ca<sup>2+</sup>, Mg<sup>2+</sup>, Sr<sup>2+</sup>, Cd<sup>2+</sup>, Pb<sup>2+</sup> and Ba<sup>2+</sup>) and trivalent cations (Al<sup>3+</sup>, Fe<sup>3+</sup>) [53]. Small quantities

of some ions may cause changes/improvements in biological, physicochemical or mechanical properties [72, 81, 82].

## 2.2.2. Physical properties of calcium hydroxyapatite

Surface roughness, porosity, charge, solubility of CHAp, crystallinity and stoichiometry (e.g. calcium to phosphate (Ca/P) ratio) are very important for bioactivity, osteoconductivity and osteoinductivity [60, 67]. Bioactivity is a very important property of bioactive materials, because this property is due to ability to form a direct bond between tissues and the material resulting in a uniquely strong interface. Bioactivity is associated with the formation of bone apatite-like (carbonated calcium hydroxyapatite, CHAp) on surfaces of biomaterial [83]. Osteoconductivity is also a very important property, which allows cellular infiltration and attachment, cartilage formation and calcified tissue deposition in biomaterial [83]. Osteoinductivity is the ability of a material to recruit and induce progenitor or undifferentiated cells to differentiate towards the osteoblastic lineage [67]. Common markers of the osteoblastic phenotype include type I collagen, alkaline phosphatase (ALP), bone morphogenetic protein-2 (BMP-2), osteopontin (OPN), osteocalcin (OCN) and bone sialoprotein (BSP) [67].

Properties of calcium phosphates, which influence biological processes including protein adsorption, cell adhesion and cell differentiation are presented in Fig. 7 [17].

In recent years, great attention was placed on the fabrication of bioceramics with high level of porosity. The size and density of interpore connections are very important factors for osteoconduction into the porous of CHAp. Pore size, pore shape, pore interconnectivity and total porosity of the scaffold play a crucial role in successful tissue regeneration [4, 5, 10, 19]. Bone substitute materials have clinical success when they interact with tissue structures and cells, which interconnect into a macroporous (of diameter >100  $\mu$ m) structure of bone substitute materials. Microporosity (of diameter < 10  $\mu$ m) has been demonstrated to allow body fluid circulation, whereas macroporosity (of

diameter > 100  $\mu$ m) - to provide a scaffold for bone cell colonization [83]. The optimal pore size must be larger than 50-100  $\mu$ m or even 250-500  $\mu$ m for penetration of bones and implant absorption [19, 52]. Moreover, pore size affects the biocompatibility of synthetic ceramics [19].



Fig. 7. Properties of calcium phosphates, which influence biological processes.

Lager pores size and lower macroporosity show greater ingrowth of biomaterial compared to bioceramics with smaller pore size and higher macroporosity [83]. Porosity of pores ranging from 20 nm to 500 µm was shown enhance protein adsorption significantly. CHAp particles with higher porosity and diameter of pores can adsorb more proteins than particles with lower porosity. In addition, a larger number of micropores was also shown to enhance the adsorption of proteins, such as fibrinogen and insulin, but not type I collagen [67]. In the review, Samavedi et al. mentioned some comparative studies on surface roughness, establishing that higher roughness of surface leads to better adsorption of proteins. Protein adsorption also depends on surface charge and solubility. Hydrophilicity or hydrophobicity is a very important property due to denaturation of proteins. A surface of higher hydrophilicity level is more desirable, because a better interaction with biological fluids, cells, proteins and tissues has been observed compared to that of hydrophobic surface [7, 67]. Protein absorption on CPC can also be affected by electrostatic interactions with both cationic calcium sites and anionic phosphate sites; however, adsorption also depends on the structure of proteins.

Ionic strength and pH of the aqueous environment also affect protein adsorption. The solubility of CPCs (ACP > TCP > BCP > CHAp) may also influence protein adsorption by affecting the equilibrium ion concentration near the material surface [67]. Solubility constants are presented in Table 3 [17].

CPC	Solubility (~K <sub>sp</sub> )	Ca/P ratio	Osteoconductivity
СНАр	Poor $(10^{-58})$	1.67	+
ТСР	Fair (10 <sup>-25</sup> -10 <sup>-29</sup> )	1.5	++
ACP	High (10 <sup>-23</sup> -10 <sup>-25</sup> )	1.15-1.67	+++
ВСР	Variable (dependent on TCP/CHAp ratio)	1.5-1.67	++++

Table 3. Solubility of CPC and osteoconductivity.

Dissolution of BCP depends on CHAp/β-TCP ratio: a higher ratio leads to lower dissolution of bioceramics (see Fig. 8) [37].

Dissolution process also is affected by microporosity and macroporosity of bioceramics: decreasing crystal size and increasing microporosity and macroporosity lead to higher dissolution [83].



Fig. 8. Effect of CHAp/β-TCP ratios on the dissolution properties of BCP: the higher the ratio, the lower the extent of dissolution. Dissolution experiments conducted in 0.1 M KAc, pH 6, and 37 °C. The release of calcium ions to the acidic buffer was monitored using calcium-selective ion electrode. The CHAp/b-TCP ratios of the BCP samples: (a) 80/20; (b) 40/60 and (c) 20/80.

#### 2.2.3. Synthesis methods

Composition, physicochemical properties, morphology and crystal sizes of synthetic calcium hydroxyapatite are very sensitive to the preparation conditions [84, 85]. Depending on synthesis methods and conditions impurities and additional products can be observed. For example, common impurity phases in synthetic apatites prepared by precipitation from supersaturated aqueous solutions are calcium phosphate compounds, such as amorphous calcium phosphates (ACP) with variable compositions of  $Ca_3(PO_4)_{2-2x}(HPO_4)_{3x} \cdot nH_2O$ , octacalcium phosphate (OCP),  $Ca_8(HPO_4)_2(PO_4)_4 \cdot 5H_2O$ , and calcium hydrogenphosphate dihydrate (DCPD),  $CaHPO_4 \cdot 2H_2O$ .

CHAp powders can be synthesized by solid state reaction, wet synthesis, precipitation, sol-gel and hydrothermal methods [44, 84–90]. A solid state reaction process is a relatively simple and chemically hazard free process, which can yield large amounts of material having desirable structure and properties [91]. This procedure relies on diffusion of ions among powder raw materials and thus requires high temperature processing (< 1250°C) to initiate

the reaction [92]. Solid state reaction requires high temperatures and a long heat treatment, but the products are well crystallized and stoichiometric. The solid state process has high reproducibility and low processing costs in spite of the risk of contamination during milling [93, 94]. Calcium and phosphorous compounds used as starting materials in the solid state reaction process are dicalcium phosphate anhydrous (CaHPO<sub>4</sub>), dicalcium phosphate dihydrate (CaHPO<sub>4</sub>·2H<sub>2</sub>O), monocalcium phosphate monohydrate (Ca(H<sub>2</sub>PO<sub>4</sub>)<sub>2</sub>·2H<sub>2</sub>O), calcium pyrophosphate (Ca<sub>2</sub>P<sub>2</sub>O<sub>7</sub>), calcium carbonate (CaCO<sub>3</sub>), calcium oxide (CaO) and calcium hydroxide (Ca(OH)<sub>2</sub>). Scheme of solid state process is presented in Fig. 9 [49].

The wet-chemical precipitation method was originally suggested in 1976 [85]. These precipitation methods are among most widespread techniques due to their simplicity, availability and the use of relatively inexpensive raw materials [92]. In application of this method, chemical reactions occur between calcium and phosphorus ions under controlled pH and temperature of the solution [95, 96].



Fig. 9. Preparation of CHAp powder via solid-state method.

The precipitation method does not require high temperatures (temperatures stay below 100 °C), while high percentages of pure products and nanometric-size crystals can be obtained [85]. However, reactions require fine-tuning to optimize morphology and minimize crystal growth. Furthermore, a number of surfactants (e.g. PVA) or dispersants (e.g. ammonium polyacrylate,

ethanolamine) have been investigated with the aim of reducing particle agglomeration. After precipitation process powder is typically calcined at 400-600 °C (or even at higher temperatures) in order to obtain a stoichiometric apatite structure [96]. In some cases, a well-crystallized CHAp phase was only developed while approaching a sintering temperature of 1200 °C [92, 95, 96]. In application of this method, many factors influence the properties of hydroxyapatite, such as starting materials, pH, stirring speed, temperature, ageing time, etc. [85, 92, 95]. For example, fast precipitation process leads to chemical inhomogeneity in the final product, however slow titration and dilution processes must be used to improve chemical homogeneity and stoichiometry of the resulting CHAp [95, 96]. Scheme of wet precipitation method is presented in Fig. 10 [49].



Fig. 10. Preparation of CHAp nanoparticles via chemical precipitation.

Hydrothermal synthesis offers a relatively simple and effective way to prepare a high degree of crystallinity, with a Ca/P close to the stoichiometric value and well-dispersed CHAp nanoparticles [84, 97]. Hydrothermal processing can be defined as any heterogeneous reaction in the presence of aqueous solvents or mineralizers under high pressure and temperature conditions to dissolve and recrystallize (recover) materials that are relatively insoluble under ordinary conditions [98]. Hydrothermal process occurs often using water as a solvent (with precursor soluble ions) and heating it in a sealed vessel [92]. Additives, such as ethylenediaminetetraacetic acid (EDTA), cetyltrimethylamonium bromide (CTAB) and poly(amido-amine) (PAMAM) are utilized to modify morphology of CHAp nanoparticles during hydrothermal synthesis [97]. Temperature of the solvent can increase up to a boiling point, and then autogenous pressure occurring within the vessel exceeds the ambient pressure. It is very important to choose the optimal pH value of reaction and hydrothermal temperature and also duration of hydrothermal treatment, because these factors affect CHAp morphology and particle size (Fig. 11.) [44, 99].



Fig. 11. Effect of pH, temperature, and duration of hydrothermal treatment on phase, morphology and particle size of the CaP powder.

These methods can be performed at substantially lower temperatures. The main difference compared to other low temperature methods such as wet chemical precipitation and sol-gel synthesis is the required post heat treatment to crystallize the CHAp, whereas crystalline CHAp can be produced in one step via hydro- and solvothermal synthesis. The main disadvantages of this method include the use of a limited size reaction vessel and complex equipment. Microwave-hydrothermal method enables the synthesis of the ultra-fine and high purity powders for shortening working time. This method has some advantages, such as heating throughout the media, rapid heating, fast reaction, high yield, excellent reproducibility, narrow particle distribution, high purity and high efficient energy transformation [100, 101].

The sol-gel method is a very attractive technique to produce ultra-fine and pure ceramic powders, fibers, coatings, thin films and porous membranes under mild conditions [96, 102]. This method is treated as a promising way for convenient synthesis because of few facilities used, high output and easily controllable operation processes [103, 104]. The sol-gel reaction occurs under low temperature in molecular mixing level of calcium and phosphorous precursors resulting in high purity, crystallinity, homogeneity and thermal stability of CHAp [58, 87, 95, 105]. This process allows forming fine-grain microstructure containing a mixture of nano-to-submicron particles with crystalline structure [106]. Advantages of the sol-gel process are the following: (a) the ability to produce high purity material; (b) the ability to change physical characteristics, such as pore size distribution and pore volume; (c) the ability to vary compositional homogeneity at a molecular level; (d) the ability to introduce several components in one step and (e) the ability to produce samples in different physical forms [102, 103, 107]. The synthesis of CHAp in application of the sol-gel method requires a correct molar ratio of 1.67:1 between Ca and P in the final product [106]. Fig. 12 presents a common structure of sol-gel process [103].

Different starting materials can be used to synthesize CHAp using different fabrication methods (Table 4).

CHAp crystals synthesized using sol-gel method are very efficient to improve the contact and stability at the artificial/natural bone interface observed in in-vitro and in-vivo environments [106].



Fig. 12. CHAp synthesis process via sol-gel method.

Ca precursor	P precursor	Method	References
$Ca(NO_3)_2$	(Na) <sub>2</sub> HPO <sub>4</sub>		[97]
Ca(OH) <sub>2</sub>	CaHPO <sub>4</sub> ·2H <sub>2</sub> O		[99, 101]
	$H_3PO_4$	Hydrothermal	
	$NaH_2PO_4 \cdot 2H_2O$	itydromorniai	[82, 108, 109]
$Ca(NO_3)_2$ ·4H <sub>2</sub> O	$(NH_4)_3PO_4$		
	$(NH_4)_2HPO_4$		
	cytidine 5'-	Microwave-	[100]
CaCl <sub>2</sub>	triphosphate	assisted	
	disodium salt	hydrothermal	
	(CTP)		FO.C. 100, 104, 10C
	$(CH_3O)_3P$		[96, 102, 104, 106,
$Ca(NO_2)_2$ $H_2O_1$	$NH_4H_2PO_4$		107, 110]
	$P(C_2H_5O)_3$	Sol-gel	
	$P_2O_5$	501 501	
C <sub>2</sub> (OH)	2-ethylhexyl-		[102]
	phosphate		
CaCO <sub>3</sub>	$Ca_2P_2O_7$	Solid-State	[111]
$C_{2}(NO_{2})$ , $4H_{2}O_{2}$	(NH) HPO		[58, 84, 85, 88,
$Ca(1NO_3)_2 4\Pi_2 O$	$(111_4)_2$ $(110_4)_4$	Wet	112]
Ca(OH) <sub>2</sub>	$H_3PO_4$	precipitation	[58, 102]
	$(NH_4)_2HPO_4$		

Table 4. Precursors used to synthesize CHAp powders.

## 2.3. Thin films of calcium hydroxyapatite as bone graft materials

Metallic biomaterials, such as stainless steel, cobalt-based alloy, titanium and its alloys are widely used as artificial hip joints, bone plates and dental implants due to their excellent mechanical properties, high tensile strength, high yield strength, resistance to cyclic loading (fatigue), resistance to time dependent deformation (creep) as well as corrosion resistance and endurance [113–115]. However, there are some problems with metallic implants due to corrosion and release of ions in biological fluids after implantation, which leads poor implant fixation or even rejection of implant due to a lack of properties, such as osteoconductivity and osteoinductivity, and infections due to bacterial adhesion and colonization at the implantation site [113, 116, 117]. For example, unmodified titanium is prone to bacterial infections that may eventually lead to inflammation and destructive failure of the implant [118]. To overcome these surface problems, surface modification was established, including chemical treatment, physical and biological methods [113].

