

# Effectiveness of the adapted bivalent mRNA COVID-19 vaccines against hospitalisation in individuals aged $\geq 60$ years during the Omicron XBB lineage-predominant period: VEBIS SARI VE network, Europe, February to August, 2023

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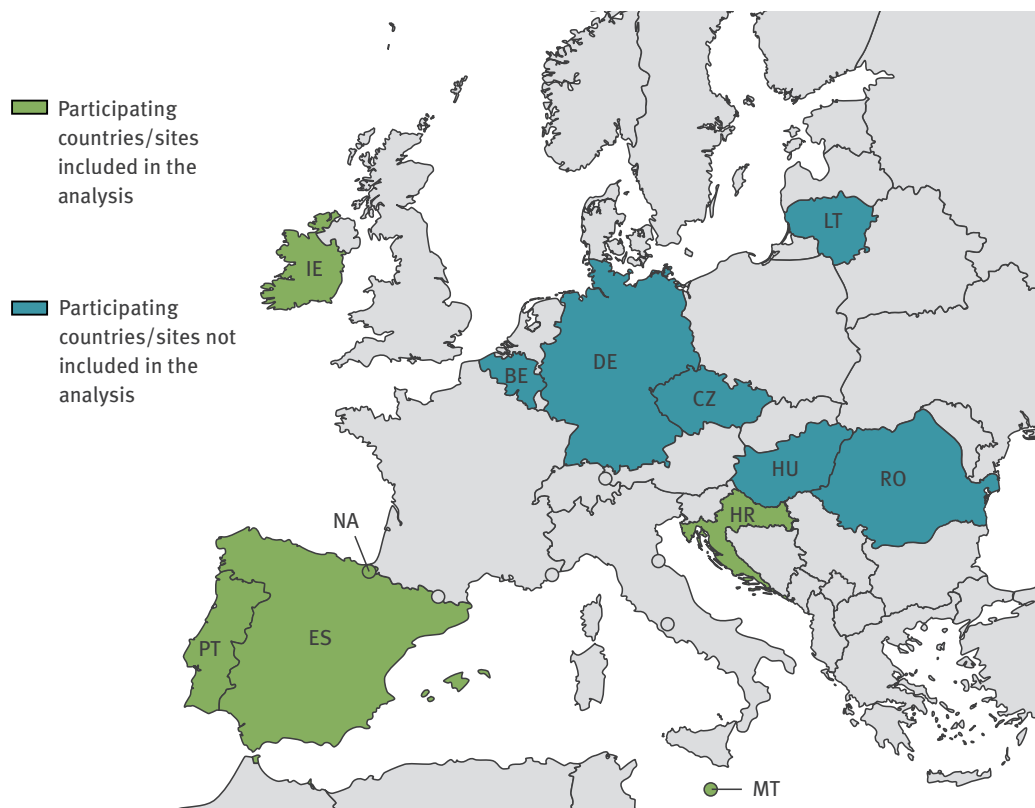
**We conducted a multicentre hospital-based test-negative case-control study to measure the effectiveness of adapted bivalent COVID-19 mRNA vaccines against PCR-confirmed SARS-CoV-2 infection during the Omicron XBB lineage-predominant period in patients aged  $\geq 60$  years with severe acute respiratory infection from five countries in Europe. Bivalent vaccines provided short-term additional protection compared with those vaccinated  $>6$  months before the campaign: from 80% (95% CI: 50 to 94) for 14–89 days post-vaccination, 15% (95% CI: –12 to 35) at 90–179 days, and lower to no effect thereafter.**

The European Medicines Agency (EMA) authorised four adapted bivalent mRNA COVID-19 vaccines for use against COVID-19 in September/October 2022: Comirnaty (BNT162b2; Pfizer-BioNTech) and Spikevax

(mRNA-1273; Moderna) Original/Omicron BA.1 and Original/Omicron BA.4–5 [1]. During autumn 2022, all European Union/European Economic Area (EU/EEA) countries had vaccination campaigns in place to administer a booster dose, with several countries using the adapted bivalent vaccines [2]. The Omicron-descendent XBB lineage and XBB.1.5 sub-lineage became variants of interest in March 2023 [3]. We estimated the effectiveness of the COVID-19 bivalent vaccines against hospitalisation with PCR-confirmed SARS-CoV-2 infection among patients aged  $\geq 60$  years with severe acute respiratory infection (SARI) during the XBB lineage-predominant period.

