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О НУЛЯХ НЕКОТОРЫХ ФУНКЦИЙ,
СВЯЗАННЫХ
С ПЕРИОДИЧЕСКИМИ
ДЗЕТА-ФУНКЦИЯМИ

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Аннотация

В статье получено, что линейная комбинация периодической дзета-функции и периодической дзета-функции Гурвица и более общие комбинации этих функций имеют бесконечно много нулей, лежащих в правой стороне критической полосы.

Ключевые слова: нули аналитической функции, периодическая дзета-функция, периодическая дзета-функция Гурвица, универсальность.

ON THE ZEROS OF SOME FUNCTIONS
RELATED TO PERIODIC ZETA-FUNCTIONS

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Abstract

In the paper, we obtain that a linear combination of the periodic and periodic Hurwitz zeta-functions, and more general combinations of these functions have infinitely many zeros lying in the right-hand side of the critical strip.

Keywords: periodic zeta-function, periodic Hurwitz zeta-function, universality, zeros of analytic function.

1. Introduction

Let $s = \sigma + it$ be a complex variable, and let $\zeta(s)$ and $\zeta(s, \alpha)$ with $0 < \alpha \leq 1$ denote the Riemann and Hurwitz zeta-functions, respectively. In this paper, we deal with generalizations of the functions $\zeta(s)$ and $\zeta(s, \alpha)$. Let $\mathbf{a} = \{a_m : m \in \mathbb{N}\}$ and $\mathbf{b} = \{b_m : m \in \mathbb{N}_0 = \mathbb{N} \cup \{0\}\}$ be two periodic sequences of complex numbers with minimal periods $k \in \mathbb{N}$ and $l \in \mathbb{N}$, respectively. The periodic zeta-function $\zeta(s; \mathbf{a})$ and periodic Hurwitz zeta-function $\zeta(s, \alpha; \mathbf{b})$ are defined, for $\sigma > 1$, by the Dirichlet series

$$\zeta(s; \mathbf{a}) = \sum_{m=1}^{\infty} \frac{a_m}{m^s} \quad \text{and} \quad \zeta(s, \alpha; \mathbf{b}) = \sum_{m=0}^{\infty} \frac{b_m}{(m + \alpha)^s},$$

and, in view of the equalities

$$\zeta(s; \mathbf{a}) = \frac{1}{k^s} \sum_{m=1}^k a_m \zeta\left(s, \frac{m}{k}\right),$$

$$\zeta(s, \alpha; \mathbf{b}) = \frac{1}{l^s} \sum_{m=0}^{l-1} b_m \zeta\left(s, \frac{m+\alpha}{l}\right),$$

which are valid for $\sigma > 1$, have analytic continuation to the whole complex plane, except for possible simple poles at the point $s = 1$. Clearly, $\zeta(s; \mathbf{a}) = \zeta(s)$ for $a_m \equiv 1$, and $\zeta(s, \alpha; \mathbf{b}) = \zeta(s, \alpha)$ for $b_m \equiv 1$.

The distribution of zeros of the function $\zeta(s; \mathbf{a})$ was considered in [18], see also [20]. Define

$$c_{\mathbf{a}} = \max(|a_m| : 1 \leq m \leq k), \quad m_{\mathbf{a}} = \min\{1 \leq m \leq k : a_m \neq 0\},$$

$$A(\mathbf{a}) = \frac{m_{\mathbf{a}} c_{\mathbf{a}}}{|a_{m_{\mathbf{a}}}|},$$

$$a_m^{\pm} = \frac{1}{\sqrt{k}} \sum_{j=1}^k a_j \exp\{\pm 2\pi i j \frac{m}{k}\},$$

$$\mathbf{a}^{\pm} = \{a_m^{\pm} : m \in \mathbb{N}\}$$

and

$$B(\mathbf{a}) = \max\{A(\mathbf{a}^{\pm})\}.$$

Then in [18], it was obtained that $\zeta(s; \mathbf{a}) \neq 0$ for $\sigma > 1 + A(\mathbf{a})$. Moreover, for $\sigma < -B(\mathbf{a})$, the function $\zeta(s; \mathbf{a})$ can only have zeros close to the negative real axis if $m_{\mathbf{a}^+} = m_{\mathbf{a}^-}$, and close to the straight line given by the equation

$$\sigma = 1 + \frac{\pi t}{\log \frac{m_{\mathbf{a}^-}}{m_{\mathbf{a}^+}}}$$

if $m_{\mathbf{a}^+} \neq m_{\mathbf{a}^-}$.