In the 1980s, de Groot et al. published their work on the development of plasma-sprayed hydroxyapatite implants. At the same time, Furlong and Osborn, two leading surgeons in the orthopaedics field, began implanting plasma-sprayed stems in patients [20, 119, 120]. In fabricating bioceramics for medical implants restoring hard tissue, the main attention has been placed on mechanical properties, design and biocompatibility [114]. Calcium hydroxyapatite thin films are currently used as biomaterials for many applications in both dentistry and orthopaedics, because they form a real bond with the surrounding bone tissue after implantation, therefore prolongs the lifetime of prostheses [117, 121–125]. Due to poor mechanical properties (brittle and break easily) of CHAp, it cannot be used for load-bearing implants, therefore CHAp was coated on titanium substrate and its alloys to combine mechanical performance of the metallic and the bioactivity of CHAp [117, 119, 121, 126–132]. Clinical results showed that implants coated with calcium hydroxyapatite have much longer life times after implantation than uncoated devices, and they have been found to be particularly beneficial for younger patients [20, 119]. Moreover, Eulenberger et al. determined that a period of time of about 100 days was needed for recovery after the implantation of a metallic implant, however this time can be reduced to only 20 days through the use of hydroxyapatite (CHAp), leading to rapid bond development between CHAp and the surrounding bone tissue [133]. The main reason of using CHAp coating on metallic substrates is to keep mechanical properties of metal, such as load-bearing ability, and at the same time to take advantage of the coating's chemical similarity, osteoconductivity and biocompatibility with the bone, allowing direct bone formation across its surface by attachment, proliferation and differentiation of bone- forming cells [117, 123, 132, 134–139]. Implants can be made in different shapes, such as plates, rods, screws and pins, depending on site of their implantation [117].

The main disadvantage of CHAp implants is the rate of bone bonding after implantation, which is relatively low compared to bioactive glasses and glass ceramics. Therefore the suggestion was made to incorporate in the structure additional elements, which are found in physiological bone, for a faster integration after implantation. Incorporated ions (such as magnesium, silicon, fluorine and carbonate) affect the dissolution rate of apatites, and this has been shown to enhance the proliferation of human osteoblast-like cells in vitro and to encourage osseointegration [119, 140–142].

#### 2.3.1. Substrates

Medical implants are usually made of commercially pure titanium or titanium alloys (a+b alloys, Ti–6Al–4V, Ti–Al– Nb and b-Ti alloys), cobaltbased alloys (Co–Cr–Mo, Co–Ni–Cr–Mo, Co–Cr–W–Ni), stainless steel (primarily type 316L), Ni–Ti alloys, Au-based materials, and Ag–Sn alloys [1, 10, 11, 114, 136, 143–145]. Other substrates to improve properties of implants, adhesion between coatings and substrates, quality of CHAp coatings, also to simplify equipment and find the fastest and cheapest method to develop bone and dental implants were also developed and investigated: quartz and glass [146–148], silicon [148, 149], MgO [148], NiTi [150], Mg and its alloys [151–156], alumina and zirconia [157], and polymers [158].

Historically, the most popular metal has been titanium and its alloys due to their superior mechanical properties, such as tensile strength and fatigue strength, chemical stability, corrosion resistance and biocompatibility under in vivo conditions [1, 9, 17, 18, 114, 117, 128, 159–165]. Titanium as a pure metal was implanted into laboratory animals for the first time in 1940 [114]. Commercially pure titanium (cpTi) has various degrees of purity (graded from 1 to 4). This purity is characterized by oxygen, carbon and iron content. Most dental implants are made of grade 4 cpTi as it is stronger than other grades. Titanium alloys are mainly composed of Ti6Al4V (grade 5 titanium alloy) with greater yield strength and fatigue properties compared to pure titanium [7]. The two most commercially used specifications for implants are pure Ti (ASTM
F67) and Ti–6Al–4V (ASTM F136) [166]. Pure titanium can be used when primary importance of implant is corrosion resistance, however Ti–6Al–4V (ASTM F136) is an alpha–beta alloy, the microstructure, mechanical behaviour and chemical stability whereof depend on the type of heat treatment and mechanical working [114]. Mechanical properties of Ti-based metallic materials are presented in Table 5 [114].

Alloy	Elastic	0.2% offset	Ultimate	Elongation
designation	modulus	yeld strength	tensile	(%)
	(GPa)	(MPa)	strength	
			(MPa)	
Pure Ti	102-110	170-480	240-550	15-24
Ti-6Al-4V	110	860	930	10-15
Ti–6Al–7Nb	105	795	860	10
Ti-13Nb-13Zr	79-84	836-908	973-1037	10-16

Table 5. Mechanical properties of Ti-based metallic materials.

Stainless steels are iron based alloys with a minimum of 10.5% Cr as an alloying element needed to prevent the formation of rust. Stainless steel (18Cr–8Ni) was first used in orthopaedic surgery in 1926. For implant applications they must have the resistance to pitting and crevice corrosion from body plasma [114]. 316L stainless steel is biocompatible and has been used for many decades as a permanent surgical implant material due to its lower cost, excellent fabrication properties, good corrosion resistance and availability [145].

Cobalt-based alloys are most commonly used representative Co alloys for biomedical applications. The presence of Cr imparts corrosion resistance and small amounts of other elements, such as iron, molybdenum or tungsten, can render very good high temperature properties and abrasion resistance. Various types of Co–Cr alloys used in implant applications include Co–Cr–Mo (ASTM F75), Co–Cr–Mo (ASTM F799), Co–Cr–W–Ni (ASTM F90) and Co–Ni–Cr– Mo–Ti (ASTM F562) [114].

Due to excellent mechanical properties and biocompatibility, magnesium

and its alloys have attracted increasing attention for load-bearing biocompatible implant applications [151]. Moreover, Mg is also an essential element for bone metabolism promoting the formation of new bone tissue; being a cofactor for many enzymes, it stabilizes the structures of DNA and RNA. The major disadvantage of using magnesium in many engineering applications is its low corrosion resistance, which may manifest in pitting corrosion, especially in electrolytic, aqueous environments [151].

Comparison of mechanical properties between Implant Materials and bone is presented in Table 6 [167].

Material	Young's Modulus (GPa)	Tensile Strength (MPa)
Alumina	365	6-55
Sintered CHAp	70-90	50-110
CHAp coating	0.5-5.3	>51
316L stainless steel	193	540
Co-Cr alloys	230	900-1540
Ti-6Al-4V, wt %	106	900
PMMA bone cement	3.5	70
HDPE	1	30
Cortical bone	7-30	50-150
Cancellous bone	0.1-1	1.5-3

Table 6. Comparison of mechanical properties between implant materials and bone.

#### 2.3.2. Preparation techniques

Several coating methods have been used to deposit CHAp coatings on metal substrates: plasma spray [113, 114, 117, 121, 123], magnetron sputtering [113, 114, 123, 168–170], laser ablation [123], sol-gel technique [114, 117, 123, 133, 136, 168, 171, 172], dip-coating [117, 121, 170, 173], pulsed laser deposition [113, 114, 117, 121, 133, 168, 171], electrophoretic deposition [114, 117, 121, 133, 168, 171], ion beam assisted deposition [113, 114, 117, 133, 168], micro-arc oxidation [114, 168] and electrochemical deposition [20, 133, 168]. All these techniques are employed to enhance short- and long-term performance of implants by encouraging bone ingrowth and providing enhanced fixation.

Depending on the selected technique, different morphology of coating, various phases of calcium phosphate compounds and different thickness of coatings can be prepared. The selected method for the preparation of CHAp coatings onto the substrate could affect coating characteristics, such as adhesion strength and reliability [117]. Among the listed techniques, plasma spraying is the only process which is approved by the Food and Drug Administration (FDA), USA. for biomedical coatings. However, plasma-sprayed hydroxyapatite coatings have poor mechanical properties on tensile strength, wear resistance, hardness, toughness and fatigue [117, 174]. Also dentists hesitate to use implants with plasma-sprayed CHAp because of poor coatingsubstrate bonding and fragility of coating, which often causes serious clinical problems [174]. For this reason, other techniques were employed to improve properties and quality of coatings. In subsequent literature review, some CHAp thin films deposition techniques are discussed.

### 2.3.2.1. Dip-coating technique

Dip-coating process starts with immersing substrate in the solution; second step is withdrawal of substrate while liquid film is entrained on surface of substrate; a thin film on substrate is formed upon evaporations of solvents and any accompanying chemical reactions in the liquid film [117]. Dip-coating process is very fast and allows achieving a complete transition within a few seconds. Dip coating is fairly popular in the industry and laboratory applications due to its low cost, simple processing steps and high coating quality [117].

In application of the dip-coating technique, calcium hydroxyapatite coatings can be homogenous with thickness in the range of 0.05-0.5  $\mu$ m. The surface of CHAp can be well controlled using this method. Moreover, processing time for dip coating can be very short, even for substrate with complex shapes. The coating layer is deposited on the surface of the substrate without decomposition or reaction with metal substrate. Dip-coating process schematically is presented in Fig. 13 [117].

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Fig. 13. Fundamental stages of dip coating (the finer arrows indicate the flow of air).

# 2.3.2.2. Spin-coating technique

Spin coating has been used for several decades for the fabrication of thin films [9, 110, 175–178]. A typical process involves depositing a small amount of fluid resin onto the centre of a substrate, and then substrate is accelerated rapidly to the desired rotation rate (typically around 3000 rpm) [179, 180]. Liquid flows radially due to centrifugal force, and the excess is ejected off the edge of the substrate. The film continues to thin slowly until disjoining pressure effects cause the film to reach an equilibrium thickness or until it turns solid-like due to a dramatic rise in viscosity from solvent evaporation. Final film thickness and other properties depend on the nature of resin (viscosity, drying rate, percent solids, surface tension, etc.) and the parameters chosen for the spin process [179, 180]. Schematic diagram of the spin-coating process is shown in Fig. 14 [181].

There are two methods of spin-coating process: static and dynamic. Static dispense means simply depositing a small puddle of fluid on or near the center of the substrate. The amount of fluid depends on the viscosity of fluid and the size of the substrate to be coated. Higher viscosity and higher substrate require greater solution amounts before a high speed spin step. Dynamic dispense means depositing a small amount of fluid on substrate rotating them at low speed. Typically a speed of about 500 rpm is used in this step of the process. It

serves to spread fluid over the substrate. Later on, substrate is accelerated at high speed to thin the fluid to near its final desired thickness. Spin speed of 1500-6000 rpm is usually used, depending on properties of fluids and on the substrate. This step can take from 10 seconds to a few minutes. The thickness of thin films depends on a selected spinning rate. Generally, a higher speed and longer spinning time create thinner films [180].



Fig. 14. Schemati c diagram of the spin-coating process.

### 2.3.2.3. Other techniques

*Plasma spray deposition technique*. Powder plasma spray (PPS) is the first and most popular commercial method to make thin coatings of CHAp [113, 114, 121, 122, 161]. There are different types of a thermal spray process: atmospheric plasma spraying (APS), vacuum plasma spraying (VPS), suspension plasma spraying (SPS), liquid plasma spraying (LPS), etc.), highvelocity suspension flame spraying (HVSFS), high velocity oxy-fuel (HVOF), gas tunnel type plasma spraying (GTPS), detonation gun spraying etc., which are elaborated to fabricate a bioactive CaP-based coatings [113, 168]. Because of being operated under extremely high temperatures, namely, 6000-10000 °C, main disadvantages of these coatings include mixture of calcium phosphate phases, such as crystalline hydroxyapatite, amorphous hydroxyapatite, oxyhydroxyapatite, tetracalcium oxide, calcium oxide and tricalcium phosphate phases, non-uniform thickness, poor crystallinity, poor integrity, uneven resorption, poor porosity and low adhesion between coating and substrate [117, 121–123, 133, 170, 182–186]. When an additional amorphous phase is placed on the outer surface of coating, it promotes the growth of osseous tissue compared to crystalline sintered hydroxyapatite. However, high dissolution of the amorphous phase could affect implant stability. The location of the amorphous phase can especially be detrimental in the long term, if the amorphous phase is in regions adjacent to the substrate, where preferential dissolution of amorphous phase can lead to delamination and, hence, an implant failure [187]. One of the main problem of coatings deposited applying a plasma spray method is a greater coating thickness (50–200 µm), which affects fatigue under tensile loading conditions [114, 142]. Also, increasing thickness can lead to cracks forming at the substrate/coating interface. For example, the amorphous hydroxyapatite phase, which generally makes up 5% to 20% of plasma-sprayed coatings, degrades much more rapidly than the crystalline hydroxyapatite phase [122]. In addition, plasma-sprayed coatings contain more molten particles, defects and cracks, the function whereof is to degrade coating integrity [122]. For these reasons, plasma-sprayed coatings have exhibited mechanical failure at the coating–substrate interface [122].

Plasma-spray process occurs by spraying molten or heat-softened material onto the surface to provide coating [114]. Material in the form of powder is injected into a high-temperature plasma flame, where it is rapidly heated and accelerated at a high velocity towards a substrate for coating [114, 117, 142, 187, 188]. In the plasma-spray process He, Ar, N<sub>2</sub>, H<sub>2</sub> and a mixture of these gases are used. Plasma spray process is presented in Fig. 15 [117].



Fig. 15. A schematic diagram of thermal spray coating.

*Pulsed laser deposition technique.* Pulsed laser deposition (PLD) is a universal technique used to ablate a target material and condense it on the surface of a substrate material to form a wide range of thin films and multi-layered structures in any kind of materials by using appropriate lasers [114, 121]. For the first time, high-quality calcium hydroxyapatite thin films were deposited on Ti–6Al–4V substrates using a pulsed laser deposition technique in 1992 by Cotell et al., and since then the process has been improved significantly to obtain a well-adhered and highly crystalline CHAp thin films under certain conditions [117, 121, 189]. Typical equipment of a pulsed laser deposition chamber equipped with a rotating target and a fixed substrate holder with pumping systems (see Fig. 16) [113, 114, 121].



Fig. 16. Schematic of a PLD setup.