**FIGURE 1**

Countries and sites participating in the VEBIS SARI VE network, Europe, 2023



SARI: severe acute respiratory infection; VE: vaccine effectiveness; VEBIS: Vaccine Effectiveness, Burden and Impact Studies.

Twelve participating sites: Belgium (BE), Croatia (HR), Czechia (CZ), Germany (DE), Hungary (HU), Ireland (IE), Lithuania (LT), Malta (MT), Portugal (PT), Romania (RO), Spain - 11 regions (ES), Spain - Navarre region (NA). Included in this analysis: ES, HR, IE, MT, NA and PT.

## Vaccine effectiveness study design and patient selection

The methodology of the Vaccine Effectiveness, Burden and Impact Studies (VEBIS) project hospital vaccine effectiveness (VE) study has been described elsewhere [4]. It is a hospital-based, multicentre, case–control study with a test-negative design, including >50 hospitals at 12 sites in 11 participating European countries (two sites in Spain) (Figure 1) [4].

Patients with SARI were individuals hospitalised for  $\geq 24$  h with at least one of the following symptoms: fever, cough, shortness of breath or sudden onset of anosmia, ageusia or dysgeusia [5]. Cases and controls were SARI patients that tested positive and negative for SARS-CoV-2 by PCR, respectively, within 48 h of admission or in the previous 14 days.

The XBB lineage-predominant period was defined for each country as the timeframe when the proportion of XBB lineage or XBB.1.5 or XBB.1.5+F456L sub-lineages among sequenced samples reported to Global Initiative on Sharing All Influenza Data (GISAID) or to The European Surveillance System (TESSy) [6] was above 60%. The final study period comprised records between 15 February and 31 August 2023. Exclusion

criteria and the restriction flowchart are available in Supplementary Figure S1.

## SARI patient description

During our study period, we included 743 cases and 3,045 controls aged  $\geq 60$  years, from 31 European hospitals, in six participating study sites (Figure 1).

Of the total, 70% of cases ( $n = 518$ ) and 66% of controls ( $n = 2,012$ ) were vaccinated with a bivalent booster, while 30% ( $n = 225$ ) of cases and 34% ( $n = 1,033$ ) controls had not received a bivalent booster but had at least one monovalent vaccine more than 6 months before the start of the bivalent vaccines roll-out (Table 1). Among SARI patients vaccinated with a bivalent vaccine, 90% of cases ( $n = 466$ ) and 87% of controls ( $n = 1,746$ ) had received two booster doses. Among SARI patients that did not receive a bivalent vaccine, 82% of cases ( $n = 184$ ) and 81% of controls ( $n = 833$ ) had received one booster dose (Table 1). Seventy-three percent of cases ( $n = 377$ ) and 59% of controls ( $n = 1,181$ ) with a bivalent booster during the XBB lineage period were vaccinated more than 180 days before symptom onset (Table 1). The median time since vaccination for those vaccinated with a bivalent booster was 215 (IQR: 176–274) days for cases and 193 (IQR: 154–241) days for controls (Table 1).

**TABLE 1**

SARI patient characteristics by case and control status, VEBIS SARI VE network, Europe, 15 February–31 August 2023 (n = 3,788)

Characteristics	SARS-CoV-2 cases (n = 743)		Test-negative controls (n = 3,045)	
	n	%	n	%
<b>Age group (years)</b>				
60–79	280	38	1,536	50
≥ 80	463	62	1,509	50
Median (IQR)	82 (75–88)		79 (72–87)	
<b>Sex</b>				
Male	384	52	1,567	51
Female	359	48	1,478	49
<b>Any chronic condition<sup>a</sup></b>				
Yes	596	80	2,438	80
No	147	20	607	20
<b>Any severe outcome<sup>b</sup></b>				
Yes	55	12	198	10
No	388	88	1,699	90
Missing	300	40	1,148	38
<b>Vaccination status and dose at time of symptom onset</b>				
<b>Bivalent booster (received during the bivalent vaccination campaign)</b>				
Total	518	70	2,012	66
- First booster	18	3	87	4
- Second booster	466	90	1,746	87
- Third/fourth booster	34	7	179	9
<b>Monovalent vaccine (&gt; 6 months before the start of the bivalent vaccination campaign)</b>				
Total	225	30	1,033	34
- Full primary course	31	14	167	16
- First booster	184	82	833	81
- Second booster	10	4	33	3
<b>Days since last bivalent booster dose at time of symptom onset</b>				
14–89 days	5	1	89	4
90–179 days	136	26	742	37
180–269 days	236	46	892	44
270–359 days	141	27	289	14
Median (IQR)	215 (176–274)		193 (154–241)	

