

# Carbon and nitrogen as indicators of stellar evolution and age A homogeneous sample of 44 open clusters from the *Gaia*-ESO Survey\*

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#### **ABSTRACT**

Context. Low- and intermediate-mass giants undergo a complex chemical evolution that has yet to be observationally probed. The influence of core helium flash on the chemical composition of stellar atmospheres has been an open question since its theoretical prediction 60 years ago.

*Aims*. Based on high-resolution spectral observations of 44 open star clusters in the *Gaia*-ESO survey, our aim is to perform the first large-scale homogeneous investigation into the carbon and nitrogen photospheric content of low- and intermediate-mass giant stars in different phases of evolution.

*Methods.* We determined carbon and nitrogen abundances using spectral synthesis of the  $C_2$  Swan (1,0) band head at 5135 Å and  $C_2$  Swan (0,1) band head at 5635.5 Å,  $^{12}C^{14}N$  bands in the interval 6470–6490 Å, and the forbidden [O I] line at 6300.31 Å.

Results. We revealed differences in C/N abundance ratios between pre- and post-core-He-flash stars. The lower C/N ratios in core He-burning red clump stars are mainly due to the enhancement of nitrogen abundances. We presented calibrations of the relationship between [C/N] and stellar age for solar metallicity low- and intermediate-mass giants taking into account different evolutionary stages. Conclusions. The C/N abundance ratios in the investigated first-ascent giant stars are slightly less affected by the first dredge-up than predicted by the theoretical models. The rotation-induced extra mixing is not as efficient as theoretically predicted. The core helium flash may trigger additional alterations in carbon and nitrogen abundances that are not yet theoretically modelled. We found that the evolutionary stage of stars must be taken into account when using [C/N] as an age indicator.

Key words. stars: abundances - stars: evolution - Galaxy: disk - open clusters and associations: general

# 1. Introduction

Photospheric abundances of carbon and nitrogen change as a star evolves. Firstly, this happens during the first dredge-up (1DUP) at the base of the red giant branch (RGB) (Iben 1965). During this phase, isotopes  $^{13}$ C and  $^{14}$ N diffuse outwards, while  $^{12}$ C diffuses inwards, which implies a decrease in the  $^{12}$ C/ $^{13}$ C and C/N ratios. Then, during the evolution up on the RGB, stars with masses below  $\sim 2.2\,M_\odot$  experience extra mixing when the hydrogen-burning shell encounters the chemical discontinuity created by the convective envelope at its maximum penetration during the 1DUP. When the hydrogen-burning shell reaches the previously mixed H-rich zone, the corresponding decrease in molecular weight of the H-burning layers induces a temporary drop in total stellar luminosity, which creates a bump in the luminosity function. During this stellar evolutionary stage the

so-called extra mixing starts acting and lasts at least until the tip of the RGB.

The exact mechanisms for deep mixing in stars are not known. Possible theoretical arguments are: rotational mixing (Sweigart & Mengel 1979; Palacios et al. 2003; Chanamé et al. 2005), magnetic fields (Hubbard & Dearborn 1980; Busso et al. 2007; Nordhaus et al. 2008; Palmerini et al. 2009; Charbonnel et al. 2017), internal gravity waves (Zahn et al. 1997), meridional circulation and turbulent diffusion (Denissenkov & Tout 2000), thermohaline mixing (Charbonnel & Zahn 2007; Eggleton et al. 2008; Cantiello & Langer 2010; Charbonnel & Lagarde 2010; Lagarde et al. 2019), combination of thermohaline mixing and magnetic fields (Denissenkov et al. 2009, 2024), and combination of thermohaline mixing and rotation (Charbonnel & Lagarde 2010; Lagarde et al. 2012). In our work, we compare the C/N abundance ratios with the digitally presented models of thermohaline-induced mixing (Lagarde et al. 2017), and thermohaline- and rotation-induced mixing acting together (Lagarde et al. 2012), which have recently been identified as the probable dominating processes that govern the photospheric composition of low- and intermediate-mass giant stars.