Denote by $\rho = \beta + i\gamma$ the zeros of the function $\zeta(s; \mathbf{a})$. The zeros with $\beta < -B(\mathbf{a})$ are called trivial. The number of trivial zeros ρ with $|\rho| \leq R$ is asymptotically equal to cR with some $c = c(\mathbf{a}) > 0$. Other zeros of $\zeta(s; \mathbf{a})$ are called non-trivial, and, by the above remarks, they lie in the strip $-B(\mathbf{a}) \leq \sigma \leq 1 + A(\mathbf{a})$.

Let $N(T; \mathbf{a})$ be the number of non-trivial zeros ρ of $\zeta(s; \mathbf{a})$ with $|\gamma| \leq T$. Then [18]

$$N(T; \mathbf{a}) = \frac{T}{\pi} \log \frac{kT}{2\pi e m_{\mathbf{a}} \sqrt{m_{\mathbf{a}} - m_{\mathbf{a}}^+}} + O(\log T).$$

Moreover, the non-trivial zeros of $\zeta(s; \mathbf{a})$ are clustered around the critical line $\sigma = \frac{1}{2}$.

In [15], it was obtained that the functions $F(\zeta(s; \mathbf{a}))$ for some classes of operators F of the space of analytic functions have infinitely many zeros in the strip $\frac{1}{2} < \sigma < 1$.

The paper [2] is devoted to zeros of the function $\zeta(s, \alpha; \mathbf{b})$. From properties of Dirichlet series, it follows that there exists $\sigma_1 > 0$ such that $\zeta(s, \alpha; \mathbf{b}) \neq 0$ for $\sigma > \sigma_1$. For simplicity, suppose that $b_0 = 1$, and

$$q^{\pm}(m) = \sum_{k=0}^{l-1} b_k \exp \left\{ \pm 2\pi i m \frac{\alpha+k}{l} \right\}.$$

Denote by $\rho(s, \hat{l})$ the distance of s from the line \hat{l} on the complex plane, and let, for $\varepsilon > 0$,

$$L_{\varepsilon}(\hat{l}) = \left\{ s \in \mathbb{C} : \rho(s, \hat{l}) < \varepsilon \right\}.$$

Then in [2], it is obtained that there exist constants $\sigma_0 < 0$ and $\varepsilon_0 > 0$ such that $\zeta(s, \alpha; \mathbf{b}) \neq 0$ for $\sigma < \sigma_0$ and

$$s \notin L_{\varepsilon_0} \left((\sigma - 1) \log \frac{r_1}{r_2} - \pi t = \log \left| \frac{q^-(r_2)}{q^+(r_1)} \right| \right),$$

where $r_1 = \min\{m \in \mathbb{N} : q^+(m) \neq 0\}$ and $r_2 = \min\{m \in \mathbb{N} : q^- \neq 0\}$. Using the above result, non-trivial zeros of $\zeta(s, \alpha; \mathbf{b})$ are defined. Namely, the zero $\rho = \beta + i\gamma$ of $\zeta(s, \alpha; \mathbf{b})$ is called non-trivial if $\sigma_0 \leq \beta \leq \sigma_1$. The zero $\hat{\rho}$ is called trivial if

$$\hat{\rho} \in L_{\varepsilon_0} \left((\sigma - 1) \log \frac{r_1}{r_2} - \pi t = \log \left| \frac{q^-(r_2)}{q^+(r_1)} \right| \right),$$

It is known that the function $\zeta(s, \alpha; \mathbf{b})$ has infinitely many trivial zeros.