IQR: inter-quartile range; SARI: severe acute respiratory infection; VE: vaccine effectiveness; VEBIS: Vaccine Effectiveness, Burden and Impact Studies.

<sup>a</sup> At least one of five commonly collected conditions, i.e. diabetes, heart disease, lung disease, asthma and immunodeficiency.

<sup>b</sup> Admission to an intensive care unit (ICU), use of respiratory support such as mechanical ventilation or extra-corporeal membrane oxygenation (ECMO) or death.

**TABLE 2**

Definition of vaccine effectiveness indicators estimated in this study, VEBIS SARI VE network, Europe, 15 February–31 August 2023

Vaccine effectiveness indicator	Vaccinated with bivalent vaccine ('vaccinated') <sup>a</sup>	Not vaccinated with bivalent vaccine ('unvaccinated') <sup>b</sup>
Relative vaccine effectiveness (rVE)	Vaccinated with any bivalent <sup>a</sup> booster dose	Vaccinated with at least primary series of vaccination <sup>b</sup> , received >6 months before the bivalent campaign began
Incremental vaccine effectiveness (iVE)	Vaccinated with primary series vaccination plus two booster doses, with the second booster being a bivalent <sup>a</sup> vaccine	Vaccinated with primary series of vaccination plus one monovalent booster dose <sup>b</sup> , received >6 months before the bivalent campaign start

SARI: severe acute respiratory infection; VE: vaccine effectiveness; VEBIS: Vaccine Effectiveness, Burden and Impact Studies.

<sup>a</sup> Any adapted bivalent mRNA COVID-19 vaccine (Comirnaty (BNT162b2; Pfizer-BioNTech) and Spikevax (mRNA-1273; Moderna) Original/Omicron BA.1 and Comirnaty and Spikevax Original/Omicron BA.4–5). Any booster dose received after the introduction of the bivalent vaccines in each country was considered to be a bivalent COVID-19 vaccine.

<sup>b</sup> Any monovalent COVID-19 vaccine. Any dose received before the introduction of the adapted bivalent mRNA COVID-19 vaccines in each country were considered to be a monovalent COVID-19 vaccine.

## Effectiveness of bivalent COVID-19 mRNA vaccines

The number of doses and the last vaccination date were used as a proxy to identify the vaccine valency (bi- or monovalent), based on the introduction date of the bivalent vaccines provided by each country (data not shown).

We estimated relative VE (rVE) and incremental VE (iVE), where we applied different vaccination status definitions for the assessment of the vaccine effectiveness (Table 2). We decided not to use never-vaccinated individuals as a reference group, as they have become a smaller group over time, and were not eligible to receive a booster dose during the bivalent vaccine campaign. Patients vaccinated 1–13 days before symptom onset were excluded. Effectiveness was analysed by time since vaccination (TSV) using 60- and 90-day bands.

We estimated the odds ratio (OR) of vaccination using a logistic regression model adjusted for date of symptom onset, study site, sex, age and presence of a chronic condition. We carried out a complete case analysis. The VE was calculated as  $(1-OR) \times 100\%$ . Estimates were not shown if there were fewer than 20 vaccinated patients, fewer than five vaccinated/unvaccinated cases or controls, or when the estimate had an absolute difference >10% from that found from using penalised logistic regression (to assess small sample bias).