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Helium-burning ignition in the core is another important key point in the evolution of stars. It fundamentally changes the internal structure and energy production in a star. Stars less massive than  $\sim 2.2 \, M_{\odot}$  experience a helium flash in their degenerate cores, while in more massive stars, the core temperatures become high enough to start helium fusion gradually in their non-degenerate cores. According to Deupree & Wallace (1987), there are some elements that may be modified in the stellar photospheres that appear as abundance anomalies. During the He flash, the primary material mixed into and above the hydrogen shell is <sup>12</sup>C. The other major products (<sup>20</sup>Ne, <sup>24</sup>Mg, <sup>28</sup>Si, and <sup>32</sup>S) result from the hot- $\alpha$  captures that occur during the flash. The stratification of their abundance depends on the peak temperature, with the higher atomic weight species dominating for higher temperature flashes. Other products that are generated are <sup>27</sup>A1, <sup>31</sup>P, <sup>35</sup>C1, <sup>36</sup>Ar, and, if the hydrogen shell penetrates at a reasonably high temperature, 14N. Motivated by recent observations of lithium abundances in red-clump stars (Kumar et al. 2020), Schwab (2020) suggested that the excitation of internal gravity waves by vigorous turbulent convection during the helium flash may provide a physical mechanism that can induce mixing and <sup>7</sup>Li production. On the other hand, to account for the widespread enhancement of lithium in red clump stars, Mori et al. (2020, 2021) proposed an additional energy loss mechanism associated with the neutrino magnetic moment. Li et al. (2025) suggested that Li enrichment may be caused by meridional circulation and is likely to occur during the late He flash and early stages of core He burning. They treat radial mixing caused by the meridional circulation as a diffusion process. Mallick et al. (2025) hypothesised that the core He flash and subsequent sub-flashes may enhance Li abundances in the photospheres of red clump stars and trigger heightened chromospheric activity. It is important to understand whether core He flash can cause abundance alterations in other mixing-sensitive elements, such as carbon and nitrogen; we therefore address this question in the present work.

The evolutionary changes in carbon and nitrogen abundances could serve as age indicators for low- and intermediatemass giant stars. Theoretically, the [C/N] versus age relations were first modelled by Salaris et al. (2015) for first dredgeup giants and later by Lagarde et al. (2017) for red-clump stars. Spina et al. (2016) explored carbon abundances in Galactic field stars and derived the linear [C/Fe] versus age relation. Martig et al. (2016) investigated carbon and nitrogen as age indicators using the Apache Point Observatory Galactic Evolution Experiment (APOGEE) data and asteroseismic ages determined using Kepler data; however, the derived relations are only applicable to APOGEE DR 12 data. Casali et al. (2019) and Spoo et al. (2022) used open clusters to empirically determine a linear [C/N]-log(age) relation. We also address this field of research and provide robust relations of [C/N] and age for giants at different evolutionary stages using observational data of open star clusters (OCs).

In order to address the above-mentioned questions, we investigate photospheric carbon and nitrogen abundances in low- and intermediate-mass first-ascent giants and red-clump stars in quite a large sample of 44 OCs that ensure minimisation of the differences in internal and external parameters within the cluster, which are ideally reduced to vary in one fundamental property, mass. Under otherwise similar conditions, comparing abundance trends with turn-off mass for OCs of various ages offers valuable insight into the processes by which internal stellar evolution modifies surface chemical compositions.

The work is structured as follows. In Section 2, we describe the data sample and analysis methods. In Section 3, we address the evolutionary carbon and nitrogen abundances and compare them with evolutionary models. We also investigate the influence of the He flash and present the [C/N] and age relations for stars at different evolutionary stages. We present the conclusions of this work in Section 4.

## 2. Data and method of analysis

For our study, we used the spectra and derived parameters and abundances of the *Gaia*-ESO survey (Randich et al. 2022; Gilmore et al. 2022) that are accessible through the ESO Science Archive Facility<sup>1</sup> and the *Gaia*-ESO Survey Data Archive. These are prepared and hosted by the Wide Field Astronomy Unit, Institute for Astronomy, University of Edinburgh, which is funded by the UK Science and Technology Facilities Council<sup>2</sup>.

#### 2.1. Observations

In the Gaia-ESO survey, observations were accomplished with the Fiber Large Array Multi-Element Spectrograph (FLAMES) multi-fiber facility (Pasquini et al. 2002). Spectra of highresolving power ( $R \approx 47\,000$ ) were obtained with Ultraviolet and Visual Echelle Spectrograph, Dekker et al. 2000 (UVES). The spectra were exposed onto two CCDs, which resulted in a wavelength coverage of 4700–6840 Å with a gap of about 50 Å in the centre. The spectra were reduced with the ESO UVES pipeline and the dedicated scripts described in (Sacco et al. 2014). Radial velocities (RV) and rotation velocities  $(v \sin i)$  were also determined by cross-correlating all the spectra with a sample of synthetic templates specifically derived for the Gaia-ESO project. Information on radial velocities was particularly useful in determining the high-probability members of stellar clusters. The typical error on RVs is about 0.4 km s<sup>-1</sup>. The signal-to-noise ratio in the spectra of the observed cluster stars varied, depending on their brightness, from 20 to 190.

The selection of clusters and target stars for the *Gaia*-ESO survey is discussed in Bragaglia et al. (2022) and Randich et al. (2022). The *Gaia*-ESO survey observed 62 clusters and data for 18 more were obtained from the ESO archive and analysed in a homogeneous way. However, spectra of giants were available not for clusters, thus a measurement of CNO abundances was carried out in 44 clusters, which we discuss here. The names of the investigated OCs and their stars along with other relevant information for this study are listed in Table A.1.