Denote by $N(T, \alpha; \mathbf{b})$ the number of non-trivial zeros ρ of the function $\zeta(s, \alpha; \mathbf{b})$ with $|\gamma| \leq T$ according multiplicities. Then in [2], it was proved that

$$N(T, \alpha; \mathbf{b}) = \frac{T}{\pi} \log \frac{Tk}{2\pi e \alpha} + O(\log T).$$

Moreover,

$$\sum_{|\gamma| < T} \left(\beta - \frac{1}{2} \right) = -\frac{T}{2\pi} \log \frac{k}{\alpha} + \frac{T}{2\pi} \left(\log |q^+(r_1)| + \log |q^-(r_2)| \right) + O(\log T).$$

The latter formula shows that the non-trivial zeros of the function $\zeta(s, \alpha; \mathbf{b})$ are clustered around the line $\sigma = \frac{1}{2}$.

The aim of this paper is to show that the function $\zeta(s, \alpha; \mathbf{b})$ with some, for example, transcendental parameter α , and some combinations of the functions $\zeta(s; \mathbf{a})$ and $\zeta(s, \alpha; \mathbf{b})$ have infinitely many zeros in the strip $D = \{s \in \mathbb{C} : \frac{1}{2} < \sigma < 1\}$. Denote by $A_T(\sigma_1, \sigma_2, c)$ the assertion that, for any $\sigma_1, \sigma_2, \frac{1}{2} < \sigma_1 < \sigma_2 < 1$, there exists a constant $c = c(\sigma_1, \sigma_2, f) > 0$ such that, for sufficiently large T , the function $f(s)$ has more than cT zeros in the rectangle

$$\sigma_1 < \sigma < \sigma_2, \quad 0 < t < T.$$

Let

$$L(\alpha) = \{\log(m + \alpha) : m \in \mathbb{N}_0\}.$$

THEOREM 1. *Suppose that the set $L(\alpha)$ is linearly independent over the field of rational numbers \mathbb{Q} . Then, for the function $\zeta(s, \alpha; \mathbf{b})$, the assertion $A_T(\sigma_1, \sigma_2, c)$ is true.*

Define the function

$$\underline{\zeta}(s, \alpha; \mathbf{a}, \mathbf{b}) = c_1 \zeta(s; \mathbf{a}) + c_2 \zeta(s, \alpha; \mathbf{b}), \quad c_1, c_2 \in \mathbb{C} \setminus \{0\}.$$

THEOREM 2. *Suppose that the number α is transcendental, the sequence \mathbf{a} is multiplicative, and, for each prime p , the inequality*

$$\sum_{m=1}^{\infty} \frac{|a_{p^m}|}{p^{\frac{\sigma}{2}}} \leq c < 1 \tag{1}$$

is satisfied. Then, for the function $\underline{\zeta}(s, \alpha; \mathbf{a}, \mathbf{b})$, the assertion $A_T(\sigma_1, \sigma_2, c)$ is true.

The next theorem is devoted to zeros of more general composite functions of $\zeta(s; \mathbf{a})$ and $\zeta(s, \alpha; \mathbf{b})$. We recall that $D = \{s \in \mathbb{C} : \frac{1}{2} < \sigma < 1\}$. Denote by $H(D)$ the space of analytic on D functions equipped with the topology of uniform convergence on compacta, and $H^2(D) = H(D) \times H(D)$. Let $\beta_1 > 0$ and $\beta_2 > 0$. We say that the operator $F : H^2(D) \rightarrow H^2(D)$ belongs to the class $Lip(\beta_1, \beta_2)$ if it satisfies the following hypotheses:

1° For each polynomial $p = p(s)$, and any compact subset $K \subset D$ with connected complement, there exists an element $(g_1, g_2) \in F^{-1}\{p\} \subset H^2(D)$ such that $g_1(s) \neq 0$ on K ;

2° For any compact subset $K \subset D$ with connected complement, there exist a positive constant c , and compact subsets K_1, K_2 of D with connected complements such that

$$\sup_{s \in K} |F(g_{11}(s), g_{12}(s)) - F(g_{21}(s), g_{22}(s))| \leq c \sup_{1 \leq j \leq 2} \sup_{s \in K_j} |g_{1j}(s) - g_{2j}(s)|^{\beta_j}$$

for all $(g_{r1}, g_{r2}) \in H^2(D)$, $r = 1, 2$.