Pulsed laser deposition mechanisms consist of a sample irradiation of an intense laser beam. A small amount of material is vaporized on the surface and ejected away from the sample. Due to CHAp decomposition, the laser-target interaction produces molecules, such as  $Ca_4P_2O_9$ ,  $Ca_3(PO_4)_2$ , CaO,  $P_2O_5$  and  $H_2O$  [113]. In application of the PLD method, different substrate temperature can be chosen to provide thin films with different fine texture and roughness depending on coating application. The main disadvantage and limitation of this process is the splashing of particulate deposition on the film [117].

Magnetron sputtering technique. The magnetron sputtering technique is an ionic sputtering method, where a highly energetic ion beam strikes a solid target and knocks of the atoms from the surface onto the substrate [114, 117]. The magnetron sputtering process was used for depositing metals, alloys and compounds onto a wide range of materials with thickness ranging from  $<1 \mu m$ to about 5 µm [114, 141, 190]. Using this method, different type of coatings can be synthesized (hard, wear-resistant, low-friction, corrosion-resistant coatings, decorative coatings and coatings with specific optical or electrical properties) [191]. This method has some advantages, such as a high deposition rate, high-purity films, extremely high adhesion of films, ability to coat heatsensitive substrates, excellent uniformity on large area substrates [114, 192]. Using this technique, coatings can be  $<1 \mu m$  thick with controlled microstructure, dense, uniform and well-adhered to metallic, ceramic or polymeric substrates [117, 119]. Due to the above-mentioned advantages of the magnetron sputter deposition technique, many researchers have explored the deposition of CaP films on metallic materials for implant applications by this technique. Scheme of magnetron sputtering deposition technique is presented in Fig. 17 [114].



Fig. 17. Schematic of a magnetron sputter deposition system.

*Radio-frequency (RF) magnetron sputtering.* Research group consisting of Yamashita, Jansen, Wolke et al. used radio-frequency magnetron sputtering technique for the first time to prepare CHAp coatings [113]. The equipment of this technique for CaP coatings includes vacuum chamber, RF generator, matching network, magnetron and cooling system (see Fig. 18) [113, 193]. Parameters, such as discharge power, gas flow rate, working pressure, substrate temperature, deposition time, post-heat treatment or negative substrate bias, can be controlled and also they influence quality of CaP coatings. Substrate can be kept at constant temperatures during the deposition process or can be subjected to plasma irradiation without additional heating. Different types of coatings (pure CHAp, Si-CHAp, carbonated CHAp, and Zn, Mg, and Al-doped CaPs) can be prepared [113, 142, 166, 192].



Fig. 18. Schematic diagram of the typical RF magnetron sputtering facility.

Ion beam assisted deposition technique. A typical IBAD system (Fig. 19) consists of two main parts: electron or ion beam bombarding and vaporizing a CHAp bulk target to produce an elemental cloud towards the surface of a substrate and a source for simultaneous irradiation of a substrate with highly energetic inert (e.g.  $Ar^+$ ) or reactive (e.g.  $O_2^+$ ) gas ions to assist in the deposition of CHAp [113, 114, 117]. A few angstroms to several (2-4 µm) micrometres-thick CaP coatings can be prepared. High bond strength associated with the IBAD prepared films is considered a consequence of an atomic intermixing interfacial layer which can be a few µm thick [113].



Fig. 19. Scheme of ion beam assisted deposition technique using a single ion source.

*Electrophoretic deposition technique.* Electrophoretic deposition (EPD) techniques involve the deposition of coatings from charged powder particles suspended in liquid medium onto substrate electrode under the influence of an electric field [114, 117]. EPD process can be divided into two types depending on which electrode deposition occurs. When the particles in suspension are positively charged, the deposition takes place on the cathode and the process is called cathodic electrophoretic deposition. If the particles in suspension are negatively charged, the deposition takes place on the anode and the process is called anodic electrophoretic deposition [114]. The main advantages of electrophoretic deposition technique include the following: coatings can be deposited on any kind of surfaces, such as flat, cylindrical or any other shaped substrate with minor changes in electrode design and positioning; process is quick and does not require any complicated apparatus; different compounds can be deposited; coatings are strongly adhered and homogeneous like in any other dip and spray coating technologies; produced coatings are of a wide

range of thickness - from less than 1  $\mu$ m to more than 100  $\mu$ m thick. Scheme of electrophoretic deposition technique is presented in Fig. 20 [114, 117].



Fig. 20. Schematic illustration of electrophoretic deposition process: (a) cathodic EPD and (b) anodic EPD.

One disadvantage of this process is that water cannot be used as solvent, because the application of voltage to water causes the evolution of hydrogen and oxygen gases at the electrodes which could adversely affect the quality of deposits formed [114]. Another limitations of the technique include low adhesion strength, decrease in porosity and cracking on the coated surface due to post-process heat treatment shrinkage [117].

*Sol-gel technique*. The sol-gel process is a low-cost, easy, well-controlled wet method to develop CaP coatings from calcium and phosphate containing precursor solutions on different substrates for medical implants due to its ability to produce crystalline films at relatively low temperatures, possibility to tailor the microstructures, and its convenience for complex shape coatings [11, 110, 114, 194–196]. The sol-gel thin films coating process can be divided into the following steps: (a) preparation of sol by hydrolysis and condensation reactions using organic or inorganic routes [114, 117, 197, 198]; (b) ageing of sol at a suitable temperature for arriving at desired properties (e.g., optimum viscosity) [114, 117]; (c) sol coating on substrate in application of the casting, spinning, drawing, coating, emulsification, dipping, spraying or other process [114, 117]; (d) coated sol drying, usually followed by heating, to obtain the

desired product [114, 117]. Sol-gel techniques have some advantages, such as: (1) produced coatings show excellent adhesion; (2) high homogeneity and purity coatings; (3) process is under control; (4) low temperature of synthesis allows adding thermolabile organic or inorganic compounds and biologically important molecules, such as polymers and/or medicaments, in the structure; (5) corrosion resistance performance due to the ability to form thick coating [114, 117, 196, 199]. The sol-gel coating technique is a simple, economic and effective method to produce high-quality coatings [114, 117]. Thickness of coatings can be less than  $0.1\mu$ m [178].

*Electrochemical deposition technique.* Various calcium phosphate coatings, including carbonated apatite, brushite, octacalcium phosphate and CHAp, can be deposited using electrochemical deposition technique [20]. Electrochemical deposition technique has some advantages, such as good and controllable composition and structure of coatings and the use of low temperatures, which allow for the formation of highly crystalline deposits with low residual stresses, and the ability to deposit on non-line-of-site, porous or complex surfaces [20]. The structure of the coating can be controlled by changing the composition, pH and temperature of the electrolyte, as well as the applied potential or current density.

Deposition of apatite coatings from simulated body fluids (SBFs). Deposition of apatite coatings from simulated body fluids is a biomimetic deposition method. The biomimetic approach has some advantages, such as (1) being a low-temperature process applicable to any heat-sensitive substrate, including polymers; (2) forming bonelike apatite crystals having high bioactivity and good resorption characteristics; (3) being evenly deposited on, or even into, porous or complex implant geometries; (4) being able to incorporate bone-growth-stimulating factors [200]. The biomimetic process consists of soaking metal implants in simulated body fluids at physiological temperature and pH [200]. Coatings are deposited by immersing metal, glasses and polymers in SBFs. SBFs have the same temperature, pH and inorganic composition as human blood plasma, and it is unknown whether these

conditions are optimal for a coating process. Coatings are obtained by immersing substrates for a long time (7-14 days). During this time, the composition of SBFs is replenished for apatite crystal growth, also pH and temperature are kept constant [200]. Due to low solubility product of CHAp and limited concentration range for metastable phase, this operation is extremely difficult and might lead to local precipitation or uneven coatings. Such an intricate and long process can hardly be applicable in the coating prostheses industry [200].

It must be mentioned, that during long heat treatment in the range of 900-1300°C hydroxyapatite starts temperatures to decompose by dehyroxylation process forming oxyhydroxyapatite (OHAp) [201–204]. OHAp has a large number of vacancies in its structure, a bivalent oxygen ion and a vacancy substitute for two monovalent OH<sup>-</sup> ions of CHAp, which can be presented by a formula:  $Ca_{10}(PO_4)_6(OH)_{2-2x}O_xV_x$ , where V stands for vacancy. When x=1, oxyapatite ( $Ca_{10}(PO_4)_6O$ ; OAp) is formed [185, 187, 205]. The XRD patterns of calcium hydroxyapatite and calcium oxyhydroxyapatite are almost identical [205]. The following decomposition of OHAp yielding calcium phosphates, mainly tricalcium phosphate (TCP) and tetra-calcium (TeCP) [201, phosphate 204]. Steps of decomposition process:  $CHAp \rightarrow OHAp \rightarrow OAp \rightarrow TCP + TeCP$ [204]. Hydroxyapatite starts to decompose by evaporating water and forming partially or completely dehydrated oxyhydroxyapatite:

Step 1:  $Ca_{10}(PO_4)_6(OH)_2 \rightarrow Ca_{10}(PO_4)_6(OH)_{2-2x}O_x + xH_2O$ , with  $0 \le x \le 1$ . Step 2:  $Ca_{10}(PO_4)_6(OH)_{2-2x}O_x \rightarrow Ca_{10}(PO_4)_6O + (1-x)H_2O$ 

If *x* reaches a critical value the destruction of the apatite channel structure occurs, and an equilibrium with tricalcium phosphate (TCP,  $Ca_3(PO_4)_2$ ) and tetracalcium phosphate (TeCP,  $Ca_4(PO_4)_2O$ ) exists [202, 203]: Step 3:  $Ca_{10}(PO_4)_6O \rightarrow Ca_4(PO_4)_2O + Ca_3(PO_4)_2$ or  $2Ca_{10}(PO_4)_6O \rightarrow 2Ca_3(PO_4)_2 + Ca_2P_2O_7 + 3Ca_4P_2O_9$ 

Oxyapatite remains stable under dry conditions and will readily transform

to hydroxyapatite in the presence of moisture, according to reaction (1), where V represents a vacancy [187]:

 $2OH(s) \rightarrow O^{2}(s) + V(s) + H_2O(g) (1)$ 

Overview of calcium hydroxyapatite thin films deposition techniques is presented in Table 7.

Table 7. Different techniques to deposit CHAp coatings [117, 120].

Technique	Thickness	Advantages	Disadvantages
Thermal spraying	30-200 μm	High deposition rates; low cost	Line of sight technique; high temperatures induce decomposition; rapid cooling produces amorphous coatings
Pulsed laser deposition	0.05-5 μm	Coating with crystalline and amorphous; coating with dense and porous	Line of sight technique
Sputter coating	0.5-5 μm	Uniform coating thickness on flat substrates; dense coating	Line of sight technique; expensive time consuming;
Ion beam assisted deposition	< 0.03-4µm	Low temperature process; high reproducibility and reliability; high adhesion; wide atomic intermix zone are coating-to- substrate interface	Crack appearance on the coated surface
Electrophoretic deposition	<1-200μm	Uniform coating thickness; rapid deposition rates; can coat complex substrates	Difficult to produce crack- free coatings; requires high sintering temperatures

Sol-gel	< 1-2.0 µm	Can coat complex	Some processes
		shapes; Low	require controlled
		processing	atmosphere
		temperatures;	processing;
		relatively cheap	expensive raw
		as coatings are	materials
		very thin	
Dip coating	0.05-0.5 μm	Inexpensive;	Requires high
		coatings applied	sintering
		quickly; can coat	temperatures;
		complex	thermal
		substrates	expansion
			mismatch
Biomimetic	< 30 µm	Low processing	Time consuming;
coating		temperatures; can	Requires
		form bonelike	replenishment
		apatite; can coat	and a constant of
		complex shapes;	pH of simulated
		can incorporate	body fluid
		bone growth	
		stimulating	
		factors	

# 2.3.3. Properties of calcium hydroxyapatite thin films

Calcium hydroxyapatite thin films derived for medical application must meet the minimum requirements described by the Food and Drug Administration, USA (FDA), and the International Standard Organization (ISO) (Table 8) [113, 114, 120, 168]. The CHAp coating permits a faster osteointegration due to its bone tissue bonding properties [206, 207]. CHAp coatings must be non-toxic, non-carcinogenic, with little or no foreign body reaction, chemically stable or corrosion-resistant and have certain chemical, physical and mechanical properties, which satisfy critical quality specifications [114, 119, 168, 208].

Biological response of CHAp coatings depends on thickness (this will affect coating adhesion and fixation), phase composition, phase purity, chemical purity, crystallinity (this affects the dissolution and biological behaviour), Ca/P ratio, microstructure, surface roughness, porosity, implant type and surface

texture, which affect the resulting mechanical properties of the implant, such as cohesive and bond strength, elasticity, yield stress, ductility, toughness, wear resistance, tensile strength, shear strength, Young's modulus, residual stress and fatigue life [114, 119, 168]. Changes in these properties can produce coatings with varied bioactivity and durability. Osteoconductivity accelerate bone growth and attachment to implant interface, thus providing improved implant fixation and lifetime [123]. Physical properties of calcium hydroxyapatite is presented in Table 9 [122].

Table 8. FDA requirements for CHAp coatings [113, 114, 120, 168].

Properties	Specification
Thickness	Not specific
Crystallinity	62% minimum
Phase purity	95% minimum
Ca/P atomic ratio	1.67-1.76
Density	$2.98 \text{ g/cm}^3$
Heavy metals	<50 ppm
Tensile strength	>50.8 MPa
Shear strength	>22 MPa
Abrasion	Not specific

Table 9. Physical properties of calcium hydroxyapatite [122].

Property	Typical values
Density (g cm <sup>-3</sup> )	3.15
Young's modulus (GPa)	85-90
Knoop hardness (MPa)	3450
Tensile strength (MPa m <sup>-2</sup> )	120
Poisson coefficient	0.3
Thermal expansion $(x10^{-6} \text{ K}^{-1})$	11
Melting point (°C)	1660
Specific heat (cal $g^{-1} K^{-1}$ )	0.15
Thermal conductivity (W cm <sup><math>-1</math></sup> K <sup><math>-1</math></sup> )	0.01

In an implanted prosthesis, the stability and the adherence of implant/coating and coating/bone interfaces strongly affect its performance [209]. A number of in vivo studies showed that failures mainly occur at the

metal/coating interface. The longer the period of implantation, the higher is the probability of a failure at this interface (since the strength of the bone/CHAp interface tends to increase with time during the early stages of post-operative recovery) [209]. It is very important for substrate and coating to be in adherence and for coating not to pull away after implantation. Tsui et al. claimed that the cohesive and adhesive integrity of coatings considerably affect the long term performance of CHAp-coated implants [117, 209]. Adhesive strength is usually evaluated based on surface roughness, coating properties, residual stress and mechanical interlocking between the coating and the substrates, whereas the cohesive strength is determined by coating properties, such as microstructure and crystallinity [117].