#### 2.2. Main atmospheric parameters

The main atmospheric parameters of the stars were determined spectroscopically in parallel by 13 nodes (groups of researchers) and later homogenised. The methodology and codes used by each node, together with the final homogenisation procedure, which included the usage of the benchmark stars (Heiter et al. 2015; Jofré et al. 2014), are described in detail in Smiljanic et al. (2014), Hourihane et al. (2023), Worley et al. (2024). A number of constraints were imposed on the input data used in the analysis to guarantee some degree of homogeneity in the final results: the use of a common line list (Heiter et al. 2021), the use of a single set of model atmospheres (Gustafsson et al. 2008), the analysis of common calibration targets, and the Solar reference abundances of Grevesse et al. (2007), which are:  $A(\text{Fe})_{\odot} = 7.45$ ,  $A(\text{C})_{\odot} = 8.39$ ,  $A(\text{N})_{\odot} = 7.78$ , and  $A(\text{O})_{\odot} = 8.66$ . The nodes were

http://archive.eso.org/cms/data-portal.html

http://ges.roe.ac.uk/pubs.html

free to use different computing codes, use equivalent widths or synthetic spectra, and select spectral lines from Heiter et al. (2021) for analysis. The median of the method-to-method dispersion is 55 K, 0.13 dex, and 0.07 dex for  $T_{\rm eff}$ , log g, and [Fe/H], respectively (Smiljanic et al. 2014). We present the atmospheric parameters and their uncertainties in columns 4–11 of Table A.1.

#### 2.3. Carbon and nitrogen abundances

Carbon and nitrogen abundances were determined using spectral synthesis by the Gaia-ESO Vilnius node as described by Tautvaišienė et al. (2015). The band heads of C<sub>2</sub> Swan (1,0) at 5135 Å and of C<sub>2</sub> Swan (0,1) at 5635.5 Å were investigated to determine the abundance of carbon. The C2 bands are suitable for the investigation of carbon abundances as they give the same abundances as [C I] lines, which are not sensitive to deviations in non-local thermodynamic equilibrium (NLTE) (cf. Clegg et al. 1981; Gustafsson et al. 1999. The interval 6470–6490 Å, which contains the <sup>12</sup>C<sup>14</sup>N bands, was used for the nitrogen abundance analysis. It was also important to determine the oxygen abundance as the abundances of carbon and oxygen are bound by the molecular equilibrium. The forbidden [O I] line at 6300.31 Å was investigated. Lines of [OI] are considered very good indicators of oxygen abundances. It was determined that they are not only insensitive to NLTE effects but also give similar oxygen abundance results with 3D and 1D model atmospheres (cf. Asplund et al. 2004; Pereira et al. 2009). To model the synthetic spectra, we used the Turbospectrum synthesis code (v12.1.1, Alvarez & Plez 1998). We refer to Tautvaišienė et al. (2015) for more details on the method of analysis and uncertainties.

In the final data release of the *Gaia*-ESO Survey, for 17 member stars of NGC 2141 abundances of C, N, and O were not determined due to the distortion of the oxygen line. In this study, we decided to determine the C and N abundances for those stars by taking the averaged oxygen abundance value determined from other cluster stars. The C, N and O abundances and uncertainties determined are presented in columns 12–17 of Table A.1.

## 2.4. Membership

The OC stars in this study passed several membership checks. The first was done before observations as described in Bragaglia et al. (2022). Then we collected the membership probabilities from the studies by Hunt & Reffert (2024) and Jackson et al. (2022). Columns 22 and 23 of Table A.1 are dedicated to these membership probabilities. Finally, we checked the membership for all the stars using their radial velocities and [Fe/H] determined in our study, as well as their proper motions and parallaxes taken from GaiaDR3 (Gaia Collaboration 2016, 2023; Babusiaux et al. 2023). This was important as some stars had no previous membership determination or the membership probabilities in Hunt & Reffert (2024) and Jackson et al. (2022) were quite different.

#### 2.5. Attribution of stars to evolutionary stages

In order to determine the evolutionary phase of stars, we visually investigated their positions in the  $\log g$  versus  $T_{\rm eff}$  diagrams individually for each OC using the PARSEC evolutionary tracks taken from Bressan et al. (2012). To distinguish which stars have already passed the RGB luminosity bump, where extra mixing begins, we had to determine whether they are below or above the RGB luminosity bump (hereafter we mark them as BB and

AB stars, accordingly). The RGB luminosity bump appears as a characteristic zigzag feature in stellar evolutionary tracks. For example, for a 1  $M_{\odot}$  Solar-metallicity star, the luminosity bump is at about  $\log g \sim 2.55$  and  $T_{\rm eff} \sim 4550$  K. The values of the C/N ratio were also taken into account when it was difficult to separate the core-helium-burning clump stars from the neighbouring first-ascent giants (C/N ratios in OC red clump stars are lower than in RGB stars at the same  $\log g$ ). As stars with turn-off masses larger than  $2.2 M_{\odot}$  do not experience extra mixing on the RGB, all of them were attributed to the BB evolutionary phase. Several examples are presented in Fig. 1. The attributed evolutionary phases are presented in Column 19 of Table A.1.