THEOREM 3. *Suppose that the number α is transcendental, the sequence \mathbf{a} is multiplicative, inequality (1) is satisfied and $F \in Lip(\beta_1, \beta_2)$. Then, for the function $F(\zeta(s; \mathbf{a}), \zeta(s, \alpha; \mathbf{b}))$, the assertion $A_T(\sigma_1, \sigma_2, c)$ is true.*

We note that the class $Lip(\beta_1, \beta_2)$ is not empty. For example, in [6] it is proved that the operator $F : H^2(D) \rightarrow H(D)$,

$$F(g_1, g_2) = c_1 g_1^{(k_1)} + c_2 g_2^{(k_2)},$$

where $c_1, c_2 \in \mathbb{C} \setminus \{0\}$, $k_1, k_2 \in \mathbb{N}$ and $g^{(k)}$ denotes the k th derivative of g , belongs to the class $Lip(1, 1)$. To prove this, it suffices to apply the integral Cauchy formula.

2. Lemmas

Proof of Theorems 1 - 3 are based on universality theorems for the corresponding functions, and the classical Rouché theorem. We remind that the universality of zeta-functions was discovered by S. M. Voronin who proved [21] an universality theorem for the Riemann zeta-function. For brevity, we denote by \mathcal{K} the class of compact subsets of the strip D with connected complements, by $H_0(K)$, $K \in \mathcal{K}$, the class of non-vanishing continuous functions on K which are analytic in the interior of K , and by $H(K)$, $K \in \mathcal{K}$, the class of continuous functions on K which are analytic in the interior of K . Let $\text{meas}A$ stand for the Lebesgue measure of a measurable set $A \subset \mathbb{R}$. Then the latest version of the Voronin theorem is the following assertion, see, for example, [8].

LEMMA 1. *Suppose that $K \in \mathcal{K}$, and $f(s) \in H_0(K)$. Then, for every $\varepsilon > 0$,*

$$\liminf_{T \rightarrow \infty} \frac{1}{T} \text{meas} \left\{ \tau \in [0, T] : \sup_{s \in K} |\zeta(s + i\tau) - f(s)| < \varepsilon \right\} > 0.$$

The majority of other zeta and L -functions, among them the periodic zeta-function, [14], [5], the Hurwitz zeta-function with transcendental [10] or rational parameter [3], [1], the periodic Hurwitz zeta-function with transcendental parameter [4], zeta-functions of cusp forms [12], [13], L -functions from the Selberg class [19], [16], and others are universal in the Voronin sense. We state universality theorems for periodic and periodic Hurwitz zeta-functions.

LEMMA 2. *Suppose that the sequence \mathbf{a} is multiplicative and inequality (1) is satisfied. Let $K \in \mathcal{K}$, and $f(s) \in H_0(K)$. Then, for every $\varepsilon > 0$,*

$$\liminf_{T \rightarrow \infty} \frac{1}{T} \text{meas} \left\{ \tau \in [0, T] : \sup_{s \in K} |\zeta(s + i\tau; \mathbf{a}) - f(s)| < \varepsilon \right\} > 0.$$

Proof of the lemma is given in [14].

LEMMA 3. *Suppose that the set $L(\alpha)$ is linearly independent over \mathbb{Q} . Let $K \in \mathcal{K}$, and $f(s) \in H(K)$. Then, for every $\varepsilon > 0$,*

$$\liminf_{T \rightarrow \infty} \frac{1}{T} \text{meas} \left\{ \tau \in [0, T] : \sup_{s \in K} |\zeta(s + i\tau, \alpha; \mathbf{b}) - f(s)| < \varepsilon \right\} > 0.$$

The lemma with transcendental parameter α has been obtained in [4], and, under hypotheses of the lemma, has been proved in [11].

In universality theory of zeta-functions, an important role is played by joint universality theorems when a collection of given analytic functions is approximated simultaneously by shifts of a collection of zeta-functions. The first joint universality result also was obtained by S. M. Voronin. In [22], investigating the functional independence of Dirichlet L -functions, he first of all in fact obtained their joint universality. We remind a modern version of the Voronin theorem, see, for example, [9].