Also, to achieve long term stability of coatings, the dissolution behaviour of coating is a critical factor, because it induces the precipitation of bone-like apatite on the implant surface [113, 210]. The two primary factors that control the dissolution characteristics of a coating are (1) inherent material properties, such as chemical composition, presence of secondary phases, crystallinity, particle size, surface morphology and roughness, and (2) environmental factors, such as media composition and pH [210].

It has been suggested that coating for orthopaedic implants should have low porosity, strong cohesive strength, good adhesion to the substrate, a high degree of crystallinity and high chemical and phase stability [168, 209]. There is a general agreement that chemical purity of CHAp should be as high as possible (95%), with a Ca/P ratio of 1.67 [168].

Another source has mentioned that the main requirement for bone materials is very high porosity (above 70-80%) with full interconnectivity [119]. A well-connected 3D porous structure with optimum pore size is critical for the osteoconduction and osteoinduction of the implant [211]. Optimal pore size is ranging from 50–150  $\mu$ m to 300  $\mu$ m [211]. Furthermore, CHAp coatings with a porous structure might provide an advantage for loading and delivering the antibiotic, drug and growth factor, which is an important direction for future development of high-performance calcium phosphate coatings for orthopaedic

implants [211].

Requirements for ideal bone substitute materials include biocompatibility, biodegradability, osteoinduction and osteopromotion/osteoconduction porosity, stability under stress, resorbability/degradability, plasticity, sterility, stable and long-term integration of implants [19, 21]. Requirements for CaP coatings are listed in Table 10 [113, 168].

Requirement	Definition/function
Biocompatibility	Ability of a material to perform with
	an appropriate host response in a
	specific application
Bioactivity	Ability to interact with the
	surrounding bone and soft tissues
Osteoconductivity	Ability to provide scaffold for the
	formation of new bone
Dominant crystalline phase	Prevent resorption (dissolution) of the
	coating in body fluids
Amorphous phase	Promote early osseointegration
Dissolution of CHAp	Programmed dissolution rate in body
	fluids to match the in vivo healing
	process
Defined elemental composition	Match elemental composition of bone
	mineral phase
Specific surface morphology of	Enable osteoblasts attachment and
interfacial matrix (interface 2)	differentiation, and bone material
	ingrowth (fixation to the bone)
Interfacial stability and strong	Prevent mechanical failures under
adhesion to an implant (interface 1)	load bearing conditions
Therapeutic capabilities	Templates for the in situ delivery of
	drugs and growth factors at the
	required times

Table 10. Key requirements of CaP coatings of implants and specific functions [113, 168].

2.3.4. Importance and role of calcium hydroxyapatite thin films in bone and teeth implants

Calcium hydroxyapatite thin films on substrates can be used in bone and teeth implants, because they restore the function of load-bearing joints which are subjected to high level of mechanical stresses, wear and fatigue in the course of normal activity. These devices include prostheses for hip (Fig. 21(a), knee (Fig. 21(b), ankle, shoulder (Fig. 21(c), and elbow joints (Fig. 21(d)). They also include fracture fixation devices, such as wires, pins, plates, screws, etc. [114]. Dental implants are used to restore lost tooth by replacing both the tooth and its root (Fig. 22). Here a metallic implant (titanium) is first inserted into the gum (gingival) so that bone cells grow tightly around it and anchor it firmly. Then an abutment is placed over the anchor followed by an artificial tooth (crown) attached to the abutment. Titanium is most commonly used as an implant material as it osseointegrates rapidly to the surrounding tissues and thereby forms a tight seal against any kind of bacterial invasion [114]. However, a CHAp coated titanium implant is more biocompatible and osteoconductive, therefore shows faster integration.



Fig. 21. Orthopedic implant devices used for load bearing applications: (a) hip implant, (b) knee implant, (c) shoulder implant and (d) elbow implant.



Fig. 22. A dental implant.

It is very important to avoid inflammation after implantation, because it can lead to rejection of the implant. Implant infection has been associated with biofilm formation, and bacterial cells growing within the biofilm exhibit increased resistance to antibacterial agents [14, 212]. Orthopaedic implant failure may come as a result of bacterial infections from both Gram-positive and Gram-negative pathogens, such as Staphylococcus epidermidis and Pseudomonas aeruginosa. S. epidermidis is known to be involved in approximately 30% of all bacterial colonies that form in orthopaedic implants. P. aeruginosa prosthetic and bone infections are difficult to treat and often require two-stage revision surgeries as the primary treatment [118]. The antibiotic prophylaxis therapy has been used to avoid these infections, therefore infection rates of all joint hip arthroplasties range between 0.5% and 3.0% in primary total hip arthroplasty [212]. Also silver has been used in many medical devices to avoid infections, because silver is known as the primary antimicrobial agent [118, 212, 213]. Silver-doped hydroxyapatite coatings that exhibit antimicrobial properties have been created using methods, such as solgel, physical vapour deposition, ion beam assisted deposition, magnetron sputtering, micro-arc oxidation and plasma spraying [14, 118, 198, 213, 214].

In dentistry, synthesis of nanosized Zn- substituted CHAp crystals has been very important, because zinc-containing compounds have the ability to release zinc ions, which in turn inhibit growth of caries-related bacteria [213].



Fig. 23. Schematic illustration of the sequential reactions that take place after the implantation of an biomaterial into a living system.

The adhesion of implants occurs through two continuous processes; one is the bone ingrowth into the CHAp coating resulting in mechanical fixation. The other one is a reciprocal dissolution/precipitation reaction between coating and the bone, which is determined by the concentration of  $Ca^{2+}$ , OH<sup>-</sup> and PO<sub>4</sub><sup>3-</sup> ions in body fluids [215]. The final adhesion will be reached when the last deposited ions completely bond between the coating with the bone (bioactive fixation) (Fig. 23.) [7, 114, 215]. These processes are mainly affected by CHAp and phosphate-based coatings, therefore high mechanical quality of CHAp coatings is required for dental and bone implants.

The ideal bone substitute should be biocompatible, and it should gradually be replaced by newly formed bone and preferably possess osteoinductive or osteoconductive properties [216]. Osteoinduction is a healing process in which local stimulating factors cause mesenchymal cells to disaggregate, migrate, reaggregate, proliferate and differentiate into chondroblasts or osteoblasts. In osteoconduction, the implanted material serves as a scaffold for ingrowth of capillaries, perivascular tissue and osteoprogenitor cells from the recipient bed [216].

# **3. Experimental**

3.1. Materials and Reagents

Materials and reagents used to prepare calcium hydroxyapatite coatings are listed in Table 11.

Reagent	Chemical formula	Purity	Producer
Calcium acetate monohydrate	Ca(CH <sub>3</sub> COO) <sub>2</sub> ·H <sub>2</sub> O	99.9 %	Fluka
1,2- ethandiol	$C_2H_6O_2$	99.0 %	Alfa Aesar
Ethylenediamine tetraacetic acid (EDTA)	$(HO_2CCH_2)_2NCH_2CH_2 N(CH_2CO_2H)_2$	99.0 %	Alfa Aesar
Triethanolamine (TEA)	(HOCH <sub>2</sub> CH <sub>2</sub> ) <sub>3</sub> N	99.0 %	Merck
Phosphoric acid	H <sub>3</sub> PO <sub>4</sub>	85.0 % (concentration)	Reachem
Poly(vinyl alcohol) (PVA7200)	[-CH <sub>2</sub> CHOH-] <sub>n</sub>	99.5 %	Aldrich

Table 11. List of reagents.

# 3.2. Synthesis of calcium hydroxyapatite thin films

Calcium hydroxyapatite coatings on titanium, silicon and quartz substrates were prepared by sol-gel method in aqueous solution by dip-coating and spincoating techniques. The molar ratio of Ca/P was kept 1.67 like in calcium hydroxyapatite. Synthesis scheme of Ca-P-O sol-gel is presented in Fig. 24. In the sol-gel process, 2.6425 g of Ca(CH<sub>3</sub>COO)<sub>2</sub>·H<sub>2</sub>O was dissolved in 50 mL of distilled water under continuous stirring for 30 min at 65 °C. Next, 1,2ethandiol was added and stirred for 15 min. Afterwards to this solution 4.82185 g of EDTA was added as complexing agent and triethanolamine was slowly dripped into the solution. After 10 h of stirring, 0.61mL 85.0 % phosphoric acid was added to the solution. To prepare PVA solution 3 g of PVA powder was added to 97mL of distilled water under continuous stirring for 2 h at 90 °C. Finally, PVA solution was added to the above solution.



Fig. 24. Synthesis scheme of Ca-P-O sol-gel.

The stock solution was used for the preparation of CHAp coatings on titanium, silicon and quartz substrates using dip-coating and spin-coating techniques.

### 3.2.1. Preparation of substrates

The substrates were carefully prepared by different cleaning procedures depending on type of substrate before dip-coating and spin-coating procedures.

*Preparation of titanium substrate.* Titanium surface was polished with fine sandpaper till the surface became bright. After washing with acetone, ethanol and distilled water the Ti substrates were storied in 5 M solution of NaOH at 60 °C for 24 h. Again, before dipping the substrates were washed abundantly with distilled water and finally dried in air.

*Preparation of silicon and quartz substrates.* The substrates were cleaned with piranha solution, acetone, ethanol and distilled water.

# 3.2.2. Dip-coating technique

The substrates used in the dip-coating procedure had slightly different measurements (Ti -  $1 \times 2$  cm; Si -  $1.5 \times 1.5$  cm and SiO<sub>2</sub> -  $1 \times 1$  cm).

The standard immersing (85 mm/min) and withdrawal rates (40 mm/min) for dip-coating process were applied for all the samples. The dipping procedure was repeatedly performed 1, 5, 15 and 30 times on all substrates. After evaporation of solvent the substrates were dried in an oven for 10 min at 110 °C and heated at 1000 °C for 5 h with heating rate of 1 °C/min after each dip-coating procedure.

# 3.2.3. Spin-coating technique

The diameters of substrates used in spin-coating process were 2.2 cm – Ti and Si and 2.5 cm - SiO<sub>2</sub>.

For the spin-coating process a speed of 2000 rpm and duration of 60 s were used. After each spin-coating the samples were annealed at 1000 °C for 5 h with heating rate of 1 °C/min. Spin-coating and annealing procedures were repeated 1, 5, 15 and 30 times. Another samples with 5, 8 and 10 layers of

coatings on silicon substrate were prepared for FTIR analyses in the same conditions.

Samples on titanium substrate were prepared with 5 layers of calcium hydroxyapatite where samples after each spin-coating procedure were dried in an oven for 10 min at 110  $^{\circ}$ C and finally after last spin-coating procedure samples were annealed at 850  $^{\circ}$ C for 5 h with heating rate of 1  $^{\circ}$ C/min.

Another group of samples were prepared with  $CaTiO_3$  sublayer. For this reason 5 layers of calcium titanate was coated on titanium substrate with the same drying and annealing conditions described before and finally 5 layers of calcium hydroxyapatite were coated on calcium titanate after each spin-coating procedure drying in an oven for 10 min at 110 °C and finally after last spin-coating procedure annealing samples in 850 °C for 5 h with heating rate of 1 °C/min.

Also third group of samples on titanium substrate were prepared using different annealing temperatures. Titanium substrate was coated with 5 and 15 layers of calcium hydroxyapatite after each spinning procedure drying samples in an oven for 20 min at 200 °C or 400°C. Finally, after last spin-coating procedure all samples were annealed at 1000 °C for 5 h with heating rate of 1 °C/min.

# 3.3. Instrumentation and characterization techniques

Dip-coating and spin-coating procedures were carried out with Dip coater D KSV and Spin coater P6700. Overview of used instruments and analysis methods are presented in Table 12.

XRD	Rigaku miniFlex II diffractometer	Bruker AXE D8 Focus
		diffractometer
SEM	Hitachi SU-70	JEOL JSM
		8404
AFM	Veeco Bioscope 2	

FTIR	Perkin-Elmer FTIR	ALPHA FTIR	
	Spectrum BX II	spectrometer	
specifoscopy	spectrometer	(Bruker)	
Domon	Confocal Raman	inVia	
Raman	spectrometer/microscope	(Renishaw)	
specifoscopy	LabRam HR 800	spectrometer	
CAM	KVS Instrument CAM		
CAM	100		
Thickness of	VEECO Dektak 6M	Helios	J.A.
coatings	Stylus Profiler	Nanol ab 650	Woollam
coatings	Stylus Tioffici		M2000X
UV-vis			
reflectance	Perkin-Elmer Lambda 35		
spectrometry			

The X-ray diffractometers operated with Cu  $K_{\alpha 1}$  radiation. The measurements were recorded at the standard rate of 1.5 20/min. The spectral resolution of FTIR spectroscopes was set at 4 cm<sup>-1</sup>. Spectra were acquired from 100 scans. Calcium phosphates were deposited on round silicon plates with diameter of 21.5 mm and width of 0.6 mm. The bare Si plate annealed at 1000 °C for 5 h was used for collection of background spectrum. Parameters of the bands were determined by fitting the experimental spectra with Gaussian-Lorentzian shape components using GRAMS/A1 8.0 (Thermo Scientific) software. The Raman spectra were registered using 632.8 nm laser for excitation; inVia (Renishaw) spectrometer equipped with thermoelectrically cooled (-70 °C) CCD camera and microscope. To determine hydrophobic and hydrophilic properties of coatings the contact angle measurements were recorded. A micro-droplet of water (volume 6 µl) was allowed to fall onto the sample from a syringe tip to produce a sessile drop. Besides, for the measurement of thickness of layers the profilometer (VEECO Dektak 6M Stylus Profiler), the ellipsometer (J.A. Woollam M2000X) and scanning electron microscope (Helios NanoLab 650) were additionally used.