#### 2.6. Overview of the investigated OC sample

For the analysis in this work, we have carbon and nitrogen abundances for 327 evolved stars (including C and N abundances determined for 17 stars in this work) in 44 OCs. Moreover, 18 OCs have observations of giant stars in several evolutionary stages, that is, before and after the RGB luminosity bump, or in the core helium-burning phase. In Table A.2, we present the averaged values of the [C/H], [N/H], [C/N], and C/N ratios for stars of the same evolutionary phase for every OC investigated, as well as the cluster turn-off mass, age, Galactocentric distance, [Fe/H], [O/Fe], scatter in the mean values, and numbers of stars. We used ages and Galactocentric distances of open clusters from Cantat-Gaudin et al. (2020). The turn-off mass was derived by plotting an isochrone from PARSEC with the adopted metallicity and age for each cluster, and then the turn-off point was read from the isochrone tables.

The investigated OCs have rather close-to-Solar metallicities and span rather large intervals of age and Galactocentric distance. In Fig. 2, we present the distributions of these parameters.

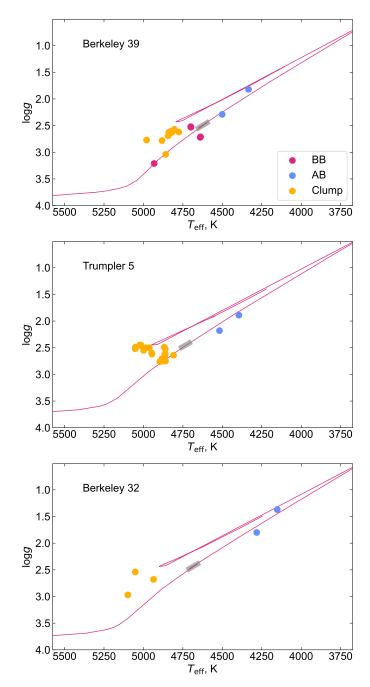
## 3. Results and discussion

### 3.1. Evolutionary carbon and nitrogen abundances

Fig. 3 shows the averaged C/N ratios of stars in each OC according to their evolutionary stage and the model predictions for stars at the 1DUP phase and the minimal values in the model with thermohaline-induced extra mixing (TH), both taken from Lagarde et al. (2017) for Solar metallicity stars. From the top panel of Fig. 3, we can see that the C/N ratios in stars between the 1DUP and the RGB luminosity bump (BB) are less affected by mixing and lie systematically  $0.16 \pm 0.17$  (the median is 0.13) above the theoretical prediction of C/N alterations caused by the 1DUP.

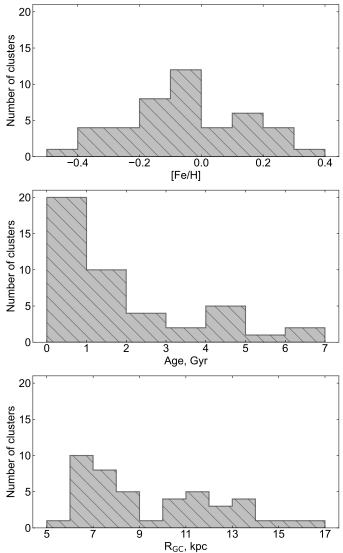
The middle panel shows stars above the RGB luminosity bump (AB), during which the extra mixing starts acting and lasts at least until the tip of the RGB. During this evolutionary phase, similarly to the 1DUP, the chemical elements  $^{13}$ C and  $^{14}$ N again diffuse outwards, while  $^{12}$ C diffuses inwards, which implies an additional decrease in the  $^{12}$ C/ $^{12}$ C and C/N ratios (Charbonnel & Lagarde 2010; Lagarde et al. 2017, and references therein). Here we do not see obvious deviations from stars affected by the 1DUP (the same systematic difference of 0.15  $\pm$  0.24 is observed with a smaller median value of 0.10), because in almost all clusters except two the stars evolved not far above the bump. This confirms the prediction that the extra mixing is not a violent event and proceeds slowly.

The bottom panel of Fig. 3 shows the C/N ratios in stars that experience He burning in their cores (OC red-clump stars). Here we clearly see that the C/N ratios in stars with the



**Fig. 1.** Positions of stars of several OCs in the  $\log g$  versus  $T_{\rm eff}$  diagram together with PARSEC evolutionary sequences by Bressan et al. (2012) corresponding to the OC age and metallicity. The RGB luminosity bump is highlighted by the grey thick stick. The red symbols mark the first ascent giants located below the red giant branch luminosity bump (BB), the blue symbols mark stars above the red giant branch luminosity bump (AB) where extra-mixing processes are acting, and the yellow symbols mark helium core burning stars (RC).