Using 90-day bands, rVE was 80% (95% confidence interval (CI): 50 to 94) 14–89 days post-vaccination with a bivalent vaccine booster dose, 15% (95% CI: –12 to 35) at 90–179 days, 8% (95% CI: –19 to 28) at 180–269 days and 0% (95% CI: –47 to 31) at 270–359 days (Figure 2A). Using 60-day bands, rVE was 44% (95% CI: 3 to 70) 60–119 days post-bivalent dose vaccination, 12% (95% CI: –16 to 34) at 120–179 days, 7% (95% CI: –24 to 29) at 180–239 days and 11% (95% CI: –24 to 36) at 240–299 days (Figure 2B). Small sample size precluded VE estimates for 14–59 and 300–359 days since vaccination. Similar results were found for iVE,

for both 60- and 90-day bands of time since vaccination (Figures 2A and 2B).

## Discussion

Our results suggest that the adapted bivalent mRNA COVID-19 vaccines conferred additional protection during the XBB-predominant period compared with those vaccinated with at least primary series vaccination more than 6 months before the bivalent vaccination campaign. We observed a decline in effectiveness, from 80% rVE in the first 89 days to 15% at 90–179 days, and no effect at 270–359 days. Similar results were found for iVE. This is likely due to the overlap of the study populations, as 87% of those who received a bivalent booster had received this as their second booster dose, and 80% of those vaccinated more than 6 months before the start of the campaign had only received a first booster dose of a monovalent COVID-19 vaccine.

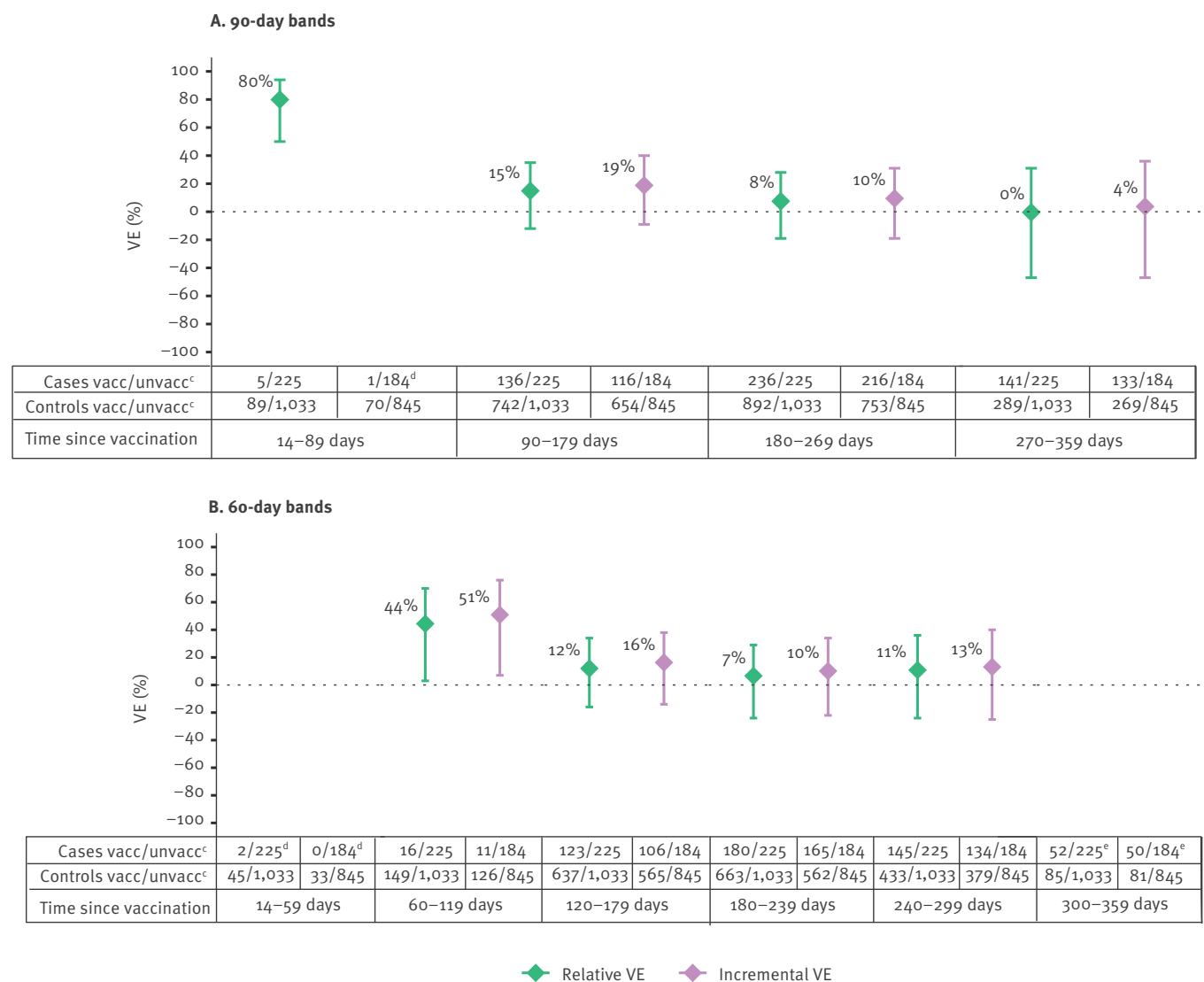
The decline of bivalent VE over time against hospitalisation during the XBB period has also been reported by other studies [7–9]. Our VE estimates are consistent with their results, with slightly higher VE point estimates for the more recent vaccinations (up to 119 days). Our study had, however, a smaller sample size for the shorter time since vaccination.