### 4. Results and discussion

4.1. Sol-gel synthesis and characterization of calcium hydroxyapatite thin films synthesized on titanium substrate.

Thin films of calcium hydroxyapatite on titanium substrate were developed using sol-gel approach from Ca-P-O sol-gel by dip-coating and spin-coating techniques.

4.1.1. Dip-coating approach

Fig. 25 represents the XRD patterns of films obtained from Ca-P-O sol-gel using dip-coating technique.



Fig. 25. XRD patterns of the Ca-P-O sol-gel samples annealed at 1000 °C after each dipping procedure for 5 h in air. Diffraction lines are marked: \* - Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub> [PDF: 74-0566], + - Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> [PDF: 70-2065], • - TiO<sub>2</sub> [PDF: 21-1276], x - Ti<sub>x</sub>O<sub>y</sub> and ? – unidentified.

As seen from Fig. 25, after first immersing, withdrawal and annealing procedure the peaks attributable to the  $Ca_{10}(PO_4)_6(OH)_2$  ( $2\theta \approx 31.8^\circ$  and  $32.2^\circ$ ) and  $Ca_3(PO_4)_2$  ( $2\theta \approx 35.0^\circ$ ) crystalline phases are observed. The XRD diffraction pattern also contains not intensive peaks of  $Ti_xO_y$  and very sharp

diffraction lines attributable to  $\text{TiO}_2$  (rutile) crystalline phase, and no formation even traces of anatase could be observed. The formation of  $\text{TiO}_2$  during heat treatment of Ti substrate at elevated temperatures is very likely. The additional experiments evidently confirmed this assumption. Fig. 26 shows XRD patterns of Ti substrates repeatedly heated at 1000 °C for 5 h with heating rate of 1 °C/min. As seen, the surface of Ti is fully converted to rutile oxide phase after heating at 1000 °C. According to the literature data, the formation of TiO<sub>2</sub> during the synthesis of CHAp thin films on Ti substrate usually also proceeds [217, 218].



Fig. 26. XRD patterns of Ti substrates repeatedly heated at 1000 °C for 5 h with heating rate of 1 °C/min.

Interestingly, the repetition of immersing, withdrawal and annealing procedures for 5, 15 and 30 times did not change phase composition of coating dramatically. No characteristic peaks to other phosphate or titanate crystalline phases appear in the XRD patterns. However, such repeating slightly increased the intensity of peaks attributable to the phosphates. Surprisingly, these results demonstrate that the number of coating procedures does not influence on the crystallization of calcium phosphate coatings. Thus, suggested sol-gel

chemistry route could be used for the preparation of CHAp-TCP coatings containing titanium dioxide onto Ti substrate.

Apparently, characterization of Ti substrates repeatedly heated at 1000 °C using FTIR spectroscopy confirms the XRD analysis results. FTIR spectra of the Ti samples calcined at 1000 °C contain low intensity broad bands at 3450 cm<sup>-1</sup> and 1610 cm<sup>-1</sup>. The intensities of these bands, which could be assigned to the adsorbed water during the exposure of dried samples to air [219], remained unchanged with calcination temperature. In addition, there is a broad band at 1000–550 cm<sup>-1</sup> which is assigned to the characteristic metal-oxygen (Ti–O) vibrations.

The FTIR spectra of the corresponding films obtained from Ca-P-O sol-gel using dip-coating technique are presented in Fig. 27.



Fig. 27. FTIR spectra of the Ca-P-O sol-gel samples annealed at 1000 °C after each dipping procedure for 5 h in air.

In the spectrum of the sample obtained after one immersing, withdrawal and annealing procedure the peaks in the range of 1100-575 cm<sup>-1</sup> attributable to the P-O vibrations in  $PO_4^{3-}$  (Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub> and Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub>) are visible [220]. The corresponding characteristic bands of stretching vibrations of CO<sub>3</sub><sup>2-</sup> are at

~1450 cm<sup>-1</sup> [221]. Also, very intensive peaks in the range of 3000-2250 cm<sup>-1</sup> could be observed in the FTIR spectrum of this sample. However, the origin of these vibrations is not clear. As a result of long-range heating the peaks at 3000-2250 cm<sup>-1</sup> and 1450 cm<sup>-1</sup> disappeared. Besides, in all spectra the bands at 3450 cm<sup>-1</sup> and 1610 cm<sup>-1</sup> are visible as well. Therefore the FTIR spectra indicate the presence of phosphates in the samples.

The textural properties of different specimens were investigated by scanning electron microscopy (SEM). Fig. 28 shows SEM micrographs of pure titanium substrates heated at 1000 °C and obtained at different magnifications. As seen from Fig. 28, the surface of titanium substrate consists of the regular shaped crystallites with a size of 3-10  $\mu$ m. It is obvious that these grains are rhombohedral titanium dioxide crystallites formed during annealing of Ti substrate in air. The size of TiO<sub>2</sub> crystallites increases with increasing duration of annealing. Quite different surface morphology was determined for the solgel synthesized phosphate films on the titanium substrate.



Fig. 28. SEM micrographs of Ti substrates repeatedly heated at 1000 °C for 5 h with heating rate of 1 °C/min: 5 times (at left) and 15 times (at right).

The SEM micrographs of the corresponding CHAp-TCP samples are displayed in Figs. 29 and 30.



Fig. 29. SEM micrographs of samples containing 1 layer (at left) and 5 layers (at right).



Fig. 30. SEM micrographs of samples containing 15 layers (at left) and 30 layers (at right).

The SEM micrographs clearly show that already first layer contains CHAp-TCP products which consist of aggregated spherical particles less than 300 nm in size. According to the SEM micrographs presented in Fig. 29 the coatings of 1 and 5 layers have similar structural characteristics. A progressive change in morphology of specimens is evident with increased immersing time. The formation of smaller and very homogeneously distributed spherical particles with an average grain size of 200 nm is evident for the coatings with 15 layers (see Fig. 30). The coatings of 30 layers have similar structural characteristics. However, the size of spherical particles increased significantly up to 0.6-0.8 µm with increasing amount of the layers on the substrate. Finally, the micrographs of Ca-P-O sol-gel calcined at 1000 °C show highly uniform and crystalline particles with smooth surfaces. There are no macro cracks or pores. Therefore, the proposed sol-gel technique appears to be very attractive way to make a high density, homogeneous CHAp-TCP coatings on Ti substrate.

In order to estimate hydrophobic properties of the produced thin films the contact angle measurements (CAM) were performed [222]. Surprisingly, the hydrophobicity of CHAp-TCP films was found to be slightly dependent on the number of coating procedures. The representative results are presented in Table 13. As seen, the contact angle of the Ti substrate coated with 5 layers from Ca-P-O sol-gel showed a highest contact angle ( $\sim 35^\circ$ ). The contact angle of specimens produced with 15 and 30 dipping times has very similar values ( $\sim 26-29^\circ$ ). The hydrophobicity of such films clearly should be dependent on the chemical composition of the coating. The composite materials containing the highest amount of crystalline Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub> phase should be more hydrophobic properties of the samples are relatively the same, the amount of Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub> and Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> should be comparable. These results of contact angle measurements are in a good agreement with the results of XRD analysis.

Number of layers	Mean contact angle (degrees)
0	$67.2\pm0.2$
1	$13.7 \pm 0.2$
5	35.3 ±0.2
15	$30.0\pm0.2$
30	$26.4\pm0.2$

Table 13. CAM results on CHAp-TCP coatings on Ti substrate.

# 4.1.2. Spin-coating approach

Fig. 31 represents the XRD patterns of CHAp thin films obtained from Ca-P-O sol-gel on titanium or Ti/CaTiO<sub>3</sub> substrates using spin-coating technique. Small peaks of  $Ca_{10}(PO_4)_6(OH)_2$  and  $Ca_3(PO_4)_2$  are observed in the sample after 5 spin-coating and annealing procedures. Also sharp peaks of TiO<sub>2</sub> (rutile) are observed. However, the calcium titanate sub-layer did not promote the formation of CHAp.



Fig. 31. XRD patterns of CHAp thin films obtained from Ca-P-O sol-gel on titanium substrate (at bottom) and calcium titanate sublayer (at top) using spin-coating technique. The XRD pattern in the middle represents sublayer of CaTiO<sub>3</sub>. Diffraction lines are marked: \* - Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub> [PDF: 74-0566], ◆ - Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> [PDF: 70-2065], □ - CaTiO<sub>3</sub> [PDF: 78-1013] and x – TiO<sub>2</sub> [PDF: 21-1276].

### 4.1.3. Conclusions

It was demonstrated that an aqueous sol-gel technique is suitable for the formation of calcium hydroxyapatite/phosphate composite  $(Ca_{10}(PO_4)_6(OH)_2$  and  $Ca_3(PO_4)_2$ ) coatings on titanium substrate using dip-coating and spincoating techniques. The XRD and FTIR measurements confirmed that the samples also contain TiO<sub>2</sub> (rutile). Interestingly, using dip-coating technique, the repetition of immersing, withdrawal and annealing procedures for 5, 15 and 30 times did not change phase composition of coating dramatically. The SEM micrographs clearly showed that first layer already contained CHAp-TCP products, which consist of aggregated spherical particles less than 300 nm in size. The micrographs of Ca-P-O sol-gel calcined at 1000 °C showed highly uniform and crystalline particles with smooth surfaces. There are no macro cracks or pores. The hydrophobic properties of thin films measured by CAM were associated with phase composition of CHAp-TCP coatings.

4.2. Sol-gel synthesis and characterization of calcium hydroxyapatite thin films synthesized on silicon substrate

Recently, it was demonstrated that silicon significantly improve osteoblastic response on calcium phosphate bioceramics, probably, since it presents in trace concentrations in natural bone. Therefore, this part of dissertation focuses on the synthesis of CHAp coatings on silicon substrate by the same sol-gel approach using dip-coating and spin-coating techniques. 1, 5, 15 and 30 layers of thin films were analysed and characterized using different analysis methods.

### 4.2.1. Dip-coating approach

Fig. 32 represents the XRD patterns of films on Si obtained from Ca-P-O sol-gel using dip-coating technique.


Fig. 32. XRD patterns of the Ca-P-O sol-gel samples annealed at 1000 °C after each dipping procedure for 5 h in air. Diffraction lines are marked: ♦ - Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub> [PDF: 73-1731], ■ - Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> [PDF: 86-1585] and ● - Ca<sub>2</sub>P<sub>2</sub>O<sub>7</sub> [PDF: 71-2123].

These results present the influence of the number of coating procedures on the crystallization of calcium phosphate coatings. As seen from Fig. 32, after first immersing, withdrawal and annealing procedure no peaks attributable to the  $Ca_{10}(PO_4)_6(OH)_2$  or  $Ca_3(PO_4)_2$  crystal phases are observed. The layer formed contains only amorphous materials. However, already after five dipping and annealing times the main characteristic peaks attributable to tricalcium phosphate  $Ca_3(PO_4)_2$  and dicalcium diphosphate  $Ca_2P_2O_7$  (DCDP) crystal phases appear in the XRD pattern. The repetition of immersing, withdrawal and annealing procedures for 15 times did not change phase composition of coating. However, such repeating increased the crystallinity of phosphates significantly since the diffraction lines became sharper and intense. Finally, with further increasing of calcium phosphate layers up to 30, the formation of calcium hydroxyapatite is evident (diffraction lines of CHAp are marked as solid rhombus) [223]. The  $Ca_3(PO_4)_2$  and  $Ca_2P_2O_7$  phases also remain in the sample obtained after 30 immersing and annealing procedures. Thus, suggested sol-gel chemistry route could be successfully used for the preparation of CHAp-TCP coatings containing dicalcium diphosphate onto silicon substrate.

The textural properties of the synthesized samples were also investigated. Fig. 33 shows SEM micrographs (secondary electron (SE) and back scattered electron (BSE) images) of pure silicon substrate and sample obtained after first immersing, withdrawal and annealing procedure calcined at 1000 °C.

The brightness of the silicon substrate on BSE image is highly homogeneous over the entire measuring area. Moreover, the SEM micrographs clearly show that already first layer contains Ca-P-O intermediate amorphous products which consist of differently shaped particles. The additional homogenization of the intermediates and further sol-gel processing are necessary to get CHAp-TCP. The SEM micrographs of other three samples are presented in Fig. 34. A progressive change in morphology of specimens is evident with increased immersing time. The formation of differently shaped particles (spherical particles and plate-like grains) with an average grain size ranging between 1 and 2 µm is evident from these investigations. According to the SEM micrographs presented in Fig. 34 the coatings of 15 and 30 layers have similar structural characteristics. There are no macro cracks or pores. However, the amount of spherical particles slightly decreases with increasing amount of the layers on the substrate. Finally, the micrographs of Ca-P-O solgel calcined at 1000 °C show highly uniform and crystalline particles with smooth surfaces. Therefore, the proposed sol-gel technique appears to be very attractive way to make a high density, homogeneous CHAp-TCP ceramic composites. The BSE images clearly demonstrate that most of the material is finely divided, however, the distribution of its chemical elements is not uniform.

The formation of multiphasic system composed of 3 different phases is evident. Such observations partially support previous results obtained by XRD analysis. Fig. 32 clearly shows the formation of  $Ca_{10}(PO_4)_6(OH)_2$ ,  $Ca_3(PO_4)_2$ 

74

and  $Ca_2P_2O_7$  crystalline phases only in the sample obtained after 30 immersing and annealing procedures.



Fig. 33. SEM micrographs of silicon substrate (at left) and sample containing 1 layer of Ca-P-O sol-gel calcined at 1000 °C (at right) in SE (at top) and BSE (at bottom) modes.



Fig. 34. SEM micrographs of sample containing 5 layers (at left), 15 layers (at middle) and 30 layers (at right) of Ca-P-O sol-gel calcined at 1000 °C in SE (at top) and BSE (at bottom) modes.

On the other hand, the negligible  $v_1(PO_4)$  band attributable to CHAp [224] could be determined in the Raman spectra of the samples prepared using 5, 15 and 30 immersing and annealing procedures. The Raman spectra of the CHAp-TCP specimens are shown in Fig. 35. So, the formation of amorphous  $Ca_{10}(PO_4)_6(OH)_2$  phase along with crystalline calcium phosphates is also possible [225].