lowest turn-of masses are lower than in the first-ascent giants, and are thus affected by extra mixing. The deviation from the model of the thermohaline-induced mixing for stars with turn-off masses smaller than  $2.2\,M_\odot$  is just  $0.06\pm0.19$  and the median is 0.05. For stars with masses above  $\sim\!2.2\,M_\odot$ , no thermohaline-induced extra mixing is expected on the RGB (cf. Lagarde et al. 2019) and another so-called second dredge-up only starts on the asymptotic giant branch (e.g. Boothroyd & Sackmann 1999), unless rotation-induced mixing emerges (Charbonnel & Lagarde

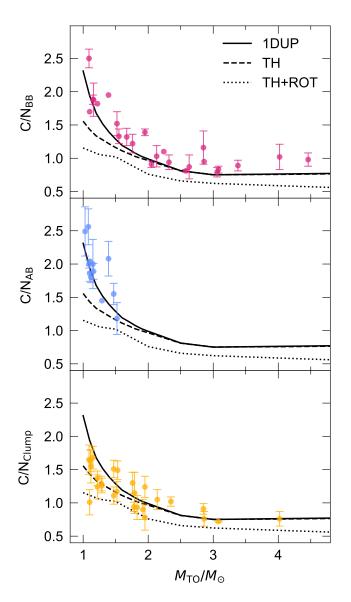


**Fig. 2.** Histograms of metallicity, age, and Galactocentric distances of the investigated open clusters with CNO abundances determined.

2010). In these core He-burning stars, the C/N values are lower than in the 1DUP giants and the deviation from the 1DUP model is  $0.05 \pm 0.08$  with the median value of 0.00. The comparison with the model (Lagarde et al. 2012), which includes both the thermohaline- and rotation-induced mixing, shows that the rotation-induced mixing is not as effective as theoretically modelled, stars in the entire interval of masses lie above the model by  $0.26 \pm 0.18$  with the same median value.

#### 3.2. Influence of the He flash

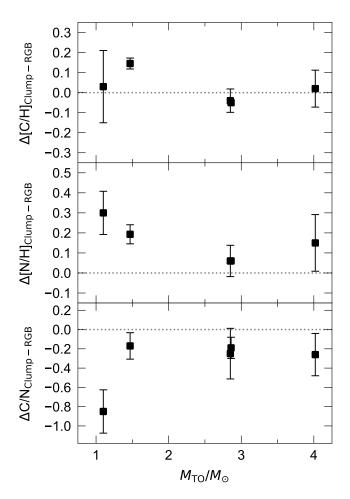
In order to investigate the influence of He ignition in the stellar core on the surface carbon and nitrogen abundances, it is important to compare abundances in stars close to the tip of the RGB and in the red clump. In our sample, we have Berkeley 32 and NGC 2141, which have C and N abundance determinations both in stars close to the RGB tip and in the clump, and also Berkeley 30, NGC 6067, and NGC 6705, which have stars with masses too large to experience thermohaline-induced mixing in their first ascent on RGB, thus the influence of the core He burning on carbon and nitrogen abundances can be examined. We



**Fig. 3.** Comparison of the averaged C/N ratios in OC stars at different evolutionary stages with theoretical models. The solid line represents the C/N ratios predicted by the model for stars at the 1DUP and the dashed line represents the minimal values in the model with TH, both taken from Lagarde et al. (2017). The dotted line represents the model including the thermohaline- and rotation-induced mixing acting together from Lagarde et al. (2012). In the upper panel, the red symbols mark the first ascent giants located below the red giant branch luminosity bump (BB). In the middle panel, the blue symbols mark stars above the red giant branch luminosity bump (AB) where extra-mixing processes are acting, and in the bottom panel, the yellow symbols mark core helium-burning stars (Clump).

find that the averaged C/N ratios of clump stars in these OCs are lowered by 0.85–0.2 compared to C/N values in pre-core-Heburning stars on the RGB. Fig. 4 shows how these differences depend on the OC turn-off mass. The difference is larger in low-mass stars, which may experience the influence of not only the He flash but also the continuation of thermohaline-induced mixing or other processes.

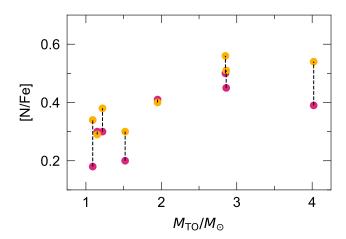
In the *Gaia*-ESO survey, the influence of He flash on lithium abundances in OCs was investigated by Magrini et al. (2021). They selected six OCs with well-populated red clumps and



**Fig. 4.** Differences in [C/H], [N/H], and C/N ratios for post- and precore-He-burning stars versus turn-off mass. From left to right the data for Berkeley 32, NGC 2141, NGC 6705, Berkeley 30, and NGC 6067 are plotted. See text for further explanations.

found that about 35% of their clump stars have Li abundances that are similar to or higher than those of upper RGB stars. It was concluded that this can be a sign of fresh Li production, because normally the lithium abundance decreases because of extra mixing acting on the upper RGB, and red clump stars are expected to have systematically lower surface Li abundances.