LEMMA 4. *Suppose that χ_1, \dots, χ_r be pairwise non-equivalent Dirichlet characters, and $L(s, \chi_1), \dots, L(s, \chi_r)$ be the corresponding Dirichlet L -functions. For $j = 1, \dots, r$, let $K_j \in \mathcal{K}$, and $f_j(s) \in H_0(K)$. Then, for every $\varepsilon > 0$,*

$$\liminf_{T \rightarrow \infty} \frac{1}{T} \text{meas} \left\{ \tau \in [0, T] : \sup_{1 \leq j \leq r} \sup_{s \in K_j} |L(s + i\tau, \chi_j) - f_j(s)| < \varepsilon \right\} > 0.$$

The joint universality of the periodic zeta-function and the periodic Hurwitz zeta-function has been considered in [6], and the following assertion has been proved.

LEMMA 5. *Suppose that the sequence \mathbf{a} is multiplicative, inequality (1) is satisfied, and the number α is transcendental. Let $K_1, K_2 \in \mathcal{K}$, and $f_1(s) \in H_0(K_1)$ and $f_2(s) \in H(K_2)$. Then, for every $\varepsilon > 0$,*

$$\liminf_{T \rightarrow \infty} \frac{1}{T} \text{meas} \left\{ \tau \in [0, T] : \sup_{s \in K_1} |\zeta(s + i\tau; \mathbf{a}) - f_1(s)| < \varepsilon, \right. \\ \left. \sup_{s \in K_2} |\zeta(s + i\tau, \alpha; \mathbf{b}) - f_2(s)| < \varepsilon \right\} > 0.$$

Now we state a generalization of Lemma 5 from the paper [7].

LEMMA 6. *Suppose that the sequence \mathbf{a} is multiplicative, inequality (1) is satisfied, the number α is transcendental, and that $F \in \text{Lip}(\beta_1, \beta_2)$. Let $K \in \mathcal{K}$ and $f(s) \in H(K)$. Then, for every $\varepsilon > 0$,*

$$\liminf_{T \rightarrow \infty} \frac{1}{T} \text{meas} \left\{ \tau \in [0, T] : \sup_{s \in K} |F(\zeta(s + i\tau; \mathbf{a}), \zeta(s + i\tau, \alpha; \mathbf{b})) - f(s)| < \varepsilon \right\} > 0.$$

For the proof of theorems on the number of zeros of zeta-functions and their certain combinations, the classical Rouché theorem is useful. For convenience, we state this theorem as a separate lemma.

LEMMA 7. *Let the functions $g_1(s)$ and $g_2(s)$ are analytic in the interior of a closed contour L and on L , and let on L the inequalities $g_1(s) \neq 0$ and $|g_2(s)| < |g_1(s)|$ be satisfied. Then the functions $g_1(s)$ and $g_1(s) + g_2(s)$ have the same number of zeros in the interior of L .*

Proof of the lemma can be found, for example, in [17].

3. Proofs of theorems

Proof of Theorem 1. Let

$$\sigma_0 = \frac{\sigma_1 + \sigma_2}{2}, \quad r = \frac{\sigma_2 - \sigma_1}{2},$$

and let the number $\varepsilon > 0$ satisfy the inequality

$$\varepsilon < \frac{1}{10} \min_{|s - \sigma_0| = r} |s - \sigma_0| = \frac{r}{10}. \quad (2)$$

Suppose that $\tau \in \mathbb{R}$ satisfies the inequality

$$\sup_{|s - \sigma_0| \leq r} |\zeta(s + i\tau, \alpha; \mathbf{b}) - (s - \sigma_0)| < \varepsilon. \quad (3)$$

Then, in view of (2), we have that the functions $\zeta(s + i\tau, \alpha; \mathbf{b}) - (s - \sigma_0)$ and $s - \sigma_0$ in the disc $|s - \sigma_0| \leq r$ satisfy the hypotheses of Lemma 7. Hence, the function $\zeta(s, \alpha; \mathbf{b})$ has a zero in the disc $|s - \sigma_0| \leq r$. Since, by Lemma 3, the set of τ satisfying inequality (3) has a positive lower density, we obtain that there exists a constant $c = c(\sigma_1, \sigma_2, \alpha, \mathbf{b}) > 0$ such that for the function $\zeta(s, \alpha; \mathbf{b})$ the assertion $A_T(\sigma_1, \sigma_2, c)$ is true. \square