It is challenging to disentangle waning immunity from changes in viral circulation as well as from depletion of susceptible individuals. Although restricting the analysis to the XBB-predominant period, the proportion of XBB-related sub-lineages increased over time, being the lowest at the start, with the underlying XBB sub-lineage also varying over time (XBB, XBB.1.5 and XBB.1.5+F456L). Five sites sequenced 274 (31%) SARS-CoV-2-positive samples during the analysis period and, of these, 88% were identified as XBB.

Previous results from our VEBIS SARI VE network for a monovalent booster during the Omicron-dominant period showed ≥70% VE up until 120 days in those aged ≥60 years [10]. Since vaccines were not administered in the same period, it is difficult to make direct

**FIGURE 2**

Bivalent COVID-19 relative<sup>a</sup> and incremental<sup>b</sup> vaccine effectiveness against hospitalisation among SARI patients aged ≥ 60 years by time since vaccination (A) 90-day bands and (B) 60-day bands, VEBIS SARI VE network, Europe, XBB lineage predominant period, 15 February–31 August 2023



SARI: severe acute respiratory infection; VE: vaccine effectiveness; VEBIS: Vaccine Effectiveness, Burden and Impact Studies.

<sup>a</sup> Relative VE (rVE) compared those vaccinated with any bivalent booster dose ('vaccinated') with those not vaccinated with a bivalent vaccine but vaccinated with at least primary series vaccination, received > 6 months before the bivalent campaign start ('unvaccinated'). Vaccine valency (bi- or monovalent) was defined based on the date of the introduction of the bivalent vaccines in each country.

<sup>b</sup> Incremental VE (iVE) compared those vaccinated with primary series vaccination plus two booster doses, with the second booster being a bivalent vaccine ('vaccinated'), with those vaccinated with primary series vaccination plus one monovalent booster dose, received > 6 months before the bivalent campaign start ('unvaccinated'). Vaccine valency (bi- or monovalent) was defined based on the date of the introduction of the bivalent vaccines in each country.

<sup>c</sup> Numbers in the table represent the number of vaccinated/unvaccinated patients for cases and controls for each VE indicator.

<sup>d</sup> VE estimate not shown: < 20 vaccinated patients or < 5 vaccinated/unvaccinated cases or controls.

<sup>e</sup> VE estimate not shown: Indication of small sample bias (estimate had an absolute difference greater than 10% from that found from using penalised logistic regression).

comparisons. In addition to different virus circulation, the immunological landscape and exposure risk of the population has also changed over time, with the lifting of non-pharmaceutical measures previously in place and with a high primary series vaccination coverage during our study period [11]. The findings from our analysis should be interpreted in the context of this underlying immunity as the additional protection provided by the bivalent vaccination.