In our sample of OCs, the lower C/N ratios in the red clump stars are mainly due to the enhancement of nitrogen abundances. This finding is in contrast to the study of nitrogen abundances in Galactic field stars based on the APOGEE DR12 data release (Masseron et al. 2017). The authors found approximately the same nitrogen abundance in RGB stars below the luminosity bump and in the clump stars, and came to the conclusion that nitrogen has been depleted between the RGB tip and the red clump during the He flash, even though there is no theoretical explanation for that yet. However, in later APOGEE data releases, DR16 and DR17, C and N abundance determinations were repeatedly reviewed and systematically shifted in order to improve them. For example, Spoo et al. (2022) compared the C and N abundances for stars in the 75 cluster sample that have abundances in both DR14 and DR17. The median change for C was measured to be -0.029 dex with a scatter of 0.047 dex and the median change for N was measured to be +0.081 dex with a scatter of 0.052 dex. Similarly, for DR16 and DR17, the



**Fig. 5.** [N/Fe] abundance ratios in 1DUP RGB stars below the luminosity bump (the red symbols) and in the red clump stars (the yellow symbols) as a function of turn-off mass in the same cluster, showing larger nitrogen abundances in the red clump stars.

median change for C was measured to be +0.065 dex with a scatter of 0.039 dex and the median change for N was measured to be -0.013 dex with a scatter of 0.051 dex.

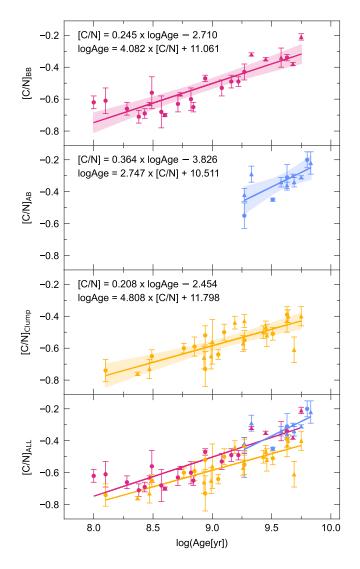
In Fig. 5, we plot the averaged [N/H] abundances in 1DUP RGB stars below the luminosity bump and in the red clump of the same cluster. The abundances of [N/Fe] are not the same as was determined in Masseron & Gilmore (2015) and are larger in the red-clump stars, as expected from theory.

## 3.3. Ratio of [C/N] as age indicator

Casali et al. (2019) presented a relation between the C/N ratio in evolved stars and their age based on preliminary data for 17 and 23 open clusters from the *Gaia*-ESO and APOGEE DR14 surveys, respectively. Several clusters had data in both studies. However, in the APOGEE DR17 data release, the C and N abundance determinations were recalibrated and systematically shifted. Thus, since we have the final *Gaia*-ESO Survey data for stars in 44 open clusters with determined C and N abundances, we can constrain the [C/N] versus age relation in a more homogeneous way. As changes in photospheric abundances of carbon and nitrogen increase as stars evolve, we investigated the individual [C/N] and age relations for stars very carefully divided into three evolutionary phases (below and above the red giant branch luminosity bump and in the clump).

To determine the linear regression fit of our data points, we employed a bootstrapping method with 10 000 iterations, where we slightly varied the values of [C/N] with each calculation. The [C/N] values were varied considering the scatter in the mean [C/N] values and drawing a value randomly from a Gaussian distribution for each point at each time. Then, the final values for slope and intercept were calculated as averages of the 10 000 linear regression fits that had been calculated.

We also calculated the confidence intervals around our linear regression model. We used a critical value of 0.975 to calculate the confidence intervals of 95%. With this in mind, they should accurately reflect where the linear regression model lies. In addition, the average Pearson correlation coefficients are 0.84, 0.67, and 0.71 for the samples BB, AB, and Clump, respectively. We also performed a permutation test, randomly reassigning up to 10% of the objects between groups. The results remained within



**Fig. 6.** Relations of [C/N] with age for OC stars at different evolutionary stages. The shadowed areas show the confidence intervals of 95%. The meaning of the symbols is as in Fig. 3, only the clusters with  $R_{\rm GC} \leq 9.5$  kpc are marked by triangles, and those with  $R_{\rm GC} > 9.5$  kpc are marked by circles.

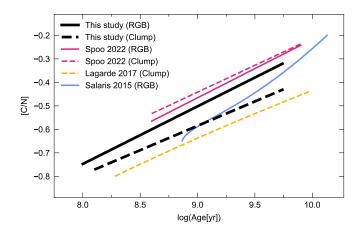
1 sigma of the reported values, with the different trends persisting for the different groups.