Typical AFM (Atomic force microscopy) 3D images of the calcium phosphate/hydroxyapatite thin films prepared with different number of coating procedures are presented in Figs. 36-39. AFM images reveal a substantial difference of their surface morphology. The surface of 1 layer film (see Fig. 36) exhibits smooth and homogeneous surface morphology with no special surface features. Only few submicroscopic bumps of about 250 nm diameter are visible. The intensity and size of bumps on the surface increases monotonically with increasing amount of layers up to 15.



Fig. 35. Raman spectra of the Ca-P-O sol-gel samples annealed at 1000 °C after each dipping procedure for 5 h in air.



Fig. 36. Surface morphology of film (1 layer) obtained by calcination of Ca-P-O sol-gel.



Fig. 37. Surface morphology of film (5 layers) obtained by calcination of Ca-P-O sol-gel.



Fig. 38. Surface morphology of film (15 layers) obtained by calcination of Ca-P-O sol-gel.



Fig. 39. Surface morphology of film (30 layers) obtained by calcination of Ca-P-O sol-gel.

The bumps on the surface of calcium phosphate films originate from the explosive elimination of the residual solvent and complexing reagents [226]. Interestingly, with further sol-gel processing (30 layers) the surface of film appeared more smooth and less defected (see Fig. 39). This may be associated with changes in phase composition of CHAp-TCP coatings. The roughnesses measured by AFM are shown in Table 14.

The RMS roughness values measured by AFM were compared for different surface areas. As seen, the tendency of variation of surface roughness remains the same. Moreover, the RMS roughness results show very good correlation with SEM results.

samples.		
Number of layers	RMS	(Rq, nm)
	Surface area 2/2µm	Surface area 10/10µm
0	0.2	0.2

20.0

90.7

174.8

166.1

17.1

22.2

45.3

19.1

1

5

15

30

Table 14. Surface roughness measured by AFM on phosphate/hydroxyapatite samples.

In order to estimate hydrophobic properties of the produced thin films the contact angle measurements were performed. Surprisingly, the hydrophobicity of CHAp-TCP films was found to be slightly dependent on the number of coating procedures. The representative results are presented in Fig. 40 and Table 15.



Fig. 40. Images of water droplets on the surfaces of substrate (at left, top) and CHAp-TCP coatings obtained by forming 1 layer (at right, top), 15 layers (at left, bottom) and 30 layers (at right, bottom).

Table 15. Surface properties measured by CAM on phosphate/hydroxyapatite samples deposited using different dipping times.

Number of layers	Mean contact angle (degrees)
0	$67.2\pm0.4$
1	$79.0\pm0.5$
5	$77.3\pm0.5$
15	$\overline{86.7\pm0.5}$
30	$75.0 \pm 0.5$

As seen, the contact angle of the silicon substrate is about  $67^{\circ}$ . The substrate coated with 1 and 5 layers from Ca-P-O sol-gel showed a higher contact angle (~77-79°). The contact angle of specimen produced with 15 dipping times has the highest value (~87°). Finally, the contact angle of the surface coated with 30 dipping times decreased till 75°. Thus, hydrophobicity of obtained thin films clearly depends on the phase composition of the coating. The last composite material contains the highest amount of crystalline Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub> phase. Since only calcium hydroxyapatite contains hydroxy groupings, it is not

surprising that the samples with higher concentration of  $Ca_3(PO_4)_2$  and  $Ca_2P_2O_7$  crystalline phases possess relatively higher hydrophobic properties. Moreover, the results of contact angle measurements are in a good agreement with the results of surface roughness measured by AFM.

The optical properties of CHAp-TCP thin films synthesized using sol-gel process were also investigated. It is interesting to note, that UV-vis reflectance spectra of all samples are very similar. In Fig. 41 the UV-vis reflectance spectra of substrate and thin films obtained using different number of coating procedures are compared.



Fig. 41. The reflectance spectra of substrate and of CHA-TCP coatings obtained using different number of coating procedures.

Evidently, the reflectance spectra of substrate and thin films obtained by the sol-gel processing are very similar independent on dipping time. This observation let us to conclude that sol-gel derived CHAp-TCP films are very thin. As seen, several periodically repeating absorptions could be observed in the wavelength region of 200-450 nm. However in the higher wavelength region ( $\geq$  450 nm), in whole wavelength region the reflectance is almost

constant, i.e. not wavelength dependent. Such composites additionally doped by rare-earth elements would have an excellent optical quality [227, 228].

## 4.2.2. Spin-coating approach

Fig. 42 presents XRD patterns of Si substrate and coatings obtained by spin-coating silicon with Ca–P–O sol–gel solution.



Fig. 42. XRD patterns of the Si substrate and sol-gel derived calcium hydroxyapatite films on Si substrate annealed at 1000 °C after each spinning procedure for 5 h in air. (a) 1, (b) 5, (c) 15 and (d) 30 layers. The diffraction lines are marked:  $\blacklozenge$  - Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub> [PDF: 74-0566],  $\blacksquare$  - Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> [PDF: 70-2065].

The XRD analysis results also show the influence of number of spinning on the crystallization of calcium hydroxyapatite on Si substrate. The XRD pattern of sample obtained after 1 spinning contains only diffraction peaks attributable to tricalcium phosphate. The calcium hydroxyapatite is evidently forming in the end product obtained after 5 spinning and annealing procedures. In the coatings with 15 and 30 layers of Ca–P–O precursor sol-gel the calcium hydroxyapatite phase is forming as dominating crystalline phase along with minor amount of tricalcium phosphate. Thus, the XRD analysis data proved that calcium hydroxyapatite could be easily obtained from sol–gel precursor solution on Si substrate using a spin-coating technique.

In Fig. 43 the SEM micrographs of CHAp samples containing 1, 5, 15 and 30 layers of synthesis product are presented. Obviously, the progressive changes in the surface morphology of CHAp films with increasing the spinning time are seen. The formation of small particles less than 100 nm in size proceeds on the Si surface already after first spin-coating procedure.



Fig. 43. SEM micrographs of samples containing 1 layer (at left, top), 5 layers (at right, top), 15 layers (at left, bottom) and 30 layers (at right, bottom) of Ca-P-O sol-gel calcined at 1000 °C after each spin-coating procedure (magnification 50000x).

However, the surface is uneven and coated incompletely with calcium phosphate. Different view is seen on the surface of CHAp sample containing 5 layers. The surface of substrate is not expressed anymore. Moreover, the silicon substrate is completely coated by calcium hydroxyapatite plate-like crystals about 100–200 nm in size with formation of small cracks [229]. The more homogeneous CHAp coatings have formed after 15 and 30 spinning and annealing procedures. Formation of regular polygons (similar to the

icosahedron) with narrow particle size distribution (300-400 nm) is evidently seen in the SEM micrographs. In Fig. 44 AFM 3D images of films containing 1, 5, 15 and 30 layers of calcium hydroxyapatite are presented. AFM images also show significant difference in the morphology between CHAp samples synthesized using different spin-coating time. The AFM images of the samples obtained after first and fifth spin-coating and annealing procedures are rather similar. The surfaces are rough and contain similar bumps. However, the CHAp sample containing 5 layers has more homogeneous surface and larger crystals which is in a good agreement with SEM results. The AFM images of the section 15 and 30 layers are quite distinctive. The surface of these CHAp films is smoother with larger crystals and more homogeneous crystal size distribution. Interestingly, at the surface area 10/10  $\mu$ m large smooth areas were observed. However, the origin of such topography is not clear.





Fig. 44. AFM images of samples containing 1 layer (a), 5 layers (b), 15 layers (c) and 30 layers (d) of Ca-P-O sol-gel calcined at 1000 °C after each spin-coating procedure.

AFM results of surface roughness measurements for CHAp films are presented in Table 16. As seen from Table 16, almost linear correlation between values of roughness and number of layers could be observed. With increasing number of layers the roughness of CHAp films increased from 12.3 till 18.6 at surface area of 10/10  $\mu$ m and from 16.9 till 44.2 at surface area of 2/2  $\mu$ m.

Number of layers	RMS (Rq, nm)	
Number of layers	Surface area 2/2µm	Surface area 10/10µm
1	12.3	16.9
5	13.8	24.8
15	17.1	32.8
30	18.6	44.2

Table 16. The AFM results of surface roughness measurements for CHAp films.

Thickness of CHAp layers was measured using profilometer and SEM analysis of cross-sections of the films (Table 17).

The results evaluated with assumption that CHAp density is 3.2 g/cm<sup> $\cdot$ </sup>. Obviously, the thickness of CHAp films increases monotonically with increasing number of spin-coating and annealing procedures. Also, the results presented in Table 17 shows that the absolute values of films thickness measured by different techniques are slightly different, however the tendency of monotonic increase of thickness from ~30 nm to ~1 µm remains almost the

same.

Number of lover	Thickness (nm)	
Number of layers	Profilometer	SEM
1	26	28
5	35	57
15	994	581
30	1396	943

Table 17. Thickness of CHAp films measured with profilometer and SEM.

The synthesized CHAp coatings were also investigated using FTIR spectroscopy. However, all FTIR spectra are very similar. The absorption bands in the spectra of samples containing 1 and 5 layers are less intensive. In the spectra of all samples the absorption bands located at 2300–1950 cm<sup>-1</sup> which could be attributed to Si-H vibrations of silicon substrate are seen [230]. The absorption bands of ~1100 cm<sup>-1</sup> and ~650 cm<sup>-1</sup> belong to the P-O vibrations in the phosphate groups [231]. Absorption bands visible at ~780 cm<sup>-1</sup> could be attributed to Si-O vibrations of Si substrate [230].

Fig. 45 shows Raman spectra in wavenumber region from 600 to 1250 cm<sup>-1</sup> of Si substrate and CHAp sample containing 30 layers. Excitation wavelength was 632.8 nm. The spectra were recorded at the centre of the specimens. The broad band with sharp peak near 941 cm<sup>-1</sup> belongs to overtone spectrum of Si substrate. Difference spectrum clearly shows the peak at 961 cm<sup>-1</sup>. This band corresponds to symmetric stretching vibration of phosphate groups in  $Ca_{10}(PO_4)_6(OH)_2$  [65, 232].

To determine hydrophobic and hydrophilic properties of the CHAp samples the contact angle measurements were recorded. The obtained results are presented in Fig. 46 and Table 18. The contact angle measured on the sample containing 1 layer of synthesis product is less ( $61.0^{\circ}$ ) than determined for the substrate ( $65.7^{\circ}$ ). This could be associated with fact, that the surface of sample containing 1 layer of Ca–P–O precursor sol-gel is uneven and coated incompletely with calcium phosphate. Hydrophobic properties of films increased with crystallization of CHAp on the Si substrate. For example, in the sample containing 5 layers of CHAp the contact angle increased to 90.8°. However, with further increasing number of layers of CHAp, the hydrophobic properties of films decrease or remain very similar. For example, recently biomimetic niobium oxide coatings on stainless steel for orthopaedic applications with enhanced wettability have been fabricated [233]. The contact angle determined for these coatings varied in the range of  $75.8^{\circ}$ – $83.2^{\circ}$ . Accordingly, the sol–gel derived CHAp coatings synthesized in this work with contact angle of  $79.3^{\circ}$ – $85.5^{\circ}$  could also accelerate structural and functional connection between living bone and the surface of a load-bearing artificial implant.



Fig. 45. Raman spectra of sample containing 30 layers (a) and Si substrate (b). Difference spectrum (c) is also shown.



Fig. 46. Images of water droplets on the surfaces of Si substrate (at top and left) and Ca-O-P coatings continuously from 1 to 30 layers.

Number of layers	Mean contact angle (degrees)
0	$65.7\pm0.4$
1	$61.0\pm0.4$
5	$90.8\pm0.6$
15	$79.3 \pm 0.5$
30	$85.5 \pm 0.5$

Table 18. Mean contact angle values measured on CHAp films.

Well-known tape adhesion test [234, 235] was carried out to evaluate the adherence of the CHAp coatings. The quality of coating adhesion measurements showed that the detachment of coating is less than 5% and could be classified between 5B and 4B according to ASTM standard. The results indicate that obtained CHAp coatings have good adhesion strength [236].

4.2.3. FTIR spectroscopy – a powerful tool for the characterization of calcium hydroxyapatite thin films on silicon substrate

The synthesized CHAp coatings on Si substrate were deeply investigated using FTIR spectroscopy. Free phosphate group  $PO_4^{3-}$  has tetrahedral symmetry ( $T_d$ ) and vibrational spectrum consists of four modes. Totally symmetric stretching  $v_1$  ( $A_1$ ) and double-degenerate symmetric deformation  $v_2$ (E) modes are only Raman active, while triple-degenerate asymmetric stretching  $v_3$  ( $F_2$ ) and asymmetric deformation  $v_4$  ( $F_2$ ) modes are active both in infrared and Raman spectra [237–239]. In crystalline state all vibrational modes became active in infrared spectra because the crystal field induced the lowering of the symmetry. Fig. 47 shows FTIR spectra in the wavenumber region of phosphate group symmetric stretching  $v_1$  (975–940 cm<sup>-1</sup>) and asymmetric bending  $v_4$  (660–520 cm<sup>-1</sup>) modes [240–242].



Fig. 47. FTIR absorbance spectra in region of phosphate group v<sub>1</sub> and v<sub>4</sub> bands of samples containing (a) 5 layers, (b) 8 layers, and (c) 10 layers of Ca–P–O sol-gel deposited by spin-coating technique and annealed at 1000 °C.

The characteristic bands in the asymmetric stretching  $v_3$  spectral region (1190–1030 cm<sup>-1</sup>) were not analyzed because of their overlapping with intense silicon oxide band. More detailed analysis of spectral region for  $v_4$  band revealed the presence of 570 cm<sup>-1</sup> components in the case of samples prepared from 8- (Fig. 48) and 10-layers.