Proof of Theorem 2. We preserve the notation for σ_0 and r , and take in Lemma 5

$$f_1(s) = \varepsilon, \quad f_2(s) = \frac{1}{c_2}(s - \sigma_0),$$

where the positive number ε satisfies the inequality

$$(|c_1| + |c_2|)\varepsilon < \frac{1}{10} \min_{|s - \sigma_0| = r} |s - \sigma_0| = \frac{r}{10}. \quad (4)$$

Suppose that $\tau \in \mathbb{R}$ satisfies the inequalities

$$\sup_{|s - \sigma_0| \leq r} |\zeta(s + i\tau; \mathbf{a}) - f_1(s)| < \varepsilon \quad (5)$$

and

$$\sup_{|s-\sigma_0|\leq r} |\zeta(s+i\tau, \alpha; \mathbf{b}) - f_2(s)| < \varepsilon. \quad (6)$$

Then, for these τ , we have that

$$\begin{aligned} \sup_{|s-\sigma_0|\leq r} |(c_1\zeta(s+i\tau; \mathbf{a}) + c_2\zeta(s+i\tau, \alpha; \mathbf{b})) - (c_1f_1(s) + c_2f_2(s))| \\ < 2(|c_1| + |c_2|)\varepsilon. \end{aligned}$$

Moreover, by the definition of $f_1(s)$ and $f_2(s)$,

$$\sup_{|s-\sigma_0|\leq r} |c_1f_1(s) + c_2f_2(s) - (s - \sigma_0)| = |c_1|\varepsilon.$$

Therefore,

$$\sup_{|s-\sigma_0|=\rho} |(c_1\zeta(s+i\tau; \mathbf{a}) + c_2\zeta(s+i\tau, \alpha; \mathbf{b})) - (s - \sigma_0)| < 3(|c_1| + |c_2|)\varepsilon.$$

This and (4) show that the functions

$$c_1\zeta(s+i\tau; \mathbf{a}) + c_2\zeta(s+i\tau, \alpha; \mathbf{b}) - (s - \sigma_0)$$

and $s - \sigma_0$ on the disc $|s - \sigma_0| \leq r$ satisfy the hypotheses of Lemma 7. Therefore, the function $c_1\zeta(s+i\tau; \mathbf{a}) + c_2\zeta(s+i\tau, \alpha; \mathbf{b})$ has a zero in the disc $|s - \sigma_0| \leq r$. However, by Lemma 5, the set of τ satisfying inequalities (5) and (6) has a positive lower density. Hence, there exists a constant $c = c(\sigma_1, \sigma_2, \alpha, \mathbf{a}, \mathbf{b}) > 0$ such that, for the function $c_1\zeta(s+i\tau; \mathbf{a}) + c_2\zeta(s+i\tau, \alpha; \mathbf{b})$, the assertion $A_T(\sigma_1, \sigma_2, c)$ is valid. \square

Proof of Theorem 3. We argue similarly as above. Suppose that $\tau \in \mathbb{R}$ satisfies the inequality

$$\sup_{|s-\sigma_0|\leq r} |F(\zeta(s+i\tau; \mathbf{a}), \zeta(s+i\tau, \alpha; \mathbf{b})) - (s - \sigma_0)| < \varepsilon. \quad (7)$$

and ε satisfies (2). Then the functions

$$F(\zeta(s+i\tau; \mathbf{a}), \zeta(s+i\tau, \alpha; \mathbf{b})) - (s - \sigma_0)$$

and $s - \sigma_0$ in the disc $|s - \sigma_0| \leq r$ satisfy the hypotheses of Lemma 7. Therefore, the function $F(\zeta(s+i\tau; \mathbf{a}), \zeta(s+i\tau, \alpha; \mathbf{b}))$ has a zero in the disc $|s - \sigma_0| \leq r$. However, in view of Lemma 6, the set of τ satisfying inequality (7) has a positive lower density. Thus, there exists a constant $c = c(\sigma_1, \sigma_2, \alpha, \mathbf{a}, \mathbf{b}, F) > 0$ such that, for the function $F(\zeta(s+i\tau; \mathbf{a}), \zeta(s+i\tau, \alpha; \mathbf{b}))$, the assertion $A_T(\sigma_1, \sigma_2, c)$ is valid. \square

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