The obtained [C/N] and age relations as presented in Fig. 6 are the following:

- RGB stars below the RGB luminosity bump  $\log Age = 4.082 \times [C/N] + 11.061$ , PPC = 0.84.
- RGB stars above the RGB luminosity bump  $\log Age = 2.747 \times [C/N] + 10.511$ , PPC = 0.67.
- Core He-burning stars

 $\log Age = 4.808 \times [C/N] + 11.798, PPC = 0.71.$ 

There is no obvious deviation in the relations for stars in the evolutionary phases below and above the RGB luminosity bump because in the sample clusters stars evolved not far above the bump. It is interesting to see that Roberts et al. (2024) also do not find compelling evidence of extra mixing in the [C/N] ratios for the close-to-Solar metallicity first-ascent giants in the evolutionary phase above the RGB luminosity bump. Probably in their sample, as in ours, the majority of those stars evolved not far away from the RGB luminosity bump.



**Fig. 7.** Comparison of the [C/N]–age relations for the 1DUP RGB (the continuous lines) and red clump stars (the dashed lines). The black lines show relations derived in our work, the red lines are for Spoo et al. (2022), the blue line is for Salaris et al. (2015), and the yellow line is for Lagarde et al. (2017).

As the sample of clusters has two peaks in the  $R_{\rm GC}$  distribution separated at about 9.5 kpc, we decided to mark those subsamples of stars with different symbols in Fig. 6 (the clusters with  $R_{\rm GC} \leq 9.5$  kpc are marked by triangles, and those with  $R_{\rm GC} > 9.5$  kpc are marked by circles) and see if there are differences related to the Galactocentric distance. Several AB stars lie slightly above the relation. This may be due to their larger Galactocentric distances, where the initial CNO abundances could be different according to investigations of the cepheids Luck et al. 2011; Luck 2018) or H II regions (Arellano-Córdova et al. 2020). However, in a study of 1475 pre-dredge-up giants from APOGEE DR12 by Martig et al. (2016), no difference in [C/N] values was found in the inner and outer Galactic discs. The number of OCs on large Galactocentric distances in our sample is not large enough to draw confident conclusions.

In Fig. 7, we display the [C/N]–age relations for the 1DUP RGB and red clump stars derived in this work along with the relations from other studies. As the age calculation formula in Salaris et al. (2015) needs the [Fe/H] value as input, we used the Solar metallicity. From Lagarde et al. (2017), we took the formula presented for stars with -0.05 < [Fe/H] < 0.05. From Spoo et al. (2022), we read the [C/N]-age relations from their plots because the formula was computed and presented for the age–[C/N] relation. For the 1DUP RGB stars, the agreement between our work and Spoo et al. (2022) is rather good; only the red clump stars in that study behave quite strange. The theoretical relation for the red clump stars from Lagarde et al. (2017) and for the 1DUP RGB stars from Salaris et al. (2015) would give slightly older ages for the corresponding stars.

Thus, our study shows that while using the [C/N] ratios for the age evaluation of red clump and RGB stars, individual relations have to be used. The relations are applicable for stars in the metallicity interval  $-0.4 < {\rm [Fe/H]} < 0.3$  with approximate turn-off masses  $1 < M/M_{\odot} < 4$  and ages from 100 Myr to 7 Gyr, corresponding to the sample of OCs investigated. For more metal-deficient stars, the empirical relations are more challenging and have yet to be investigated.

#### 4. Conclusions

Unprecedentedly precise high-resolution spectral analysis of 327 low- and intermediate-mass evolved stars in 44 open clusters by

the Gaia-ESO survey allowed us to draw the following conclusions:

- The C/N abundance ratios in the investigated first-ascent RGB stars are affected by the first dredge-up slightly less than predicted by the theoretical model of Lagarde et al. (2017). The rotation-induced extra mixing is not as efficient as predicted by the model of Lagarde et al. (2012).
- Based on a sample of five open clusters with both pre- and post-core-He-burning-ignition stars investigated, the influence of the core He ignition on carbon and nitrogen abundances was revealed. We found that the averaged C/N ratios of clump stars in these OCs are lowered by 0.85–0.2 compared to C/N values in pre-core-He-burning RGB stars. The difference is larger in low-mass stars, which may experience not only processes triggered by the core He flash but also the continuation of thermohaline-induced mixing. The lower C/N ratios are mainly due to the enhancement of nitrogen abundances.
- When using [C/N] abundance ratios as stellar age indicators for the first-ascent giant stars and core-helium-burning stars, separate relations have to be used. In this work, we provide such relations applicable to giant stars in the metallicity interval −0.4 < [Fe/H] < 0.3 with approximate turn-off masses  $1 < M/M_{\odot} < 4$  and ages from 100 Myr to 7 Gyr.

## **Data availability**

Tables A.1 and A.2 are available at the CDS via https://cdsarc.cds.unistra.fr/viz-bin/cat/J/A+A/703/A4

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# Appendix A: Machine readable tables of results

Table A.1. Atmospheric parameters, CNO abundances, membership probabilities, and evolutionary stages of stars.