Several spectroscopic arguments suggest the absence of hydroxyapatite (CHAp) structure ( $Ca_{10}(PO_4)_6(OH)_2$ ) for all the studied samples. First of all, the specific OH<sup>-</sup> vibration mode near 635–630 cm<sup>-1</sup> [243–245] is not visible in

our FTIR spectra. Secondly, the narrow high frequency O-H stretching band near 3571 cm<sup>-1</sup> [245–247] is also absent (data not shown). Finally, the stoichiometric CHAp exhibits characteristic single  $v_1$  mode at 961–963 cm<sup>-1</sup> [240, 245, 248]. In contrast, we observed two bands located at 945 and 972  $cm^{-1}$  in  $v_1$  mode spectral region. Thus, infrared spectroscopy indicates dehydration of studied samples. Mode detailed analysis of  $v_1$  and  $v_4$  spectral regions provides possibility to identify the nature of synthesized phosphates (Table 19). The well-defined  $v_1$  band at 945 cm<sup>-1</sup> corresponds to peak position symmetric vibrational from oxyhydroxyapatite mode of totallv  $Ca_{10}(PO_4)_6(OH)_{2-2x}O_x$  [249]. In the limiting case (x = 1) this compound transforms to oxyapatite  $Ca_{10}(PO_4)_6O$ . Oxyapatite is reactive and will transform to hydroxyapatite in contact with water vapour, to lower its free energy [250]. Moreover, the XRD patterns of oxyhydroxyapatites or oxyapatites are almost identical to the XRD pattern of CHAp [205]. According to the literature data, the decomposition of CHAp can occur in very broad range of temperatures (600-1400 °C) [185, 202, 205, 251, 252]:

Step 1: 
$$\operatorname{Ca}_{10}(\operatorname{PO}_4)_6(\operatorname{OH})_2 \to \operatorname{Ca}_{10}(\operatorname{PO}_4)_6(\operatorname{OH})_{2-2x}O_x + xH_2O$$
  
with  $0 \le x \le 1$ .

Step 2:  $Ca_{10}(PO_4)_6(OH)_{2-2x}O_x \rightarrow Ca_{10}(PO_4)_6O + (1-x)H_2O$ 

If *x* reaches a critical value the destruction of the apatite channel structure occurs, and an equilibrium with tricalcium phosphate  $(Ca_3(PO_4)_2)$  and tetracalcium phosphate  $(Ca_4(PO_4)_2O)$  exists:

Step 3: 
$$Ca_{10}(PO_4)_6O \rightarrow Ca_4(PO_4)_2O + Ca_3(PO_4)_2$$
  
or  $2Ca_{10}(PO_4)_6O \rightarrow 2Ca_3(PO_4)_2 + Ca_2P_2O_7 + 3Ca_4P_2O_9$ 

Detailed Raman and infrared spectroscopic analysis of two forms of tricalcium phosphate (Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub>) revealed characteristic vibrational bands of  $\beta$ -Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> structure at 972 and 945 cm<sup>-1</sup> in the spectral range of v<sub>1</sub> mode

[253]. Importantly, the  $\alpha$ -Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> form can be easily distinguished spectroscopically because of the single v<sub>1</sub> band at 954 cm<sup>-1</sup>. In the range of v<sub>4</sub> vibrational mode the characteristic infrared bands were observed at 555 and 609 cm<sup>-1</sup> for  $\beta$ -Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> form and at 551, 563, 585, 597, and 613 cm<sup>-1</sup> in the case of  $\alpha$ -Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> [253]. Position of infrared bands observed in this work both in the v<sub>1</sub> and v<sub>4</sub> spectral regions (Fig. 47) coincides well with the  $\beta$ -Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> structure. This phase is stable in the room temperature to 1120 °C range [253]. Importantly, the  $\beta$ -Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> structure is bioactive and surface layer might transform to hydroxyapatite at room temperature [253]. The structures of compounds obtained as a result of infrared spectroscopic studies are listed in Table 19. Comparison of the samples containing different layers shows slight difference between the 5-layer and 8/10-layer structures. Intensity of the 509 and 602 cm<sup>-1</sup> bands increases almost linearly with increasing number of deposited layers (Fig. 49).



Fig. 48. FTIR absorbance spectra with fitted Gaussian-Lorentzian form components in region of phosphate group  $v_4$  band of a sample containing 8 layers of Ca–P–O sol-gel deposited by spin-coating technique and annealed at 1000 °C.

Table 19. Peak wavenumbers  $(cm^{-1})$  and assignments of the infrared spectra for the Ca–P–O gel deposited by spin-coating technique and annealed at 1000  $^{\circ}C$ .

5 layers	8 layers	10 layers	Assignments <sup>1</sup>	Compound
549	551	552	$v_4$ asymmetric deformation of PO <sub>4</sub> <sup>3-</sup>	β-Ca <sub>3</sub> (PO <sub>4</sub> ) <sub>2</sub> [253]
570	570	573	$v_4$ asymmetric deformation of $PO_4^{3-}$	$\begin{array}{c} Ca_4(PO_4)_2O  [239]/\\ \beta\text{-}Ca_3(PO_4)_2 \ [246] \end{array}$
_	589	590	$v_4$ asymmetric deformation of PO <sub>4</sub> <sup>3-</sup> ;	β-Ca <sub>3</sub> (PO <sub>4</sub> ) <sub>2</sub> [253]
602	605	604	$v_4$ asymmetric deformation of PO <sub>4</sub> <sup>3-</sup>	β-Ca <sub>3</sub> (PO <sub>4</sub> ) <sub>2</sub> [246, 253]
945	945	944	$v_1$ symmetric stretching of PO <sub>4</sub> <sup>3-</sup>	$\begin{array}{c} Ca_{10}(PO_4)_6(OH)_{2-}\\ {}_{2x}O_x/Ca_4(PO_4)_2O/\\ \beta\text{-}Ca_3(PO_4)_2  [239,\\ 249,\ 253] \end{array}$
972	972	972	$v_1$ symmetric stretching of PO <sub>4</sub> <sup>3-</sup>	β-Ca <sub>3</sub> (PO <sub>4</sub> ) <sub>2</sub> [246, 253]

<sup>1</sup>Based on references [237–239].





However, in case of  $v_4$  mode at 570 cm<sup>-1</sup>, the integrated absorption intensity remains similar for all the studied samples. This observation indicates presence of a slightly different calcium phosphate structure due to deposited initial 5 layers. Such structure might be stabilized because of the interaction of phosphate group with the substrate. Integrated absorption intensity of both  $v_1$ bands increases linearly with increasing number of deposited layers (Fig. 50).



Fig. 50. Evolution of integrated intensity of  $v_1$  infrared absorption bands at 945 cm<sup>-1</sup> (circles) and 972 cm<sup>-1</sup> (triangles) on the number of Ca-P-O sol-gel layers.

## 4.2.4. Conclusions

The sol-gel method for the preparation of calcium phosphate/hydroxyapatite thin films on silicon substrate using dip-coating and spin-coating techniques has been developed. It was shown that adjustment of dip-coating conditions can be used to control the process of synthesis, phase purity and morphology of the bioceramic thin films. The formation of calcium phosphate/hydroxyapatite mixture is promoted by dipping time. According to XRD analysis data, the concentration of hydroxyapatite in the mixture increases with increasing the repetition of dip-coating procedures. The formation of differently shaped particles (spherical particles and plate-like grains) with an average grain size ranging between 1 and 2 µm was determined from SEM measurements. The roughness of thin films measured by AFM and hydrophobic properties measured by CAM are associated with changes in phase composition of CHAp-TCP coatings. The results of Raman spectrometry showed the peak at 961 cm<sup>-1</sup>, which corresponds to symmetric stretching vibration of phosphate groups in calcium hydroxyapatite proving the formation of high quality  $Ca_{10}(PO_4)_6(OH)_2$  thin films on Si substrate using an aqueous sol-gel chemistry approach. FTIR spectroscopy revealed that intensity of  $v_4$ infrared absorption bands at 549 cm<sup>-1</sup>, 570 cm<sup>-1</sup>, 602 cm<sup>-1</sup> and  $v_1$  infrared absorption bands at 945 cm<sup>-1</sup>, 972 cm<sup>-1</sup> increases with increasing the number of deposited layers. The specific  $OH^-$  vibration mode near 635–630 cm<sup>-1</sup> and band near 3571 cm<sup>-1</sup> was not visible in FTIR spectra. The well-defined  $v_1$  band at 945 cm<sup>-1</sup> corresponds to peak position of totally symmetric vibrational mode from oxyhydroxyapatite  $Ca_{10}(PO_4)_6(OH)_{2-2x}O_x$ . Position of infrared bands observed in this work both in the  $v_1$  and  $v_4$  spectral regions coincides well with the  $\beta$ -Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> structure. The results obtained demonstrated that calcium oxyhydroxyapatite, probably, have formed on Si substrate and crystallization of sol-gel derived calcium oxyhydroxyapatite depends on the number of layers.

4.3. Sol-gel synthesis and characterization of calcium hydroxyapatite thin films synthesized on quartz substrate

The results presented in this part of PhD thesis demonstrate that suggested sol-gel process is perfectly suitable for the synthesis of calcium hydroxyapatite on the quartz substrate allowing to control phase purity and morphological properties of CHAp. Again, 1, 5, 15 and 30 layers of calcium hydroxyapatite thin films were fabricated and characterized using different analysis methods.

# 4.3.1. Dip-coating approach

Fig. 51 presents XRD patterns of films on silica substrate obtained from Ca-

P-O aqueous precursor solution using dip-coating technique. As seen, after the first immersing, withdrawal and annealing procedures the formation of two crystalline phases  $CaH_2P_2O_7$  and  $Ca_{10}(PO_4)_6(OH)_2$  took place. More intensive diffraction peaks attributable to CHAp phase were observed in the XRD pattern of specimen obtained after five dip-coating procedures. Moreover, tricalcium phosphate  $Ca_3(PO_4)_2$  phase has formed as well. With further increasing immersing, withdrawal and annealing to 15 times, the only peaks of calcium hydroxyapatite and calcium phosphate phases could be detected. However, the calcium hydrogen phosphate phase appeared in the sample prepared after 30 dipping procedures.



Fig. 51. XRD patterns of the Ca-P-O sol-gel annealed at 1000 °C after each dipping procedure for 5 h in air. Diffraction lines are marked: ◊ – Ca<sub>5</sub>(PO<sub>4</sub>)<sub>3</sub>(OH) [PDF:73-1731], × - Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> [PDF: 70-2065] and \* - CaH<sub>2</sub>P<sub>2</sub>O<sub>7</sub> [PDF: 51-0200].

In Fig. 52 SEM micrographs of quartz substrate and the CHAp sample obtained after first immersing, withdrawal and annealing procedure is presented.



Fig. 52. SEM micrographs of quartz substrate (at left) and sample containing 1 layer (at right) of Ca-P-O sol-gel deposited by dip-coating technique and calcined at 1000 °C.

As seen, the surface of substrate is very smooth and differs significantly from coated one which contains material with clearly expressed cracks. The SEM micrographs of films containing 5, 15 and 30 layers of Ca-P-O sol-gel precursor are presented in Fig. 53. Apparently, the surfaces appeared to be highly dense, monolithic, with fewer amounts of cracks and relatively rough due to increased crystallinity of different phases. Besides, the continuous formation of plate-like crystals with increasing amount of layers could be observed.



Fig. 53. SEM micrographs of samples containing 5 layers (at left), 15 layers (at middle) and 30 layers (at right) of Ca-P-O sol-gel deposited by dip-coating technique and calcined at 1000 °C.

Atomic force microscopy (AFM) 3D images of quartz substrate and sample containing one layer of CHAp obtained using dip-coating technique are presented in Fig. 54.



Fig. 54. AFM images of different areas of quartz substrate (at top) and sample containing 1 layer (at bottom) of Ca-P-O sol-gel deposited by dip-coating technique and calcined at 1000 °C.

Apparently, the AFM images show important differences between morphology of coated and uncoated surfaces. The surface of quartz substrate is rather smooth, however, the surface of coated sample is rough with bumps of about 300 nm in size located in the film. The AFM images of films containing 5, 15 and 30 layers of Ca-P-O sol-gel precursor are presented in Fig. 55 showing similar morphology of all specimens. The surfaces are not smooth having symmetrically and homogeneously distributed similar in size bumps.





Fig. 55. AFM images of different areas of samples containing 5 layers (at top), 15 layers (at middle) and 30 layers (at bottom) of Ca-P-O sol-gel deposited by dip-coating technique and calcined at 1000 °C.

The results of roughness measurement by AFM for CHAp films from different surface areas are presented in Table 20. As one can see, for the dipcoated samples irregular dependence of the values of roughness on the number of layers could be observed.

Number of laws	RMS (Rq, nm) <sup>a</sup>	
Inumber of layers	Surface area 2/2µm	Surface area 10/10µm
1	18.2	81.7
5	16.9	65.0
15	9.4	38.3
30	15.5	39.6

Table 20. Surface roughness measured by AFM on CHAp films deposited using dip-coating technique.

<sup>a</sup> The RMS roughness values for quartz substrate are 0.7 and 0.8, respectively.

The variable angle spectroscopic ellipsometric data of determination of thickness of CHAp layers deposited using dip-coating technique are presented in Table 21. Apparently, the thickness of CHAp layers deposited using dipcoating technique increases monotonically with increasing number of dipping times.

To estimate hydrophobic and hydrophilic properties of CHAp films obtained using dip-coating technique the contact angle measurements (CAM) were recorded. The results of these investigations are summarized in Fig. 56 and Table 22. The hydrophobic properties depend on a number of layers of samples using dip-coating technique. As seen, the contact angle of dip-coated samples increases monotonically from 65.9° till 95.9° with increasing the amount of layers. The main increase was observed enhancing the amount of layers from 5 to 15. This very interesting tendency could be related with phase composition of CHAp films. As seen from Fig. 51 the crystallinity of CHAp also increases significantly after obtaining 15 and 30 layers on the substrate. Moreover, the only peaks of calcium hydroxyapatite and calcium phosphate phases could be detected in these specimens.

Table 21. The results for the determination of thickness of CHAp layers deposited using dip-coating technique.

Number of layers	Thickness (nm)
1	$64.29\pm0.13$
5	$244.71\pm0.41$
15	$1255.69 \pm 0.44$
30	$3170.07 \pm 0.56$





Fig. 56. Images of water droplets on the surfaces of quartz substrate (at top, at left) and CHA coatings (continuously from 1 to 30 layers) obtained by dipcoating technique.

Number of layers	Mean contact angle (degrees) <sup>a</sup>
1	$\overline{65.9}\pm0.4$
5	$69.7\pm0.4$
15	$86.4\pm0.6$
30	$95.9\pm0.6$

Table 22. CAM results for CHAp films obtained using dip-coating technique.