Our study has limitations. Firstly, the autumn 2022 bivalent vaccination campaign took place roughly 6 months before the predominance of XBB in participating countries, reflected in the long median time since vaccination in both cases and controls and in the small sample size for VE estimates for those with more recent vaccinations. Additionally, patient recruitment decreased during the summer, following the decrease of SARI incidence, reflected in the relatively small sample size during the XBB-dominated period. Secondly, we did not adjust for previous SARS-CoV-2 infection, as this is not collected by all sites. This could lead to underestimation of VE, if prior infection is negatively associated with vaccination e.g. if the recently infected are less likely or ineligible to be vaccinated. However, some studies have reported no differences when controlling for previous infection [12]. Thirdly, the analyses were conducted assuming that (i) all booster doses taken after the roll-out of the bivalent vaccines in each country were either bivalent Original/Omicron BA.1 or Original/Omicron BA.4–5; and (ii) the COVID-19 variant causing the infection and subsequent hospitalisation were XBB (XBB, XBB.1.5 or XBB.1.5+F456L) based on time when these sub-lineages predominated; introducing risk of misclassification of both outcome and exposure of interest.

There are many strengths of our multicentre study. We are able to include data from several countries and sites, which allows us to have a larger sample size and to cover a diverse population across Europe, to have a pooled VE estimate that might be more generalisable. In addition, sites participating in the network follow a generic protocol, which helps to mitigate potential sources of heterogeneity.

## Conclusions

The findings of our study suggest that the bivalent vaccines provided short-term additional protection against hospitalisation among those aged  $\geq 60$  years during the XBB predominant period.

## Ethical statement

The planning, conduct and reporting of the studies was in line with the Declaration of Helsinki. Official ethical approval was not required if studies were classified as being part of routine care/surveillance (Spain, Ireland, Malta); for the Netherlands, the study was not subject to the Dutch Medical Research with Human Subjects Law (*Wet Medisch-wetenschappelijk onderzoek met mensen (WMO)*) as it is non-interventional, uses routine clinical data only and data

were collected retrospectively. In Belgium and Germany, VE estimation is included in SARI surveillance. For Belgium, the protocol was approved by the central Ethical Committee (CHU ST Pierre, Bruxelles) and each participating hospital's local ethical committees in 2011 (AK/12-02-11/4111), updated in 2014 (B.U.N. 143201215671). The German SARI surveillance was approved by the Charité-Universitätsmedizin Berlin Ethical Board (Reference EA2/218/19). Other study sites obtained local ethical approval from a national review board (Croatia: approved 24 May 2021 and 26 January 2022, Ethics committee of the Croatian Institute of Public Health, Klasa:030-02/21-01/1, Ur.broj:381-15-21-7; Klasa:030-02/21-01/1, Ur.broj:381-15-22-14; France: eighth amendment approved 28 May 2021 by the French Data Protection Agency, and the French ethics research committee 'Comité de Protection des Personnes'; Hungary: approved in March 2021 by the National Scientific and Ethical Committee for the period 01 September 2021–01 September 2024 (IV/1885-5/2021/EKU); Lithuania: approved 11 May 2021 by Lithuanian Biomedical Research Ethics Committee, No. 6B-21-85; Navarra: Pl2020/45; Portugal: approved 19 January 2021 by the Ethics Committee of Instituto Nacional de Saúde Doutor Ricardo Jorge, no registration number given; Romania: approved by the Ethics Committee of the Ministerul Apărării Naionale Institutul Naional de Cercetare pentru Dezvoltare Medico-Militară „Cantacuzino” for the period 2022–2023, No. CE199/2022).

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## Data availability

On request.

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### Conflict of interest

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### Authors' contributions

LA contributes to the coordination of the VEBIS hospital network and undertook the statistical analysis on which the research article is based. She led the manuscript writing, interpreted results and approved the final version of the manuscript. JH contributes to the coordination of the VEBIS hospital network, contributed to analysis, helped interpret results, contributed to manuscript writing and approved the final version of the manuscript. NN was involved in study design, interpretation of results, review of the manuscript and approval of the final version of the manuscript. AMCR was involved in the original methodological design of the study (generic protocol), coordinates the VEBIS hospital network, helped interpret results, and read, contributed to and

approved the final version of the manuscript. CM, IM-B, VG, M-LB, GP, RD, FED, RD, ML, LJ, BO, PH, AMe, FP, GP-G, JC, AMa, AD, SK, MF, SF, KT, S-OP, AMi, GT, LS, and all those in the European Hospital Vaccine Effectiveness Group, were responsible for the coordination of the study at the national/regional level and contributed to developing the study site-specific protocols. They were in charge of the data collection and management and validating the clinical and laboratory data published in this research article. They interpreted the results, read, contributed to and approved the final version of the manuscript.

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