Col.	Label	Units	Explanations		
1	Cluster	_	Cluster name		
2	ID	_	Identification of the star in the cluster		
3	SNR	_	Signal-to-noise ratio		
4	$T_{ m eff}$	K	Effective temperature		
5	$e\_T_{\mathrm{eff}}$	K	Uncertainty in effective temperature		
6	$\log g$	dex	Stellar surface gravity		
7	$e \log g$	dex	Uncertainty in stellar surface gravity		
8	[Fe/H]	dex	Metallicity		
9	<i>e</i> _[Fe/H]	dex	Uncertainty in [Fe/H]		
10	$V_{ m t}$	${\rm km}{\rm s}^{-1}$	Microturbulence velocity		
11	$e_{-}Vt$	${\rm km}{\rm s}^{-1}$	Uncertainty in microturbulence velocity		
12	[C/H]	dex	Carbon abundance		
13	e_[C/H]	dex	Uncertainty in carbon abundance		
14	[N/H]	dex	Nitrogen abundance		
15	e_[N/H]	dex	Uncertainty in nitrogen abundance		
16	[O/H]	dex	Oxygen abundance		
17	$e_{-}[{ m O/H}]$	dex	Uncertainty in oxygen abundance		
18	C/N	-	C/N abundance ratio		
19	Evol	-	Evolutionary stage: BB - below the luminosity bump of RGB, AB - above the luminosity bump of RGB, C - Clump star		
20	RV	${\rm km}{\rm s}^{-1}$	Radial velocity according to the Gaia-ESO Survey		
21	$e_{ m L}$ RV	${\rm km}{\rm s}^{-1}$	Uncertainty in radial velocity		
22	$M_{HR}$	%	Membership probability according to Hunt & Reffert (2024)		
23	$M_{J}$	%	Membership probability according to Jackson et al. (2022)		

**Notes.** The full version is available at the CDS.

**Table A.2.** Averaged CNO abundances in stars of different evolutionary stages.

Col.	Label	Units	Explanations
1	Cluster	_	Cluster name
2	[Fe/H]	dex	Metallicity
3	TO mass	$M_{\odot}$	Turn-off mass
4	Age	Gyr	Age
5	log age	_	log age
6	$R_{\mathrm{GC}}$	kpc	Galactocentric distance
7	z	kpc	Maximal distance from the galactic plane
8	[O/H]	dex	Mean oxygen abundance
9	s_[O/H]	dex	Scatter in the mean oxygen abundance
10	[C/H]_BB	dex	Mean carbon abundance in stars below the RGB luminosity bump
11	s_[C/H]_BB	dex	Scatter of the mean carbon abundance in stars below the RGB luminosity bump
12	[N/H]_BB	dex	Mean nitrogen abundance in stars below the RGB luminosity bump
13	s_[N/H]_BB	dex	Scatter of the mean nitrogen abundance in stars below the RGB luminosity bump
14	[C/N]_BB	dex	Mean [C/N] abundance ratio in stars below the RGB luminosity bump
15	s_[C/N]_BB	dex	Scatter of the mean [C/N] ratio in stars below the RGB luminosity bump
16	C/N_BB	_	Mean C/N abundance ratio in stars below the RGB luminosity bump
17	s_C/N_BB	_	Scatter of the mean C/N ratio in stars below the RGB luminosity bump
18	n_BB	_	Number of stars below the RGB luminosity bump
19	[C/H]_AB	dex	Mean carbon abundance in stars above the RGB luminosity bump
20	s_[C/H]_AB	dex	Scatter of the mean carbon abundance in stars above the RGB luminosity bump
21	[N/H]_AB	dex	Mean nitrogen abundance in stars above the RGB luminosity bump
22	s_[N/H]_AB	dex	Scatter of the mean nitrogen abundance in stars above the RGB luminosity bump
23	[C/N]_AB	dex	Mean [C/N] abundance ratio in stars above the RGB luminosity bump
24	s_[C/N]_AB	dex	Scatter of the mean [C/N] ratio in stars above the RGB luminosity bump
25	C/N_AB	-	Mean C/N abundance ratio in stars above the RGB luminosity bump
26	s_C/N_AB	_	Scatter of the mean C/N ratio in stars above the RGB luminosity bump
27	n_AB	_	Number of stars above the RGB luminosity bump
28	[C/H]_C	dex	Mean carbon abundance in red clump stars
29	s_[C/H]_C	dex	Scatter of the mean carbon abundance in red clump stars
30	[N/H]_C	dex	Mean nitrogen abundance in red clump stars
31	s_[N/H]_C	dex	Scatter of the mean nitrogen abundance in red clump stars
32	[C/N]_C	dex	Mean [C/N] abundance ratio in red clump stars
33	s_[C/N]_C	dex	Scatter of the mean [C/N] ratio in red clump stars
34	C/N_C	_	Mean C/N abundance ratio in red clump stars
35	s_C/N_C	_	Scatter of the mean C/N ratio in red clump stars
36	n_C		Number of red clump stars

**Notes.** The full version is available at the CDS.