<sup>a</sup> The contact angle value for quartz substrate is 47.2.

### 4.3.2. Spin-coating approach

In Fig. 57 the XRD patterns of CHAp films obtained by using spin-coating technique are shown. As seen, after the first spin-coating and annealing procedures the small diffraction lines of calcium hydroxyapatite could be observed. The intensity of these peaks evidently increases with increasing the amount of spinning procedures showing the better crystallization of  $Ca_{10}(PO_4)_6(OH)_2$ . Interestingly, the spin-coating derived CHAp thin films contains calcium phosphate as side phase, however, the formation of minor amount of  $CaH_2P_2O_7$  phase has been detected. These XRD results let us to conclude that the crystallization of calcium hydroxyapatite on quartz substrate was influenced by number of spin-coating procedures. Apparently, more pure and crystalline CHAp has formed using spin-coating technique.

Fig. 58 represents the SEM micrographs of CHAp coatings obtained by spin-coating technique. As seen, the surface of substrate after deposition one layer of Ca–P–O sol-gel is very smooth and differs only slightly from the surface of the substrate. The formation of homogeneously distributed small particles on the surface of substrate after five spin-coating and annealing processes could be observed. The morphology of CHAp coatings changes dramatically with further increasing amount of layers. The formation of small crystals on the rough surface could be easily determined.



Fig. 57. XRD patterns of the Ca-P-O sol-gel annealed at 1000 °C after each spinning procedure for 5 h in air. Diffraction lines are marked:  $\diamond$  - Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub> [PDF: 74-0566], × - Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> [PDF: 70-2065] and \* - CaH<sub>2</sub>P<sub>2</sub>O<sub>7</sub> [PDF: 51-0200].

In Fig. 59 AFM 3D images of samples containing 1, 5, 15 and 30 layers of CHAp synthesized by spin-coating technique are presented. The images show quite noticeable differences between morphological features of different samples. The surface of CHAp specimens with 15 and 30 layers is not smooth and contains more bumps in comparison with samples with fewer amounts of layers.

The results of roughness measurement by AFM for CHAp films from different surface areas are presented in Table 23. The values of roughness  $R_{RMS}$  of samples increases monotonically from 7.7 till 39.5 (at surface area of 10/10  $\mu$ m) with increasing number of layers using spin-coating technique.



Fig. 58. SEM micrographs of samples containing 1 layer (at top, at left), 5 layers (at top, at right), 15 layers (at bottom, at left) and 30 layers (at bottom, at right) of Ca-P-O sol-gel deposited by spin-coating technique and calcined at 1000 °C.





Fig. 59. AFM images of different areas of samples containing different layers of Ca-P-O sol-gel deposited by spin-coating technique and calcined at 1000 °C (from top to bottom: 1 layer, 5 layers, 15 layers and 30 layers.

Table 23. Surface roughness measured by AFM on CHAp films deposited using spin-coating technique.

Number of lowers	RMS (Rq, nm) <sup>a</sup>		
Number of layers	Surface area 2/2µm	Surface area 10/10µm	
1	7.6	7.7	
5	14.4	18.6	
15	14.9	31.1	
30	27.0	39.5	

<sup>a</sup> The RMS roughness values for quartz substrate are 0.7 and 0.8, respectively.

The variable angle spectroscopic ellipsometric data of determination of thickness of CHAp layers using spin-coating technique are presented in Table 24. Apparently, the thickness of CHAp layers increases monotonically with increasing number spinning procedures.

FTIR spectra of CHAp samples containing 1, 5 and 15 layers showed intensive absorption lines located at ~1000 and 750 cm<sup>-1</sup>, which unambiguously could be attributed to Si–O vibrations of quartz (Fig. 60).

Table 24. The results for the determination of thickness of CHAp layers deposited using spin-coating technique.

Number of layers	Thickness (nm)
1	$20.13\pm0.10$
5	$168.11\pm0.32$
15	$994.55\pm0.38$
30	$2704.17 \pm 0.35$



Fig. 60. FTIR spectra of quartz substrate and CHAp coatings obtained by spincoating technique.

However, these bands almost disappeared in the FTIR spectra of CHAp samples containing 30 layers. In the spectra of these samples a complex band of the asymmetric stretching vibration of the phosphate group at 900–1100 cm<sup>-1</sup> dominates. For the most of the samples, especially obtained by spin-coating technique with higher amount of spinnings, the region of symmetric stretching vibration of phosphate group at 940–970 cm<sup>-1</sup> characteristic for  $\beta$ -Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> and CHAp are observed in the FTIR spectra [138].

To estimate hydrophobic and hydrophilic properties of CHAp films obtained using spin-coating technique the contact angle measurements (CAM)

were recorded. The results of these investigations are summarized in Fig. 61 and Table 25.

The hydrophobic properties depend on a number of layers of samples using spin-coating technique. The same tendency like in the samples prepared by dip-coating technique was observed and for the samples obtained by spin-coating technique. Results clearly show the correlations between number of layers and contact angle values. With increasing number of layers, the hydrophobicity of surfaces increases as well. Again, the most considerable increase of contact angle from 56.3° till 72.3° was observed by changing spin-coating procedures from 5 to 15. This very interesting tendency could be related with phase composition of CHAp films like in the samples prepared by dip-coating procedure.



Fig. 61. Images of water droplets on the surfaces of CHA coatings (continuously from 1 to 30 layers) obtained by spin-coating technique.

Number of layers	Mean contact angle (degrees) <sup>a</sup>
1	$47.2\pm0.3$
5	$56.3\pm0.3$
15	$72.3\pm0.5$
30	$74.6\pm0.5$

Table 25. CAM results for CHAp films obtained using spin-coating technique.

<sup>a</sup> The contact angle value for quartz substrate is 47.2

#### 4.3.3. Conclusions

Aqueous sol-gel method was used for the synthesis of calcium hydroxyapatite thin films on quartz substrate. Two different dip-coating and spin-coating techniques were applied for preparation of CHAp and compared in this study. The XRD results let us to conclude that the crystallization of calcium hydroxyapatite on the substrate was influenced by both number of dipor spin-coating procedures and type of applied technique. The phase purity and crystallinity also depend on the amount of layers of end product. It was demonstrated, that more pure and crystalline CHAp has formed using spincoating technique. SEM micrographs and AFM images were recorded to determine surface morphological features of thin films. The spin-coated films are slightly thicker in comparison with ones obtained using dip-coating technique. However, with increasing amount of layers the difference between thicknesses of differently obtained CHAp films increases with different rate. The obtained results of contact angle measurements clearly showed correlations between number of layers and contact angle values of CHAp surfaces. With increasing number of layers, the hydrophobicity of surfaces increased. Besides, the contact angle values of CHAp samples synthesized by two different coating techniques are also slightly different. The coatings obtained using spin-coating technique were more hydrophilic in comparison with dip-coated samples. The obtained materials could be effectively used as multifunctional delivery systems for biotechnological applications [254–257].

#### **5.** General conclusions

1. New sol-gel method for the preparation of calcium  $(Ca_{10}(PO_4)_6(OH)_{2,}$ CHAp) thin films on titanium substrate using dip-coating and spin-coating techniques has been developed. For the first time to the best our knowledge, it was demonstrated that an aqueous sol-gel technique is suitable for the formation of calcium hydroxyapatite/phosphate composite coatings  $Ca_{10}(PO_4)_6(OH)_2$  and  $Ca_3(PO_4)_2$  on titanium substrate. The XRD and FTIR measurements confirmed that the samples also contain TiO<sub>2</sub> (rutile).

2. Interestingly, the repetition of immersing, withdrawal and annealing procedures for 5, 15 and 30 times did not change phase composition of coating dramatically. The SEM micrographs of Ca-P-O sol-gel calcined at 1000 °C showed highly uniform and crystalline particles with smooth surfaces. The hydrophobic properties of thin films measured by CAM were associated with phase composition of CHAp-TCP coatings. It was demonstrated, that calcium titanate sub-layer did not promote the formation of CHAp. Finally, the proposed sol-gel method appeared to be very attractive way to make a high density, homogeneous CHAp-TCP coatings on Ti substrate using both, dipcoating and spin-coating deposition techniques.

3. An aqueous sol-gel method was suggested for the synthesis of calcium hydroxyapatite thin films on silicon substrate. The substrates were coated 1, 5, 15 and 30 times using both, dip- and spin-coating techniques and annealed at 1000 °C after each dipping or spinning procedure. It was demonstrated, that crystallization of calcium hydroxyapatite depends on number of layers. Using dip-coating technique, the formation of calcium hydroxyapatite was evident only with increasing of number of layers up to 30. The  $Ca_3(PO_4)_2$  and  $Ca_2P_2O_7$  phases also remained in the sample obtained after 30 immersing and annealing procedures. Using spin-coating technique, the XRD results showed that calcium hydroxyapatite phase was formed in the samples containing 5, 15 and 30 layers of Ca-P-O precursor sol-gel.

4. The progressive changes in the surface morphology of CHAp films with increasing the dipping or spinning time were detected. The SEM micrographs

of sol-gel calcined at 1000 °C showed highly uniform and crystalline particles with smooth surfaces. Additionally, homogeneous CHAp coatings of regular polygons with narrow particle size distribution (300-400 nm) have formed in the samples prepared with 15 and 30 spinning and annealing procedures.

5. The AFM results were in a good agreement with SEM observations. The highest roughness (44.2 at surface area of  $2/2\mu m$ ) was observed in the sample containing of 30 layers. The thickness of CHAp films increased monotonically with increasing number of spin-coating and annealing procedures. The results of Raman spectrometry showed the peak at 961 cm<sup>-1</sup>, which corresponds to symmetric stretching vibration of phosphate groups in calcium hydroxyapatite proving the formation of high quality Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub> thin films on Si substrate using an aqueous sol-gel chemistry approach.

6. Fourier transform infrared (FTIR) spectroscopy revealed that intensity of  $v_4$  infrared absorption bands at 549 cm<sup>-1</sup>, 570 cm<sup>-1</sup>, 602 cm<sup>-1</sup> and  $v_1$  infrared absorption bands at 945 cm<sup>-1</sup>, 972 cm<sup>-1</sup> increases with increasing the number of deposited layers. The specific OH<sup>-</sup> vibration mode near 635–630 cm<sup>-1</sup> and band near 3571 cm<sup>-1</sup> was not visible in FTIR spectra. The well-defined  $v_1$  band at 945 cm<sup>-1</sup> corresponds to peak position of totally symmetric vibrational mode from oxyhydroxyapatite Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2-2x</sub>O<sub>x</sub>. Position of infrared bands observed in this work both in the  $v_1$  and  $v_4$  spectral regions coincides well with the  $\beta$ -Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub> structure. The results obtained demonstrated that calcium oxyhydroxyapatite, probably, have formed on Si substrate.

7. Aqueous sol-gel method was developed for the synthesis of calcium hydroxyapatite thin films on quartz substrate. Two different dip-coating and spin-coating techniques were applied for preparation of CHAp and compared. The XRD results let us to conclude that the crystallization of calcium hydroxyapatite on the substrate was influenced by both number of dip- or spin-coating procedures and type of applied technique. The phase purity and crystallinity also depend on the amount of layers of end product. It was

demonstrated, that more pure and crystalline CHAp has formed using spincoating technique.

8. SEM micrographs and AFM images were recorded to determine surface morphological features of thin films. The main morphological features of films obtained by dip- and spin-coating techniques were very similar. However, the surface of dip-coated sample containing 30 layers was rougher and with more expressed crystallization of smaller particles. The thickness of CHAp layers have changed from ~64 nm to ~3170 nm (dip-coating technique) and from ~20 nm to ~2704 nm (spin-coating technique) by increasing number of annealing procedures from 1 to 30, respectively. The obtained results of contact angle measurements clearly showed correlations between number of layers and contact angle values of CHA surfaces. With increasing number of layers, the hydrophobicity of surfaces increased. Finally, the results presented in this study demonstrated that suggested sol-gel process is perfectly suitable for the synthesis of calcium hydroxyapatite on the quartz substrate allowing to control phase purity and morphological properties of CHAp.
#### 6. List of author's publications

### Articles in journals

1. A. Beganskienė, Ž. Stankevičiūtė, M. Malakauskaitė, I. Bogdanovičienė, V. Mikli, K. Tönsuaadu, A. Kareiva. Sol-gel approach to the calcium phosphate nanocomposites. Proceedings of The 37th Int. Conf. & Expo. on Advanced Ceramics & Composites (ICACC2013). In Nanostructured Materials and Nanotechnology VII (eds S. Mathur, F. Hernandez-Ramirez, S. Kirihara and S. Widjaja), John Wiley & Sons, Inc., Hoboken, NJ, USA, 34 (2013) p. 1-11. doi: 10.1002/9781118807828.ch1.

2. Ž. Stankevičiūtė, M. Malakauskaitė, A. Beganskienė, A. Kareiva. Sol-gel synthesis of calcium phosphate coatings on Ti substrate using dip-coating technique. Chemija, 24 (2014) 288–295.

3. M. Malakauskaitė-Petrulevičienė, Ž. Stankevičiūtė, A. Beganskienė, A. Kareiva. Sol–gel synthesis of calcium hydroxyapatite thin films on quartz substrate using dip-coating and spin-coating techniques. Journal of Sol-Gel Science and Technology, 71 (2014) 437–446.

4. M. Malakauskaitė-Petrulevičienė, Ž. Stankevičiūtė, G. Niaura, A. Prichodko, A. Kareiva. Synthesis and characterization of sol-gel derived calcium hydroxyapatite thin films spin-coated on silicon substrate. Ceramics International, 41 (2015) 7421-7428.

5. M. Malakauskaite-Petruleviciene, Z. Stankeviciute, G. Niaura, E. Garskaite, A. Beganskiene, A. Kareiva. Characterization of sol-gel processing of calcium phosphate thin films on silicon substrate by FTIR spectroscopy. Vibrational Spectroscopy, 85 (2016) 16–21.

#### Published contributions to academic conferences

1. Ž. Stankevičiūtė, M. Malakauskaitė. Dip-coating of calcium hydroxyapatite on Ti substrates. International conference of young chemists "Nanochemistry and nanomaterials". Palanga, Lithuania, 7-9 December (2012) 44.

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